 Taishin Securities

2024

**SUSTAINABILITY
REPORT**

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Reporting period

Taishin Securities Co., Ltd. (hereinafter referred to as “Taishin Securities” or “the Company”) presents the contents of this year’s report based on the period from January 1 to December 31, 2024. However, for the sake of data integration and transparency, some disclosures may include information beyond 2024.

This report was published in August 2025. Going forward, Taishin Securities will publish its sustainability report annually to provide stakeholders with consistent insights into the Company’s sustainability performance and policy implementation.

Report Management

Taishin Securities follows the Sustainability Reporting Guidelines and the Sustainability Information Management Regulations established by its parent company, Taishin Financial Holding Co., Ltd (hereinafter referred as Taishin FHC), and has formulated the Taishin Securities Sustainability Information Management Guidelines to govern the preparation process and operation of this report, ensuring consistency across related procedures. The coordination and integration of the report's content and applicable scope are overseen by the Strategy and Planning Department. Based on the structure and data collection needs of the report, the Strategy and Planning Department requests each responsible unit to designate dedicated personnel to assist in the report preparation process. The preparation process includes planning and analysis, data collection, report drafting, and assurance verification. The Taishin Securities Sustainability Report is disclosed after being approved by the Board of Directors.

Boundaries and Data

The information disclosed in this report regarding Taishin Securities' corporate sustainability practices, performance outcomes, and future strategic goals covers the Company's headquarters and 12 operational sites across Taiwan. It also includes joint sales operations within 101 Taishin Bank branches throughout the country, among which 24 branches are equipped with dedicated cross-selling offices. This report does not cover subsidiaries or affiliated companies.

Unless otherwise specified, all financial figures presented in this report are denominated in New Taiwan Dollars (NTD). The relevant statistical data are compiled primarily based on applicable local regulations. In the absence of specific local requirements, international standards are referenced; if no international standards are applicable, industry standards or common practices are adopted. Environmental indicators and related disclosures cover Taishin Securities' headquarters and the 12 operational sites nationwide. In cases where the scope of disclosed content differs from the scope stated above, additional notes are provided in the corresponding sections of the report.

Reporting Principles

The content and structure of this report are prepared in accordance with the 2021 Standards of the Global Reporting Initiative (GRI), the "Rules Governing the Preparation and Filing of Sustainability Reports by Securities Firms" issued by the Taipei Exchange, and with reference to the Sustainability Accounting Standards Board (SASB) Industry Standards for Investment Banking. In addition, the report aligns with the disclosure recommendations of the Task Force on Climate-related Financial Disclosures (TCFD) established by the Financial Stability Board (FSB).

Issuing Unit	Reporting Frameworks Followed
Global Reporting Initiative (GRI)	GRI Standards 2021
Taipei Exchange	Rules Governing the Preparation and Filing of Sustainability Reports by Securities Firms
Sustainability Accounting Standards Board (SASB)	Investment Banking & Brokerage Industry Standards
Financial Stability Board (FSB)	Task Force on Climate-related Financial Disclosures (TCFD)

Third-Party Assurance

This report has been prepared in accordance with the reporting principles outlined in the 2021 GRI Standards, including accuracy, balance, clarity, comparability, completeness, sustainability context, timeliness, and verifiability. All disclosed information and statistical data have been compiled by Taishin Securities. Financial performance data are based on publicly available information audited and certified by Deloitte & Touche. All figures are presented using generally accepted numerical formats; any exceptions or special cases are noted within the report.

The report was approved by the Board of Directors upon recommendation by the ESG Task Force and subsequently published. KPMG was engaged to perform limited independent assurance in accordance with ISAE 3000 – Assurance Engagements other than Audits or Reviews of Historical Financial Information, focusing on four selected sustainability performance indicators. The limited assurance report is provided in the appendix.

Feedback

To facilitate effective communication and engagement with our stakeholders, we welcome any inquiries or feedback regarding this Sustainability Report or Taishin Securities' sustainability strategies and practices. Please feel free to contact us through the information provided below. Taishin Securities is committed to providing timely responses and clarifications:

Corporate Sustainability Section, Strategy and Planning Department of Taishin Securities Co., Ltd.

Address: 13F., No. 96, Sec. 1, Jianguo N. Rd., Zhongshan Dist., Taipei City 104496, Taiwan

Tel: 02-5570-8888

Email: ec@tssco.com.tw

Website: https://www.tssco.com.tw/

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The year 2024 was marked by global elections, with many countries holding parliamentary and presidential elections. Shifts in political landscapes were influencing global political and economic developments. Escalating geopolitical tensions in Ukraine and the Middle East, the ongoing U.S.-China rivalry, and the Red Sea crisis have all contributed to global instability and economic slowdown. At the same time, the challenges of climate change are becoming increasingly severe. In this volatile landscape, businesses face unprecedented operational challenges. As a financial institution, Taishin Securities is committed to channeling capital into the low-carbon economy and playing a key role in guiding companies toward sustainable operations. We continue to advance actions across the three ESG dimensions — Environment, Social, and Governance — while actively responding to global initiatives such as the Dow Jones Sustainability Index (DJSI), Carbon Disclosure Project (CDP), Taskforce on Nature-related Financial Disclosures (TNFD), and the Partnership for Carbon Accounting Financials (PCAF). In 2024, Taishin FHC was again ranked in the top 1% of the banking industry globally by S&P Global and received a top-tier “A” rating in CDP's climate change questionnaire. Taishin Securities actively aligns with the long-term sustainability goals of its parent company, Taishin FHC, using Taiwan's “2050 Net-Zero Emissions Roadmap and Strategy” as its blueprint. Guided by the IFRS Sustainability Disclosure Standards S1 and S2, Taishin Securities calls upon both upstream suppliers and downstream clients to enhance decarbonization strategies and stakeholder engagement on key sustainability topics such as net-zero, transition pathways, nature, and biodiversity. Through its core financial services, the company seeks to amplify its positive impact on sustainability.

In 2024, Taishin Securities published its first Sustainability Report, covering the year 2023. The report was recognized at the 17th Taiwan Corporate Sustainability Awards (TCSA) with the Silver Award for Sustainability Reporting. In the area of financial inclusion and digital services, the investment app Woojii won two major honors at the National Brand Yushan Award — Best Popular Brand and Best Product — for its outstanding user interface and experience, making it easier for investors to participate in the market and build wealth. In addition, the company is deeply committed to public welfare initiatives. It sponsors the Taishin Women Run, the Wei Chuan Dragons, and the Taipei Taishin Mars. These efforts earned it the Bronze Award in the Sponsorship Category at the 16th Sports Promoter Awards presented by the Sports Administration, Ministry of Education. These sponsorships demonstrate the company's dedication to creating positive social value and setting an example for others to follow.

Looking ahead, realizing the vision of climate action and financial prosperity will require the collective efforts of all employees. Taishin Securities remains committed to embedding sustainability into its core operations and advancing robust corporate governance and risk management systems. No one is immune to the impacts of climate change, and we believe that everyone can become a hero in this crisis — “From Zero to Hero.” Upholding our core values of Integrity, Commitment, Innovation, and Collaboration, Taishin Securities continues to center its business on customer needs, leveraging innovation to provide high-quality financial services. Through these efforts, we aim to deepen the implementation and disclosure of sustainable finance and climate-related issues, becoming a trusted partner in sustainability for both our clients and society at large.

Taishin Securities Chairman

郭嘉亮



Taishin Securities President

陳立國



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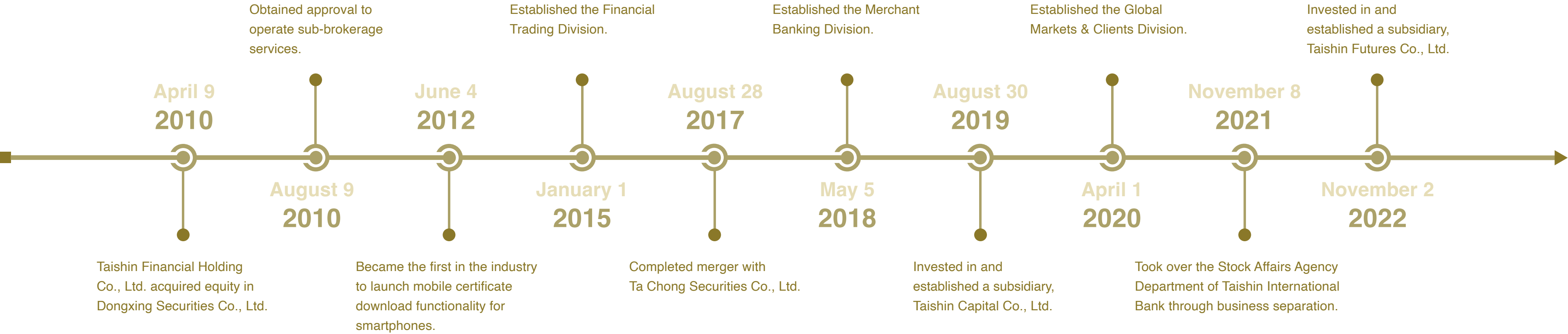
Company Profile

The History of Taishin Securities

On April 9, 2010, Taishin Financial Holding Co., Ltd. completed its acquisition of Dongxing Securities Co., Ltd., which was officially renamed Taishin Securities on April 14 of the same year. Taishin Securities is a full-service securities firm offering a wide range of services, including brokerage, proprietary trading, and underwriting. The Company also provides a comprehensive electronic trading platform. Leveraging the extensive resources of Taishin FHC, Taishin Securities has integrated nearly 100 bank branches to offer investors a broad spectrum of financial and wealth management services. By tailoring a diverse selection of financial products to meet different client needs, the Company aims to deliver a true one-stop shopping experience.

