

一、ADR 美國存託憑證之介紹

ADR-美國存託憑證 (ADRs, American Depository Receipts) : 美國以外的上市公司，到美國交易所掛牌上市。如台積電(TSM)、聯電 (UMC)、奇景光電 (HIMX) 等，多數公司都會定期收取 ADR 保管費，費率一般為 每股 \$0.01-\$0.05 / 年。

台灣 ADR 名單可參考 <https://www.investing.com/equities/taiwan-adrs>

如何知道投資的美股是否屬於 ADR ? 方式有二種 :

1-1 進 SEC 的網站，[EDGAR Company Search](#)

1-2 輸入美股代碼

1-3 打開名為 Prospectus 招股說明書，查看是否屬 ADR 股票及 ADR fee

Form type	Form description	Filing date
424B2	Prospectus [Rule 424(b)(2)]	2022-04-20
FWP	Filing under Securities Act Rules 163/433 of free writing prospectuses	2022-04-20
6-K	Report of foreign issuer [Rules 13a-16 and 15d-16]	2022-04-19
6-K	Report of foreign issuer [Rules 13a-16 and 15d-16]	2022-04-18
424B5	Prospectus [Rule 424(b)(5)]	2022-04-18

Corporate Information

We were founded in 1987 as a joint venture among the R.O.C. government and other private investors and were incorporated in the R.O.C. as a company limited by shares on February 21, 1987. TSMC Limited's common shares have been listed on the Taiwan Stock Exchange since September 5, 1994, and the American Depository Shares ("ADS") of TSMC Limited have been listed on the NYSE under the symbol "TSM" since October 8, 1997.

Our principal executive office is located at No. 8, Li-Hsin Road 6, Hsinchu Science Park, Hsinchu, Taiwan, Republic of China. Our telephone number at that address is (886-3) 563-6688. Our website is www.tsmc.com. Information contained on our website is not incorporated herein by reference and does not constitute part of this prospectus supplement.

2-1 進入 MarketWatch 網站

https://www.marketwatch.com/investing/stock/nio?mod=search_symbol

2-2 輸入美股代碼

2-3 查看股票名稱即有【ADR】

Home > Investing > Quotes > ADRs > TSM > Overview

Switch Quote | TSM U.S.: NYSE

Taiwan Semiconductor Manufacturing Co. Ltd. ADR

ADD TO WATCHLIST CREATE TSM ALERT

AFTER HOURS
\$82.44
▲ 0.29 0.35%
After Hours Volume: 744.74K
Last Updated: Sep 12, 2022 7:59 p.m. EDT - Delayed quote

CLOSE	CHG	CHG %
\$82.15	0.62	0.76%

VOLUME: 7.9M 65 DAY AVG: 11.18M

81.76 DAY RANGE 82.50 73.74 52 WEEK RANGE 145.00

二、保管費如何收取

存款信託公司 (DTC) 已於 2008 年開始向券商或結算公司收取保管費用，再由券商向持有 ADR 投資者收取此費用。這筆費用就稱「美國存託憑證(ADR)保管費用」。

一般來說，ADR Fee 的收取方式類似於股票除權息，有通知日、登記日及收取日，保管行會定時更新資訊，且都是以登記日持有之股數來收取，不論持有之時間長短。

*通知日：保管行通告即將產生 ADR 費用。

*登記日(Record Date)：在此日期持有已交割之 ADR 的投資人會被收取費用，如在此日期前賣出 ADR，即不會被收取相關費用。

*收取日：保管行實際扣款日，由於款項交收等原因，證券商實際扣款日基本上與保銀收取日有所不同。

三、ADR 的費用

ADR 保管費用(ADR Fee)平均每股 1 至 5 美分，所收取的金額和時間會因不同 ADR 而有所不同。如 ADR 年內有除息發放股利，則 ADR Fee 通常會以股利費名義在除息中扣除，若年內無除息之 ADR，ADR Fee 將單獨收取。

通常 ADR Fee 收取頻率約 1~4 次/年，特殊情況下收取頻率會更高。每次收取的金額會隨收取頻率的增加而降低，如年度 ADR Fee 為 \$ 0.02/股，收取頻率為 4 次/年，則每次收費為 \$ 0.005