Taishin Securities adheres to the principles of integrity, professionalism, stability, and enthusiasm. Guided by the strategic direction of Taishin FHC and a customer-centric approach, the Company designs customized products that meet the specific needs of its clients, pursuing a market strategy focused on "Serving Customers, Satisfying Customers, and Creating Value for Customers."

Looking ahead, Taishin Securities aims to build a strong reputation and deliver optimal profitability through high-quality service. The Company will continue to leverage the financial holding group's platform to develop a high-value, diversified financial services model and establish itself as a leading e-commerce brand in the securities industry.



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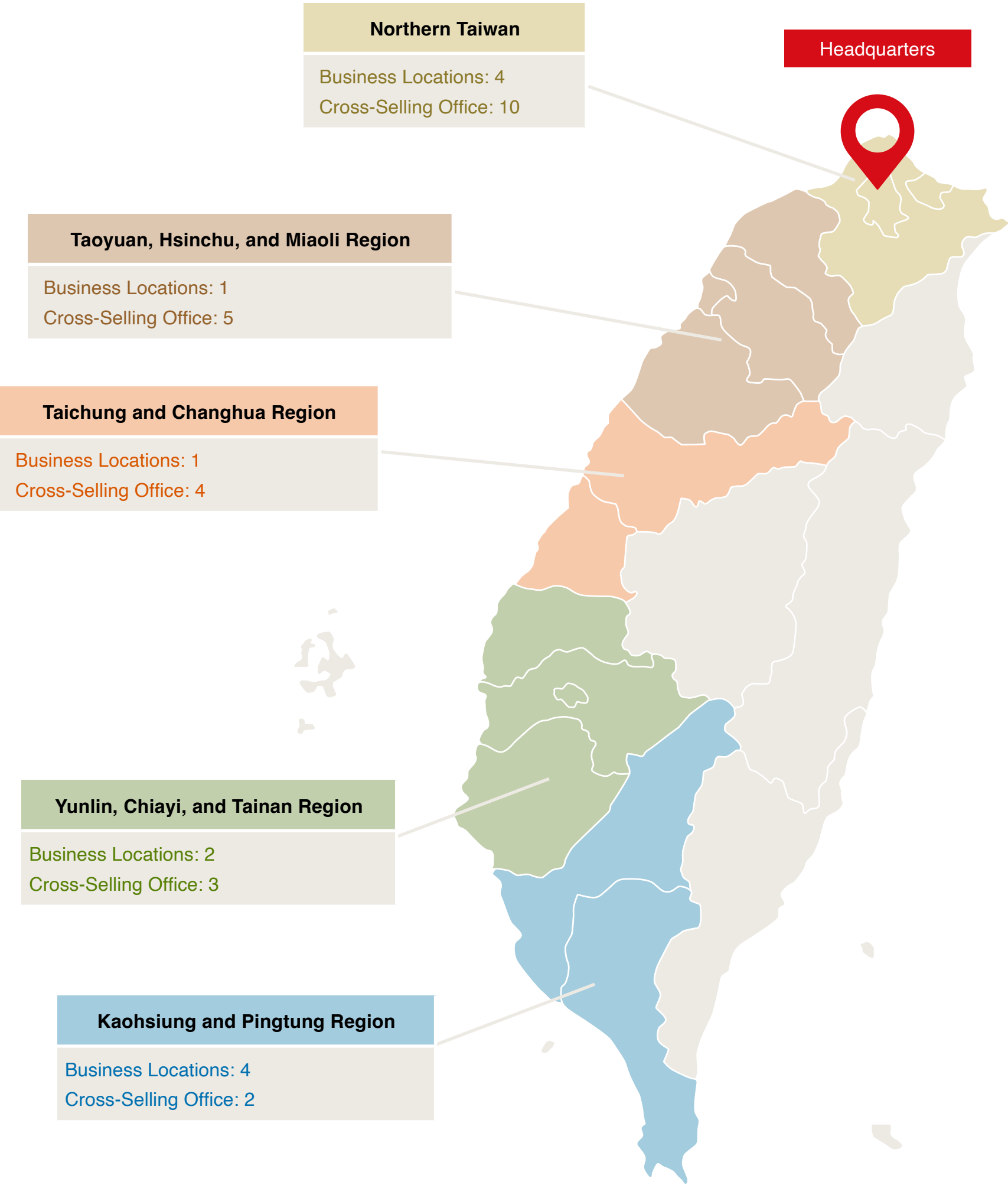
Company Basic Information

Company Official Name	Taishin Securities Co., Ltd.
Date of Establishment	January 15, 2010
Headquarters Address	2F, No. 44, Section 2, Zhongshan North Road, Zhongshan District, Taipei City, Taiwan
Paid-in Capital (NT\$ Thousand)	6,924,124
Industry Classification	Securities and futures industry
Business Locations	12
Total Number of Employees (Persons)	1,056
Ownership Structure	Public company

Reference date: December 31, 2024

Operating Locations

Taishin Securities operates a total of 12 locations across Taiwan. In addition, Cross-Selling services are available at 101 Taishin Bank branches nationwide, with 24 of these branches equipped with dedicated Cross-Selling services. These offices offer convenient services such as account opening and closing for securities, futures, and sub-brokerage accounts, as well as updates to clients' basic information — providing customers with greater accessibility and service.



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Main Businesses

 Brokerage Services	<ol style="list-style-type: none">1. Providing brokerage services for the trading of listed, OTC, and Emerging Stock Board securities (including electronic trading), as well as handling settlement-related matters on behalf of investors.2. Offering margin financing and securities lending services for investors.3. Providing brokerage services for foreign securities (sub-brokerage).4. Providing unsecured loans for general purposes.5. Providing securities lending and borrowing (two-way lending) services.	
 Proprietary Trading	<ol style="list-style-type: none">1. Engaging in the trading and hedging of domestic listed and OTC market stocks, bonds, and other securities.2. Issuing, trading, and hedging of domestic and foreign warrants.3. Trading and hedging of domestic and foreign bonds, bills, beneficiary certificates, and other fixed-income products through outright purchases, repo/reverse repo transactions, and interest rate derivatives.4. Trading of domestic and foreign futures and options, as approved by the competent authorities.	<ol style="list-style-type: none">5. Designing, issuing, trading, and hedging of structured products, equity derivatives, and other derivative financial instruments as approved by the competent authorities.6. Designing and executing strategic trading transactions.7. Other businesses approved by the competent authorities.
 Underwriting Services	<ol style="list-style-type: none">1. Assisting issuers in listing on the TWSE or TPEx, and providing consulting services for business structure diagnosis and process improvement.2. Assisting companies in raising capital in domestic and international capital markets.3. Providing financial advisory services for private placements, domestic and overseas investment projects, strategic equity transactions, and M&A restructuring projects.	
 Merchant Banking Business	<ol style="list-style-type: none">1. Provide the financial advisory services for domestic and cross- border deals to companies and privated equities, including the advice on the arrangement of leverage financing, project financing, and capital raising activities.2. Provide the financial planning and placement service for capital raising activities.3. Provide professional institutions and investors with investment and M&A opportunities and advice on exit of the investment portfolios.	
 Stock Transfer Agency Services	Providing standard stock transfer services, shareholder meeting arrangements, ex-rights and ex-dividend processing, capital increases, tax withholding services, and other specialized shareholder services.	

Industry Association Participation

	
Name of Participating Association	Position Held
Taiwan Securities Association	Executive Director: Guo, Jia-Hung
Chinese National Futures Association	Director: Lin, Hsien-Chun




Business Group Overview

Company Name	Main Business
Taishin Futures Co., Ltd.	Futures financial services
Taishin Capital Co., Ltd.	Venture capital, investment advisory, and management consulting services
Taishin Securities Venture Capital Co., Ltd.	Venture capital, investment advisory, and management consulting services

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Operating and Profitability Performance

Item / Year		2022	2023	2024
 Operating Capability	Total Assets	47,142,092	62,207,434	71,577,866
	Equity	9,473,661	10,855,390	11,931,687
	Net Income (A)	3,541,735	5,561,957	7,016,592
	Net Profit After Tax	521,190	1,544,975	2,359,581
 Profitability	Return on Assets (%)	0.93%	2.83%	3.53%
	Return on Equity (%)	5.28%	15.20%	20.71%
	Earnings Per Share (EPS) (NT\$)	0.75	2.23	3.41
 Allocated Economic Value	Expenses and Costs	1,360,122	1,917,544	2,225,581
	Employee Salaries and Benefits	1,375,274	1,677,216	1,923,644
	Shareholder Dividends	270,875	1,181,565	1,544,801
	Taxes and Fines Paid to Government	288,170	522,982	618,213
	Community Investments	-	7,000	9,750
	Political Contributions	-	-	-
	Subtotal of Allocated Economic Value (B)	3,294,441	5,306,307	6,321,989
	Retained Economic Value (A) — (B)	247,294	255,650	694,603

Unit: NT\$ thousands; EPS in NT\$

GRI: 201-1 、201-4

Credit Rating



Rating Agency

★

FITCH

Long-Term Rating

★

bbb

Short-Term Rating

★

F3

Rating Outlook

★

BBB Outlook Stable

Rating Date

★

2024/8/28

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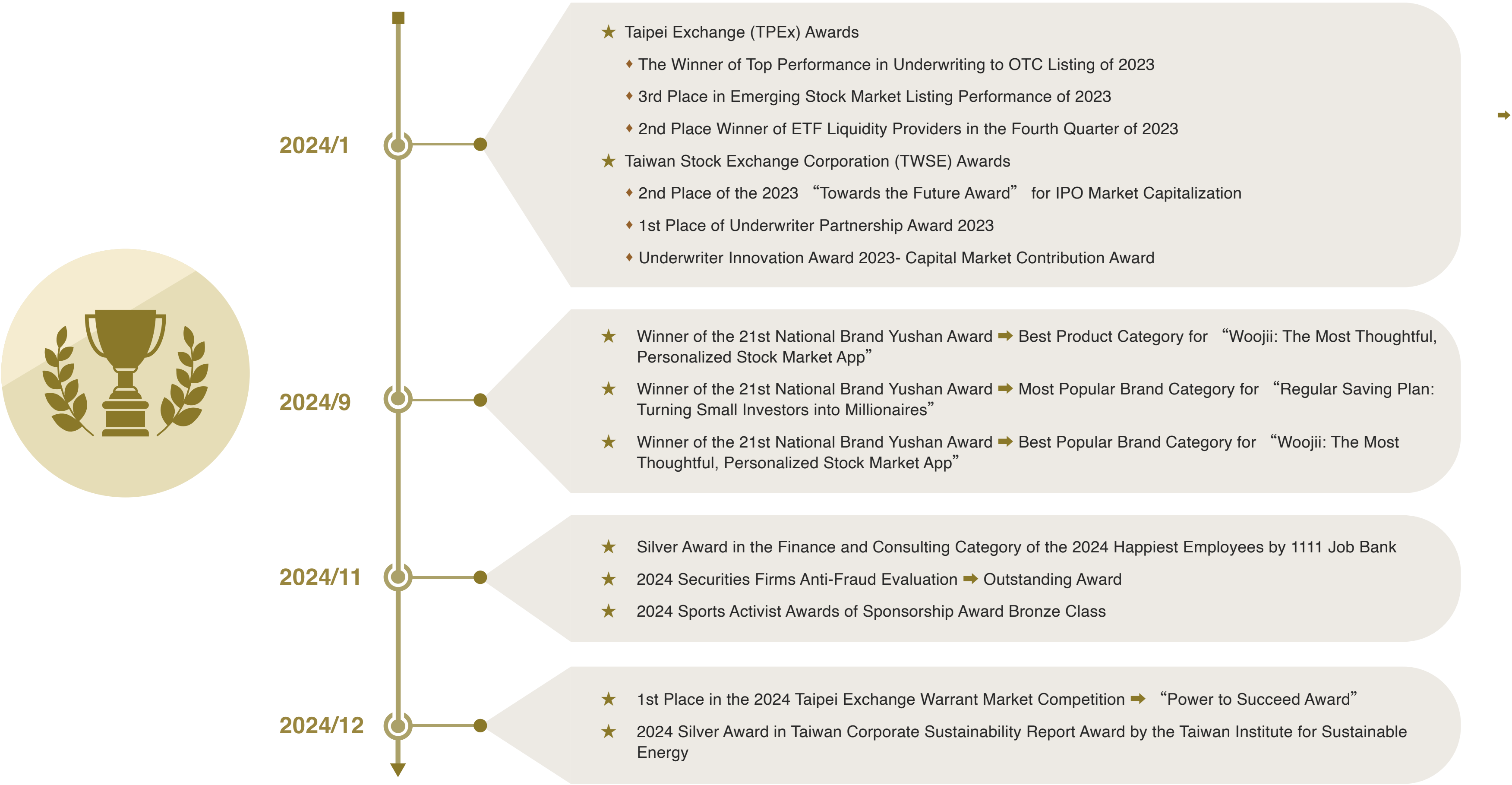
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Key Initiatives

- Sustainable Development Goals (SDGs)
- Science Based Targets initiative (SBTi)
- Carbon Disclosure Project (CDP)
- Global Reporting Initiative (GRI) Standards
- Task Force on Climate-related Financial Disclosures (TCFD)
- Task Force on Nature-related Financial Disclosures (TNFD)
- Sustainability Accounting Standards Board (SASB)
- Principles for Responsible Investment (PRI)
- Partnership for Carbon Accounting and Financials (PCAF)



Major Ratings and Results

Dow Jones Sustainability Index (DJSI)



Selected as a constituent of both the Emerging Markets Index and the World Index for 7 consecutive years

S&P Global ESG Rating



S&P Global ESG Score (CSA): Recognized as a financial institution within the Top 1% globally for outstanding ESG performance

Carbon Disclosure Project (CDP)



Received an “A” grade in the Climate Change Questionnaire

MSCI ESG Rating



Received an “AA” leading level for 5 consecutive years.

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Co-Creating a Net-Zero Future: 2024 Environmental Sustainability Collaboration Highlights

Supporting Resource Regeneration Company's IPO and Promoting Circular Use of Recycled Plastics

Taishin Securities assisted BORETECH Resource Recovery Engineering Co., Ltd. in entering the capital market, a move expected to make a significant contribution to environmental sustainability. In 2024, Taishin Securities successfully helped BORETECH submit its Initial Public Offering (IPO) application, with the listing projected to be completed in 2025.

BORETECH's core business is closely aligned with trends in resource circularity and net-zero emissions, and its operations inherently carry strong environmental value. BORETECH is committed to improving resource utilization efficiency, actively promoting eco-friendly materials and PET recycling, while reducing the negative environmental impact of raw materials and products. Entering the capital market further strengthens its existing environmental protection efforts.

The IPO proceeds will support the company in investing in diversified R&D for rPET recycling technologies. In the near term, BORETECH aims to address the low recycling rate of flat plastic containers, with a long-term goal of covering the full range of plastic product recycling and regeneration. This initiative is expected to substantially reduce the amount of plastic waste going to landfills or incineration, making a tangible contribution to environmental improvement.

Promoting Zero Waste Through Secondhand Goods Circulation and Supporting Habitat Conservation

In response to the growing concern over resource waste caused by modern overconsumption, Taishin Securities has taken action to promote environmental sustainability through practical daily efforts. President Chen, Li-Kuo proactively supported Lite-On Technology's "2024 1111 ReTreasure Festival" secondhand charity market initiative, rallying employees to participate by donating gently used items for resale. With a focus on matching public needs and preferences, over 300 carefully selected items — including small appliances, camping gear, and various household goods — were collected for the event, with the goal of selling out on the day of the market. The total proceeds of NT\$23,018 from the charity sale were fully donated to the Trust in Nature Foundation, to be used in support of forest and habitat conservation efforts.



▲ From the left, the third person is Chen, Li-Kuo, President of Taishin Securities



▲ Taishin Securities Booth at the "1111 ReTreasure Festival"

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Employee Sustainability Seed Course: Removing Invasive Species to Protect Forest Habitats

Taishin Securities places strong emphasis on environmental issues such as ecological conservation. This year, the Company launched its first-ever employee “Sustainability Seed Course.” Led by President Chen, Li-Kuo, a total of 33 employees participated in a hands-on ecological experience at the Alibang Ecological Farm in Shimen District, New Taipei City. At the site, employees changed into protective gear and entered the wetland pools to experience firsthand the vital connection between humans and nature. They learned about the crucial role wetlands play in sustaining overall biodiversity. Through teamwork, the participants manually removed a total of 240 kilograms of invasive plant species from the area. With professional guidance from the farm's ecological experts, employees were gradually introduced to the structure and functions of wetlands. This experience helped them better understand the relationship between humans and forests, further deepening their awareness and commitment to ecological conservation.