四、查詢 ADR 股票及相關資訊

大部分 ADR 託管在四家保管行，部分 ADR 為單一銀行獨家保管，四大保管行為紐約梅隆銀行，德意志銀行，花旗銀行以及摩根大通銀行。

1. 紐約梅隆銀行 BNY MELLON

(1) 開啟 [紐約梅隆銀行](#) 網站，Symbol 處輸入股票代碼，顯示相關 ADR 資訊頁面

(2) 在 ADR 資訊頁面點擊【Distribution/DSF】及點擊【Depository Service Fee】即可查看 ADR 收費詳情，點擊【PDF】可查看或下載銀行原文通告。

Date	Security Type	Country	Industry	Status	Announcement	Details
Sep 02, 2022	Level III	Taiwan	Tech.Hardware&Equip.	Final	PDF	Depository Service Fee
Aug 11, 2022	Level III	Taiwan	Tech.Hardware&Equio.	Final	PDF VIDEO	Cash Dividend Announcement



IMPORTANT NOTICE

July 19, 2022

To: Holders of Silicon Motion Technology Corporation

Re: Depository Service Fee

Please be advised that a Depository Service Fee will be charged to the holders based on the below mentioned record date for the security(ies) listed below in accordance with the terms of the respective Deposit Agreement(s).

Security Name:	Silicon Motion Technology
CUSIP:	82706C108
Security Type:	DR
Fee:	\$0.02
Record Date:	Sep 02, 2022
Billing Period:	September

2. 德意志銀行 Deutsche Bank

(1) 開啟[德意志銀行網站](#)，在搜索欄輸入股票代碼搜尋，顯示 ADR 資訊頁面

- (2) 在 ADR 資訊頁面點擊【Corporate Actions】、點擊【Service Fee】，即可查看 ADR 收費詳情，也可右側鏈接下載銀行原文通告。

Deutsche Bank
Depository Receipt Services

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NEW ORIENTAL EDUCATION & TECHN

Country: China | Industry: Consumer Discretionary - Diversified Consumer Services

\$28.54 PREVIOUS CLOSE PRICE **0.67 %** PREVIOUS DAY % CHANGE **\$29.77** PREVIOUS DAY HIGH **\$28.17** PREVIOUS DAY LOW **35.90 %** % YTD

Trading Data Profile Overview **Corporate Actions** Shareholders DR Notices

All Books Closed/Open Search Action Sort By

Action type	Date	Date type	Description
Ratio Change & Reverse Stock Split	08 Apr 2022	DR Record Date	Ratio Change & Reverse Split
Service Fee	09 Mar 2022	DR Record Date	New Oriental Education & Technology Group Inc.
Proxv	29 Oct 2021	DR Record Date	AGM - November 18.

General Information

Ticker symbol	EDU
Exchange	NYSE
Ratio (ORD:DR)	10:1
Structure	ADR
Type	Level III
Sponsorship	Sponsored
CUSIP	647581206

General Information

Corporate action type:	Service Fee
Company:	NEW ORIENTAL EDUCATION & TECHN
Country:	China
Region:	Asia
Industry:	Consumer Discretionary - Diversified Consumer Services
DR CUSIP:	647581206
DR ISIN:	US6475812060
DR symbol:	EDU
Program type:	ADR - Level III
Rate:	0.020000
DR record date:	09 Mar 2022
Description:	New Oriental Education & Technology Group Inc.

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3. 花旗銀行 Citi

- (1) 開啟花旗銀行網站後，在搜索欄內輸入股票代碼搜尋，顯示 ADR 資訊頁面。

citi Depository Receipt Services

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Search DR Directory **IMOS** Symbol Lookup

What's New

- (2) 網頁左側導航欄點擊【Company DSF Notices】，即可查看 ADR 收費詳情，也可點擊【PDF】下載銀行原文通告。

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Company Profile

ChipMOS TECHNOLOGIES INC. (NASDAQ: IMOS) 4:00 PM EDT, 08/31/22

Last: \$23.17 Change: -0.06 %Change: -0.26% Volume: 41,768

Detailed Quote

Open:	\$ 23.22	Volume:	41,768
High:	\$ 23.32	Yield(%)	9.84
Low:	\$ 23.07	P/E Ratio (ttm):	4.45
Dividend (\$):	2.29	Market Cap (\$):	844.69M
EPS (\$)	5.22	Shares Out:	36.36M