“You Go Digital, I Go Green” — Creating a Paperless Environment Together

In alignment with Taishin FHC' paperless operations strategy, Taishin Securities has promoted digital transformation through document digitization. This initiative reduces the procurement of copy paper, printed materials, and office equipment rentals, enhancing document efficiency while also lowering paper consumption and demand. Beyond implementing paperless processes, Taishin Securities introduced a paper-reduction donation program: for every 1,000 sheets of paper saved, NT\$100 is donated (based on A4 paper packs of 500 sheets at NT\$55 per pack). In 2024, the Company successfully reduced paper usage by 220,000 sheets, resulting in a donation of NT\$22,000. The donation was made to the Yilan Branch of the Forestry and Nature Conservation Agency, Ministry of Agriculture, to support a reforestation project. The adopted site is Changling Nursery, where the Company sponsored the planting of 1,100 seedlings of Sakaki, covering approximately 18 square meters of seedbed area.



▲ Taishin Securities' tree adoption and seedling cultivation achievements



▲ Taishin Securities' tree adoption and seedling cultivation achievements



▲ Group photo – sustainability seed course activity



▲ Invasive aquatic plant removal – sustainability seed course activity

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Uniting Social Forces: 2024 Social Sustainability Collaboration Highlights

Bringing Financial Literacy to Rural Areas – Taishin Securities' Financial Literacy Workshop for Students

Taishin Securities believes that education is a vital pillar for advancing sustainable social development. In line with the United Nations Sustainable Development Goals of Quality Education and Reduced Inequalities — the Company actively leverages its financial expertise to deliver impactful financial education. In 2024, Taishin Securities partnered with Little Sun Care Association and Mingshan Care Association to host “Financial Literacy Workshops” in rural areas of Tainan and Nantou. Led by professional financial education instructors and volunteers from Taishin Securities and Taishin Futures, the workshops reached 58 elementary school students from underserved communities. The program offered a diverse curriculum covering budgeting, saving, investing, and anti-fraud awareness. Using interactive games and engaging activities, students were introduced to essential financial concepts in a fun and accessible way, helping them build a foundation in financial literacy from a young age.

Since the launch of the “Financial Literacy Workshop” in 2023, the program has benefited a total of 75 rural students, including children from new immigrant and indigenous backgrounds. Taishin Securities has incorporated these participation figures into its Financial Inclusion 2030 Long-Term Sustainability Goal, aiming to increase the number of participants by 10% annually to further its mission of inclusive financial education.

Mystery Shopper Program Introduces Third-Party Evaluation to Enhance Customer Experience

In 2024, Taishin Securities launched a “Mystery Shopper Program”, incorporating a third-party evaluation mechanism to systematically assess and improve customer experience across its 12 branch offices. The evaluation is divided into two major aspects: phone services and in-person services. The assessment covers basic employee etiquette, call handling, professional service, and complaint management. For in-person services, evaluations focus on the friendliness of the business environment, employee attire and grooming, service etiquette, business inquiries, and complaint resolution at each branch. This initiative aims to review and optimize the Company's service quality while strengthening financial friendliness awareness among all employees. From frontline staff to management, the goal is to enhance the customer experience comprehensively, ensuring a more refined and improved service.



▲ Group photo of the Pingtung Branch colleagues



▲ Group photo – Taishin Financial Literacy Workshops



▲ Group photo – Taishin Financial Literacy Workshops

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1.1 Sustainable Strategy Blueprint

GRI: 2-22

1.1.1 Sustainable Development Strategy

To fulfill its corporate social responsibility, Taishin Securities aligns with the sustainability philosophy of its parent company, Taishin FHC, which advocates “conscientious and sustainable green lifestyles.” In executing various operations, we refer to the corresponding sustainable finance policies of Taishin FHC to establish appropriate operational guidelines. At the same time, we actively implement sustainable practices in accordance with the competent authority's “Implementation Strategies for Sustainable Development Transformation of the Securities and Futures Industry,” aiming to foster core values between sustainability and securities business within Taishin's financial ecosystem.

In recent years, global awareness of sustainability has continued to rise. The 17 Sustainable Development Goals (SDGs) established by the United Nations have become a universal framework for guiding collective efforts to address global crises. At Taishin Securities, we uphold a customer-centric approach and view sustainable development as a key mission. ESG principles serve as the foundation for how we approach every task. To ensure transparency and comprehensive disclosure of our sustainability efforts, we publish an annual sustainability report to keep all stakeholders informed of our progress. Additionally, we follow our parent company, Taishin FHC, in actively participating in various international initiatives and sustainability assessments, including the Science Based Targets initiative (SBTi), the Dow Jones Sustainability Index (DJSI), and the Carbon Disclosure Project (CDP). These efforts help deepen our understanding and execution of sustainability initiatives.

Looking ahead, Taishin Securities will continue to monitor the latest sustainability trends and adapt our practices based on stakeholder feedback and regulatory guidance. We are committed to deepening our sustainability efforts, aspiring to become a leader in sustainable finance and to work alongside strategic partners and clients toward a shared vision of a prosperous society and a greener future.



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1.1.2 Sustainable Development Goals

Aspect	Indicator	Corresponding SDGs	In Alignment with Taiwan's Sustainable Development Policy Goals	Material Topics for 2024	Achievement Status for 2024	Short-term Goal (by 2025)	Mid-term Goal (by 2027)	Long-term Goal (by 2030)
Sustainable Governance	Board performance evaluation (internal assessment)		Goal 8 Goal 12 Goal 16	<ul style="list-style-type: none">Regulatory complianceInformation securityEthical business practicesCorporate governanceRisk management	An internal performance evaluation of the Board of Directors is conducted annually and reported to the Board	The internal performance evaluation of the Board of Directors is conducted on an ongoing basis and incorporates ESG indicators	The internal performance evaluation of the Board of Directors is conducted on an ongoing basis and incorporates ESG indicators	The internal performance evaluation of the Board of Directors is conducted on an ongoing basis and incorporates ESG indicators
	Number of meetings held				The functional committees have held at least two meetings and conduct regular internal performance evaluations annually	Each functional committee holds at least two meetings per year and conducts regular internal performance evaluations annually	Each functional committee holds at least two meetings per year and conducts regular internal performance evaluations annually	Each functional committee holds at least two meetings per year and conducts regular internal performance evaluations annually
	Employee completion rate of compliance training courses				Taishin Securities and its subsidiaries conducted compliance training courses (including integrity management and personal data protection), achieving a 100% completion rate among all employees	100%	100%	100%
	Employee completion rate for integrity training courses					100%	100%	100%
	Employee completion rate for personal data protection awareness training					100%	100%	100%
	Company-wide annual completion rate for anti-money laundering and combating the financing of terrorism training				The completion rates for education and training programs for directors and supervisors, all employees, and specialized training for each business unit are 100%	100%	100%	100%
	The percentage of employees in the dedicated anti-money laundering unit who have obtained internationally recognized certified anti-money laundering specialist certification					Maintain 100%	Maintain 100%	Maintain 100%
	Information security professional training hours				Information security personnel completed 15 hours of external professional cybersecurity training this year, while all employees completed 3 hours of cybersecurity training	Information security personnel are required to complete 15 hours of external training annually, and all employees must complete 3 hours of cybersecurity training	Information security personnel are required to complete 20 hours of external training annually, and all employees must complete 3 hours of cybersecurity training	Information security personnel are required to complete 25 hours of external training annually, and all employees must complete 3 hours of cybersecurity training
	Number of incidents of system breaches by hackers causing operational disruptions and business impact				Zero incidents	Zero incidents	Zero incidents	Zero incidents
	Number of personal or sensitive data breaches caused by cybersecurity incidents				Zero incidents	Zero incidents	Zero incidents	Zero incidents

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Responsible Finance	Proportion of long-term investment positions committed to SBT	<div>9 INDUSTRY, INNOVATION AND INFRASTRUCTURE</div> <div>13 CLIMATE ACTION</div> <div>17 PARTNERSHIPS FOR THE GOALS</div>	Goal 9 Goal 13 Goal 17	<ul style="list-style-type: none">Climate changeBiodiversity	27.75%	27%	33%	38%
	Number of engagement cases in the year				Total: 20 cases	At least 16 cases	At least 20 cases	At least 24 cases
	Number of underwriting advisory cases incorporating sustainability transition assessments				Total: 7 cases	At least 8 cases	At least 8 cases	At least 10 cases
	Number of cases assisting small and medium-sized enterprises in raising capital in the capital market				Assisted 5 small and medium-sized enterprises with fewer than 200 employees	At least 1 case	At least 2 cases	At least 2 cases
	Voting participation rate in investee companies				100%	Maintain 100%	Maintain 100%	Maintain 100%
	Products and services designed to create environmental and social benefits				Acted as the lead underwriter for BORETECH's IPO and completed the IPO filing in 2024	Acted as the lead underwriter for BORETECH's IPO, with the listing scheduled for completion in 2025	Disclose products and services designed to create environmental and social benefits	Disclose products and services designed to create environmental and social benefits
Smart Services	Completion rate of fair treatment of customers training for board directors and supervisors	<div>8 DECENT WORK AND ECONOMIC GROWTH</div> <div>10 REDUCED INEQUALITIES</div>	Goal 8 Goal 10	<ul style="list-style-type: none">Customer relationship managementFair treatment of customersFinancial technology/ Digital innovation	Each participant completed 3 hours of training on the Financial Consumer Protection Act and Principle of Fair Treatment of Customers, with a 100% overall completion rate and a total of 976 individuals trained	100%	100%	100%
	Completion rate of fair treatment of customers training for employees					100%	100%	100%
	Net Promoter Score (NPS)				<ul style="list-style-type: none">NPS 30.5Customer satisfaction reached 83.2%	<ul style="list-style-type: none">NPS ranks among the top 10 domestic securities firms in the industryCustomer satisfaction exceeds 84% and continues to grow	<ul style="list-style-type: none">NPS ranks among the top 10 domestic securities firms in the industryCustomer satisfaction reaches 85% and continues to grow	<ul style="list-style-type: none">NPS ranks among the top 10 domestic securities firms in the industryCustomer satisfaction reaches 85% and continues to grow
	Complaint resolution timeliness rate				100%	Maintain 100%	Maintain 100%	Maintain 100%
	Ratio of online openings for sub-brokerage accounts				55.94%	33%	40%	60%
	Ratio of online openings for securities accounts				64%	67%	67%	70%

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Green Operations	Usage rate of electronic account statements	<div>7 AFFORDABLE AND CLEAN ENERGY</div> <div>12 RESPONSIBLE CONSUMPTION AND PRODUCTION</div> <div>13 CLIMATE ACTION</div>	Goal 7 Goal 12 Goal 13	<ul style="list-style-type: none">Climate changeBiodiversityRisk management	95%	Maintain 95%	Maintain 95%	Maintain 95%
	Environmental management certification				In coordination with Taishin FHC, obtained ISO 14001 Environmental Management Certification	Continuing to coordinate with Taishin FHC to obtain ISO 14001 Environmental Management Certification	Continuing to coordinate with Taishin FHC to obtain ISO 14001 Environmental Management Certification	Continuing to coordinate with Taishin FHC to obtain ISO 14001 Environmental Management Certification
	Electricity consumption				2,019,310 kWh	In coordination with Taishin FHC to achieve a 2% reduction target	In coordination with Taishin FHC to achieve a 4% reduction target	In coordination with Taishin FHC to achieve a 8% reduction target
	Greenhouse gas emissions				1,086 tCO ₂ e Increased by 12% compared to last year	In coordination with Taishin FHC to achieve a 25% reduction target	In coordination with Taishin FHC to achieve a 34% reduction target	In coordination with Taishin FHC to achieve a 46% reduction target
	Annual growth rate of green procurement				1%	1%	1%	1%
	Implementation of climate risk assessments				Completed climate-related financial disclosures	Conduct climate risk assessments and complete external disclosures	Conduct climate risk assessments and complete external disclosures	Conduct climate risk assessments and complete external disclosures
Social Inclusion	Number of participants in hosted events	<div>1 NO POVERTY</div> <div>4 QUALITY EDUCATION</div>	Goal 1 Goal 4	-	Number of attendees at the financial literacy workshop: 58 rural students, representing a 3.4-fold increase compared to last year	A 10% increase in the number of attendees	A 10% increase in the number of attendees	A 10% increase in the number of attendees
	Total fundraising amount and number of recipient organizations				The total funds raised by the 15th Charity Foundation amounted to NT\$2,672,616 The 15th “Power of Love” event included an adoption list of 25 organizations, with 11 organizations selected for this year's list	Continue promoting fundraising efforts through the Charity Foundation and the “Your Vote Determines the Power of Love” initiative	Continue promoting fundraising efforts through the Charity Foundation and the “Your Vote Determines the Power of Love” initiative	Continue promoting fundraising efforts through the Charity Foundation and the “Your Vote Determines the Power of Love” initiative
Employee Care	Sustainability training hours	<div>3 GOOD HEALTH AND WELL-BEING</div> <div>4 QUALITY EDUCATION</div>	Goal 3 Goal 4	<ul style="list-style-type: none">Employee benefits and compensationLabor-management communication	Each employee completed 3 hours of sustainability education and training (100% completion rate, with a total of 976 employees trained)	All employees receive 3 hours of training per person	All employees receive 3 hours of training per person	All employees receive 3 hours of training per person
	Employee engagement survey implementation rate				Survey completed (biennially)	100%	100%	100%
	Occupational health and safety management certification				Ongoing collaboration with Taishin FHC to obtain ISO 45001 Occupational Health and Safety Management System certification	Ongoing collaboration with Taishin FHC to obtain ISO 45001 Occupational Health and Safety Management System certification	Ongoing collaboration with Taishin FHC to obtain ISO 45001 Occupational Health and Safety Management System certification	Ongoing collaboration with Taishin FHC to obtain ISO 45001 Occupational Health and Safety Management System certification

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1.2 Sustainability Governance Structure

GRI: 2-12 、2-13 、2-14

Taishin Securities aligns with the sustainability strategies of Taishin FHC and collaborates with its functional task forces and Climate Task Force. The Board of Directors serves as the highest governing body for climate and sustainability-related issues. Beneath the Board, two key functional committees have been established: the Corporate Sustainability Committee, chaired by the Chairman, and the Risk Management Committee, chaired by an Independent Director. These committees ensure that climate and sustainability concerns are prioritized at the highest level and integrated into the Company's core operations and decision-making. Each functional committee holds meetings at least quarterly or semi-annually. In addition, the President leads the sustainability team and has established a dedicated unit, the Corporate Sustainability Section, under the Strategy and Planning Department to implement six major sustainability initiatives: Sustainable Governance, Smart Services, Responsible Finance, Employee Care, Green Operations, and Social Inclusion. Each of the six functional sub-groups is required to propose an annual sustainability plan and review the previous year's results. Meetings must be held at least quarterly to track the implementation progress of each initiative. Progress is regularly reported to the Corporate Sustainability Committee and subsequently submitted to the Board of Directors for approval, ensuring effective execution of the Company's sustainability development plan.

Corporate Sustainability Committee

The Committee is a functional committee under the Board of Directors. The Chairman of the Board serves as the Committee Chair and Convener, while two Independent Directors serve as Committee Members. Meetings are held at least semiannually to ensure effective promotion and review of sustainability policies and systems, ESG strategic direction, and annual plans. The Committee also regularly monitors and evaluates the execution and effectiveness of annual sustainability goals, strategic directions, projects, and related initiatives.

ESG Task Force

At Taishin Securities, the ESG Task Force is convened by the President, with the Corporate Sustainability Section responsible for implementation. Each department appoints representatives to serve as members of the ESG Task Force. Based on functional expertise, leaders are assigned to six major functional sub-groups, which align with the six functional groups and the Climate Task Force of Taishin FHC. The Task Force establishes short-, medium-, and long-term goals annually, actively advances various initiatives, and monitors the progress of transition measures. Progress updates are reported to the Board of Directors on a quarterly basis. All related data are collected and consolidated into the Annual Sustainability Report, through which the Company discloses the status of its sustainability initiatives to external stakeholders.

Organizational Structure of the Corporate Sustainability Committee

Board of Directors

Corporate Sustainability Committee

President

Corporate Sustainability Section of Strategy and Planning Department

Sustainable Governance

Responsible Finance

Smart Services

Green Operations

Social Inclusion

Employee Care

- The Chairman of the Board shall serve as the convener and chairperson of the meeting.
- There are two independent directors serving as members, making a total of three members on the committee.
- The committee is composed of six major working groups, each led by a team leader responsible for coordinating the execution of the group's tasks. The team leaders are selected by the President.