M = Million B = Billion

Price & Volume

50-day Moving Average: \$24.60
200-day Moving Average: \$31.52

DR Program Information

Company name: ChipMOS TECHNOLOGIES INC.

citi Depository Receipt Services

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Company DSF Notices

ChipMOS TECHNOLOGIES INC. (NASDAQ: IMOS) 4:00 PM EDT, 08/31/22

Last: \$23.17 Change: -0.06 %Change: -0.26% Volume: 41,768

Company	ChipMOS TECHNOLOGIES INC.
Ticker Symbol	IMOS
CUSIP Number	16965P202 (Active)
US ISIN	US16965P2020
Country	Taiwan
Security Type	ADR
Listing Exchange	NASDAQ
Ratio (ORD:DR)	20:1

Record Date	Fee per DR	Billing Date	Notice
12/1/2021	\$0.020000	12/30/2021	PDF
10/1/2020	\$0.020000	10/30/2020	PDF
10/1/2019	\$0.020000	10/31/2019	PDF

4. 摩根大通銀行 JPMorgan

(1) 開啟[摩根大通銀行網站](#)，搜索欄內輸入股票代碼搜尋，顯示 ADR 資訊頁面

DEPOSITORY RECEIPTS **J.P.Morgan** Logins

ABOUT DR DIRECTORY BROKER INFORMATION

TSMC **TSM**

Your Passport to Global Markets

\$841.81K **122.66K**
Value of DRs Traded* Total DRs Traded*

One of J.P. Morgan's core principles is "first class business in a first class way." DR issuers have relied on us for over 90 years for the trusted advice and long-term partnership unique to J.P. Morgan.

TOP TRADING VOLUMES

NYSE/NASDAQ OTC UNSPONSORED

DR Name	Ticker	Exchange	Sector	Region	Prior Day Trading Value	Prior Day Trading Volume	30-Day Avg. Volume
Four Seasons Education	FEDU	NYSE	Consumer Discretionary	Emerg. Asia	\$28.18K	2.01K	2.95K
NAVIOS MARITIME	NM	NYSE	Industrials	Dev. Europe	\$2.79K	196.00	1.29K
ABB	ABB	NYSE	Industrials	Dev. Europe	-	-	-

(2) 在 ADR 資訊頁面下拉卷軸，即可查看 ADR 配息資訊，點擊【PDF】可查看或下載銀行原文通告。

PROGRAM ACTIVITY

ALL EVENTS

DIVIDENDS

FEES

OTHER CORPORATE ACTIONS

BOOKS OPEN/CLOSED

TRADING VOLUME

OWNERSHIP

Export Table

Announcement Date	Event				Notices
Jul 19, 2022	Dividend	Rate per DR: 0.5000354 USD	Record Date: Jun 22, 2022	Payable Date: Jul 21, 2022	PDF XBRL
Aug 17, 2021	Dividend	Rate per DR: 0.2866391 USD	Record Date: Jul 22, 2021	Payable Date: Aug 20, 2021	PDF XBRL
Aug 07, 2020	Dividend	Rate per DR: 0.1364961 USD	Record Date: Jul 15, 2020	Payable Date: Aug 12, 2020	PDF XBRL

DR Market Announcement

J.P.Morgan

July 19, 2022

JPMorgan Chase Bank, N.A.
500 Stanton Christiana Rd.
Newark, Delaware 19713-2107
Phone:800-990-1135 Fax:302-220-4591

New York Stock Exch
11 Wall Street
15th Floor
New York, New York 10005

Attn: NYSE Operations

Security Name: United Microelectronics Corporation (UMC) ADR - Final Announcement
CUSIP: 910873405

****Withholding rate has changed from 21% to 0%. Cash dividend represents distribution from capital surplus****

Please be advised of the following Final dividend information for the above noted issuer:

Record Date	Foreign Date	DR Date
Payment/Value Date	June 23, 2022	June 22, 2022
	July 14, 2022	July 21, 2022

New Taiwan Dollar per foreign share	3
DR Ratio	5:1
New Taiwan Dollar per DR	15
Foreign Exchange Date	July 14, 2022
Final Foreign Exchange Rate *	29.997876

All amounts are in USD

Withholding Tax Rate	0%
Rate per DR	0.5000354
Withholding Amount	0.0000000
Dividend Fee	0.0100000
DSC	0.0000000
Other	0.0000000
Final Dividend Rate per DR	0.4900354