Committee Chair:
Guo, Jia-Hung Chairman

Committee Member:
Lin, Chia-Chen Independent Director

Committee Member:
Chi, Lai-Ping Independent Director

Annual Performance Results of Functional Sub-Groups

Sustainable Governance	We continued to conduct Board performance evaluations and completed internal training programs on regulatory compliance, business integrity, anti-money laundering, and combating the financing of terrorism. Relevant personnel also obtained required professional certifications.
Green Operations	The Zhongli Branch successfully obtained a carbon neutrality certificate. We also replaced lighting fixtures and upgraded air-conditioning systems to use hydrocarbon refrigerants, aiming to improve energy efficiency and reduce carbon emissions.
Responsible Finance	Aligned with the financial holding company's 2050 net-zero commitment, we continuously raise standards for investments and financing to reduce associated carbon emissions. The IPO filing for BORETECH was successfully completed in 2024.
Smart Services	To provide investors with a wider range of services, the "Small Bond" trading feature was successfully developed and launched on the Woojii trading platform app.
Employee Care	To deepen employees' awareness of environmental sustainability and biodiversity issues, an ESG Sustainability Seed Course was held for staff. Led by the President, employees participated in the removal of invasive aquatic plant species, taking concrete action to help preserve the ecological integrity of forest and mountain habitats.
Social Inclusion	Taishin Securities continued its partnership with the Taishin Charity Foundation to host two financial literacy workshops in rural areas, providing 58 elementary school students with basic investment knowledge and enhancing their fraud awareness. In addition, the Company partnered for the first time with Lite-On Technology to launch the "1111 ReTreasure Festival" secondhand charity sale. Employees donated over a hundred secondhand items, and all proceeds from the sale were donated to the Taiwan Environmental Information Association to support habitat conservation efforts.



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1.3 Stakeholder Engagement

GRI: 2-16 、 2-29

Taishin Securities follows the international AA1000 Stakeholder Engagement Standard (AA1000SES) in identifying and engaging stakeholders. Department heads at the Company's headquarters assess stakeholders based on five key principles: Responsibility, Influence, Tension, Diverse Perspectives, and Dependency. Through this process, seven key stakeholder groups closely related to Taishin Securities were identified and ranked by the degree of relationship as follows: government, parent company, employee, customer, supplier, media, and community.

For 2024, the Company has continued to use the stakeholder identification results and ranking order established in 2023. This approach supports long-term observation of stakeholder concerns and trend changes, as well as ongoing tracking of sustainability goal progress. During this period, Taishin Securities has established diverse communication channels to listen to stakeholders' expectations and needs, enabling effective engagement. The Company also conducts materiality assessments through stakeholder surveys to understand their priorities and incorporate the findings into strategic sustainability management.

▼ Stakeholder Identification and Engagement Results

Stakeholders	Significance to Taishin Securities	Key Concerns	Communication Channels and Frequency	2024 Communication Performance
Government	Conduct financial business with integrity and actively comply with regulatory requirements	<ul style="list-style-type: none">Corporate governance and ethical business practicesPrivacy protectionInformation and transaction securityRisk managementRegulatory compliance	<ul style="list-style-type: none">As needed, communicate with the Financial Supervisory Commission, Securities and Futures Bureau, Financial Examination Bureau, Taiwan Stock Exchange, Taipei Exchange, Taiwan Securities Association, Taiwan Depository & Clearing Corporation, Taiwan Futures Exchange, and Chinese National Futures Association via phone or email to seek clarification on regulatory compliance matters; or respond proactively in writing or by phone to inquiries from the competent authorities (on an ad hoc basis)External projects or routine audits (on an ad hoc basis)Requests for external information/documents (on an ad hoc basis)Auditors' roundtable meetings (annually)	<ul style="list-style-type: none">External audits: Communication and clarification regarding audit and document review matters, as well as assistance in reviewing audit reports. In 2024, a total of 46 external audits were conducted, including special projects by peripheral institutions, routine business audits, and document-based audits.Handling of customer complaints or document requests: Upon receiving notifications from regulatory authorities via phone, official letter, or fax, the audit division thoroughly investigates the case or information request, explains the situation to the relevant business unit, and provides updates or required materials. Through timely communication and handling, the Company fosters positive interactions with regulators and actively responds to their expectations for ethical business practices.
Parent company	Taishin Securities' steady growth and sustainable operations are made possible by the long-term support of its parent company	<ul style="list-style-type: none">Ethical business practicesEconomic performanceRegulatory complianceInformation securitySustainable financeClimate changeGreenhouse gas emissionsHuman rights	<ul style="list-style-type: none">Hold responsible finance meetings (quarterly)Hold management monthly meetings (monthly)	<ul style="list-style-type: none">Convened 4 responsible finance task force meetingsConvened 12 management monthly meetings
Employee	Employees are the key to sustainable operations, and the Company is committed to providing robust training programs and competitive compensation and benefits	<ul style="list-style-type: none">Employee benefits and compensationEmployee recruitment and retentionLabor-management communicationEmployee diversity and equalityFinancial technology/Digital innovation	<ul style="list-style-type: none">Employee relations mailbox/care hotline and dedicated service representatives (real-time)Morning meetings and labor-management meetings (quarterly)Employee opinion survey for specific departments (annually)Company-wide employee opinion survey (every two years)Sharing of ESG news and trend reports (quarterly)	<ul style="list-style-type: none">In 2023, overall employee engagement reached 79%. The next survey is scheduled for 2025.ESG news and trend reports were provided in the fourth quarter of 2024.

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Stakeholders	Significance to Taishin Securities	Key Concerns	Communication Channels and Frequency	2024 Communication Performance
Customer	To meet the diverse needs of customers, we offer a wide range of high-quality financial products along with attentive after-sales services	<ul style="list-style-type: none">Information securityCustomer relationship managementRegulatory complianceEthical business practicesCorporate governance	<ul style="list-style-type: none">Customer service hotline, customer service email, official fee schedule for sub-brokerage services across different markets, customer trading information manual, customer complaint hotline, online message submission for website visitors, financial consumer dispute resolution section, service locations and staff across the province (real-time)Paper statements or emailed statements (monthly)Sub-brokerage training sessions across various channels (on an ad hoc basis)Stock affairs agency: Provides stock-related operations, proxy solicitation, and shareholder meeting services (real-time)Manual order execution in the trading room (real-time)Hosting investment forums and seminars for institutional clients of the Taiwan stock market (quarterly)Providing research reports to institutional clients (real-time)Assisting issuing companies with Initial Public Offering (IPO) and Secondary Public Offering (SPO) applications: The Capital Market Division provides a high-quality advisory team to support listing applications and capital raising needs for issuing companies (real-time)Sharing of ESG news and trend reports (quarterly)	<ul style="list-style-type: none">Continuously expanding services on the Woojii App, such as trading of Japanese/ U.S. stocks and intraday trading calculatorsAvailable securities services on the Mobile Banking App and Richart include: securities and sub-brokerage account opening, regular saving plans for Taiwan and U.S. stocksConducting customer satisfaction surveysIn 2024, completed a total of 7 IPOs amounting to NT\$4.5 billion and 27 SPOs totaling NT\$13.4 billionMonthly delivery of account statements via paper or emailIn 2024, hosted a total of 122 sub-brokerage training sessions (including customer briefings) and 4 institutional investor forumsHosting quarterly investment forums for institutional clients in the Taiwan stock marketProviding research reports to institutional clientsAll customer complaints were responded to and resolved within 30 daysESG news and trend reports were provided in the fourth quarter of 2024.
Supplier	Actively manage suppliers and collaborate with value chain partners to uphold and fulfill commitments to social responsibility	<ul style="list-style-type: none">Regulatory complianceEthical business practicesInformation securityFinancial technology/Digital innovationCustomer relationship management	<ul style="list-style-type: none">All signed contracts include information security clauses, with evaluations of outsourced IT maintenance conducted at the time of contract signing; contracts are accompanied by a Supplier Code of Conduct outlining risk management requirements (real-time)Project team members and department heads engage with suppliers to jointly discuss compliance, information security, and related issues to develop appropriate response measures (on an ad hoc basis)Supplier self-assessment questionnaires (annually)Supplier audits conducted as needed (on an ad hoc basis)Sharing of ESG news and trend reports (quarterly)	<ul style="list-style-type: none">100% of procurement contracts include the Supplier Code of Conduct and information security clausesTaishin Securities vendor non-disclosure agreementTaishin Securities information service provider self-assessment formOutsourced IT maintenance evaluation checklistAudit reports on outsourced vendorsESG news and trend reports were provided in the fourth quarter of 2024.
Media	The media plays a vital role in both delivering and receiving information. Through positive engagement, Taishin Securities communicates its sustainability vision and strategy effectively	<ul style="list-style-type: none">Fair treatment of customersCustomer relationship managementInformation securityEmployee benefits and compensationRegulatory complianceFinancial technology/Digital innovation	<ul style="list-style-type: none">ESG news reports related to the Company are updated on the official website (on an ad hoc basis)Financial holding group business marketing (strategic collaboration with the bank and life insurance subsidiaries; on an ad hoc basis)Marketing campaigns via the official website and electronic trading platforms (regular and ad hoc)Cross-industry partnerships with emerging media platforms (on an ad hoc basis)	<ul style="list-style-type: none">An English-language section dedicated to corporate sustainability has been established on the official websiteA total of 3 ESG news reports have been publishedRegular promotions for securities, futures, and sub-brokerage account openings are conducted on the official websiteBank referral campaigns
Community	Actively maintain connections with community-related organizations to reduce resource distribution gaps, jointly promote sustainable values, and expand social impact	<ul style="list-style-type: none">Employee diversity and equalityEmployee benefits and compensationEmployee career developmentSupply chain managementHuman rights	<ul style="list-style-type: none">Fair Treatment of Customers Promotion Committee meetings (quarterly)Hosting a financial literacy workshop for rural communitiesSponsoring sports eventsCharitable donations for public welfare	<ul style="list-style-type: none">Held four Fair Treatment of Customers Promotion Committee meetingsA financial literacy workshop was attended by 58 elementary school studentsInvested NT\$9,050,000 in sports eventsMade charitable donations totaling NT\$2,672,616

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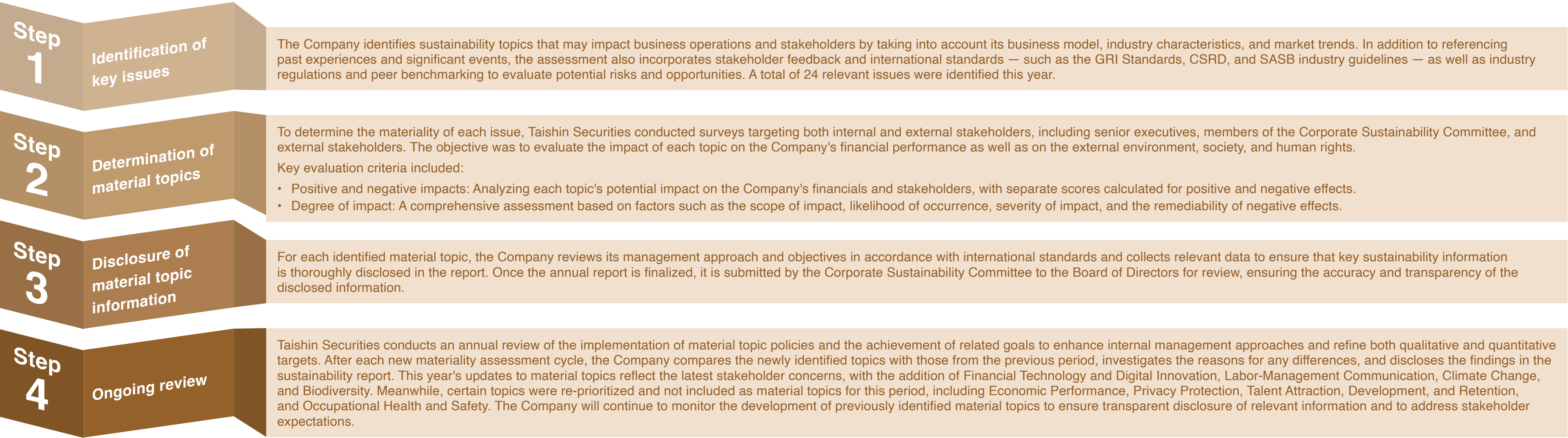
1.4 Material Topic Analysis

GRI: 3-1 、3-2 、3-3

1.4.1 Materiality Assessment Explanation

Each year, Taishin Securities conducts a materiality assessment through its Corporate Sustainability Committee, taking into account the Company's business operations, industry characteristics, and value chain impacts. This process includes stakeholder engagement and consultation with external experts, and is aligned with the double materiality principle as emphasized in paragraphs 29, 37, and 39 of the Corporate Sustainability Reporting Directive (CSRD). Furthermore, the process follows the GRI 2021 Standards (GRI 3), ensuring adherence to the principles of materiality, completeness, and stakeholder inclusiveness in evaluating the Company's sustainability impacts on stakeholders.

During the reporting period, a total of 24 sustainability topics were identified. These were further prioritized through surveys completed by stakeholders and senior internal management, resulting in the selection of 12 material topics for the year. These topics are categorized as follows: Governance: Regulatory Compliance, Information Security, Fair Treatment of Customers, Ethical Business Practices, Corporate Governance, Risk Management, Social: Employee Welfare and Compensation, Labor-Management Communication, Product: Customer Relationship Management, Financial Technology and Digital Innovation, and Environmental: Climate Change, Biodiversity. The results were visually communicated through a Double Materiality Matrix, ensuring transparency with stakeholders. For each material topic, Taishin Securities has formulated sustainability management approaches and established short-, medium-, and long-term goals to implement ESG strategies, fulfill stakeholder expectations, and advance sustainable corporate development.



1.4.2 Materiality Assessment Process

For the 12 selected material topics, Taishin Securities conducted a positive and negative impact assessment in 2024, in line with the GRI 2021 Standards. The assessment results indicated that Customer Relationship Management, Marketing and Labeling, and Regulatory Compliance have generated the most significant positive impacts on Taishin Securities. These findings demonstrate that strong customer relationships, fair treatment, and regulatory compliance can create tangible value by enhancing market competitiveness and building stakeholder trust. On the negative impact side, Information Security, Regulatory Compliance, and Customer Relationship Management were identified as the most critical areas. This highlights the potential reputational risks and regulatory penalties that could arise from cybersecurity breaches and non-compliance with laws and regulations. Based on this comprehensive analysis, the Company will prioritize efforts to strengthen cybersecurity, compliance, transparent communication, and fair service practices, aiming to mitigate risks while enhancing both business and societal value through sustainable operations. The 2024 materiality assessment results and management approaches were reviewed and approved by the ESG Task Force and subsequently ratified by the Board of Directors.

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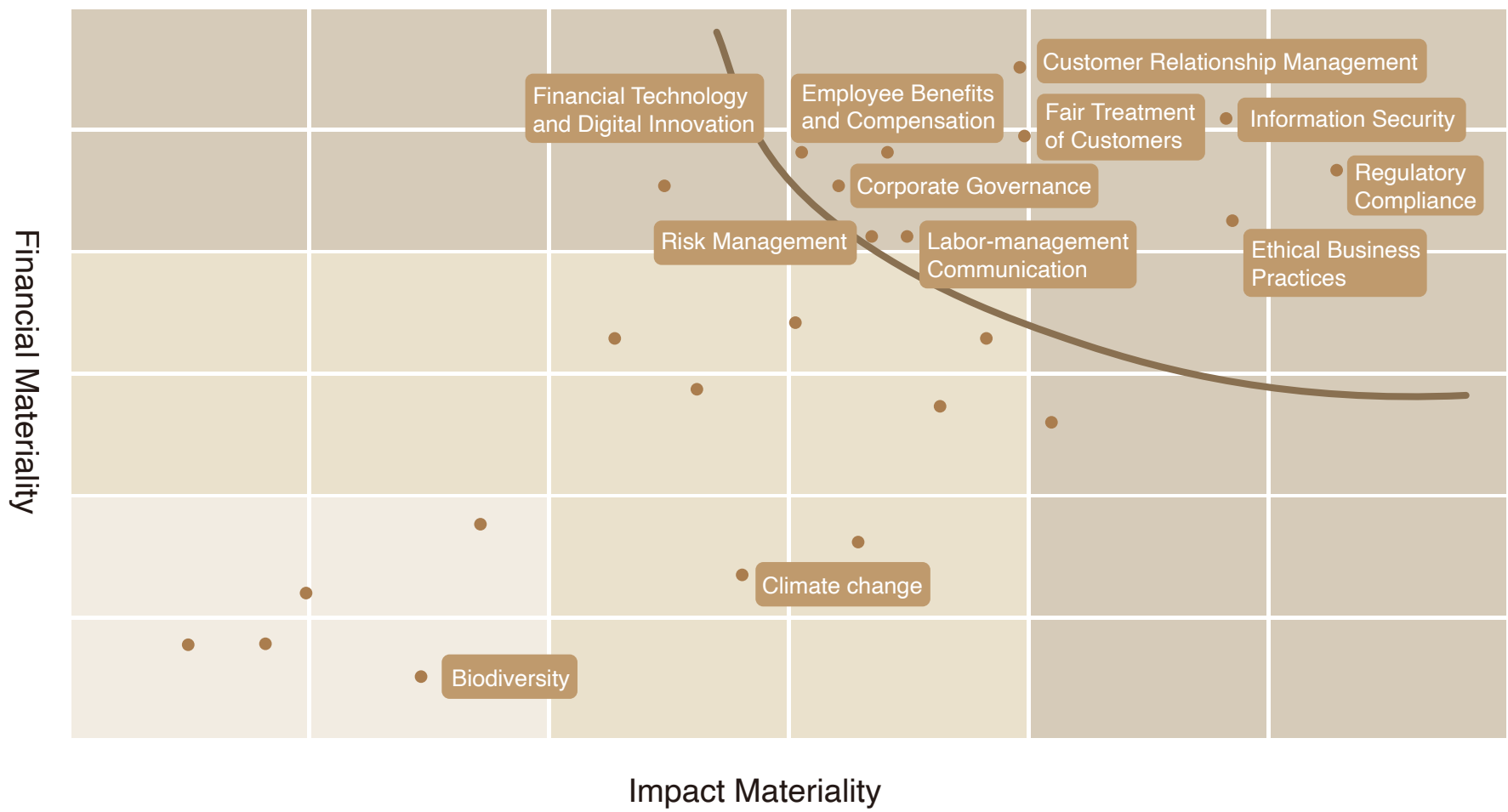
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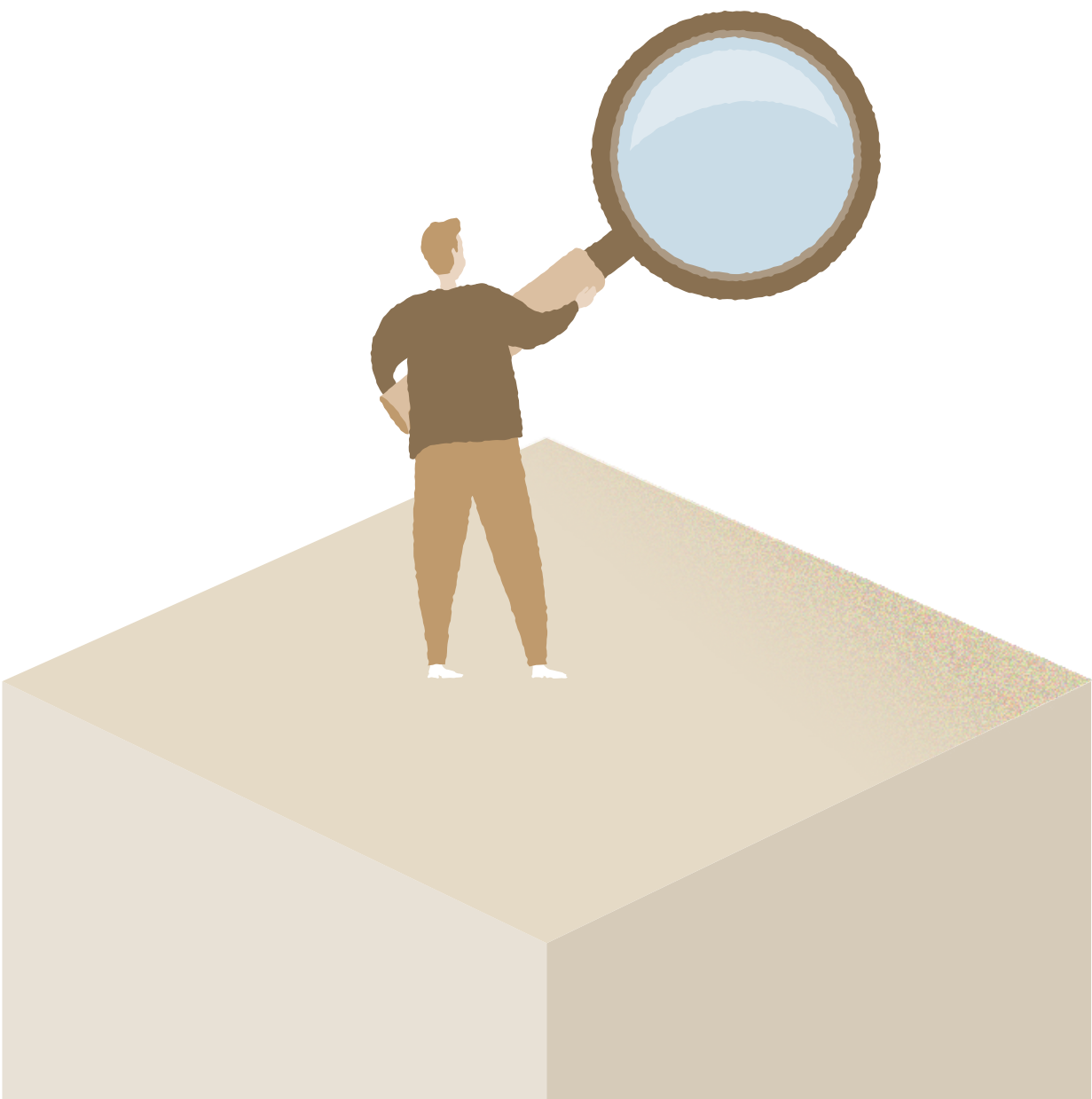
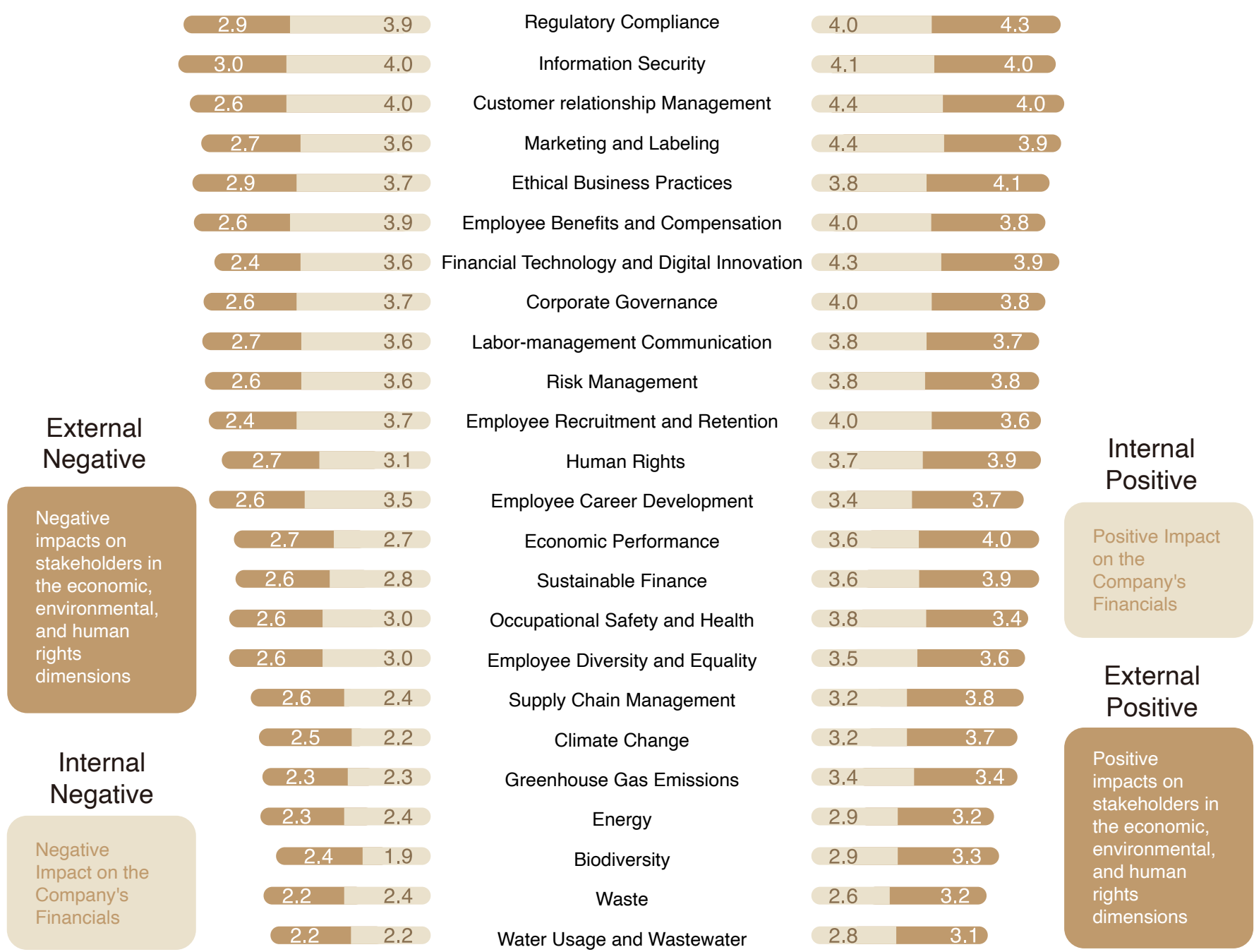
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2024 Materiality Matrix



Assessment of Positive and Negative Impacts of Material Topics



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1.4.3 Material Topics and Impact Boundaries

Material Topics	The Significance of Material Topics to Taishin Securities	eValue Chain						
		● indicates a direct impact, ○ indicates an indirect impact, ▲ indicates an impact arising from a business relationship						
		Government	Parent company	Employee	Customer	Supplier	Media	Community
Regulatory compliance	To ensure that its operations remain in full compliance with applicable laws and regulations, Taishin Securities regularly reviews relevant risks and conducts training programs to strengthen compliance awareness, thereby earning the trust of key stakeholders.	●	○	○	●	▲	○	○
Information security	Taishin Securities takes information and transaction security seriously and continuously strengthens its cybersecurity capabilities. This includes establishing information security policies in line with international standards, implementing regular monitoring, and conducting cybersecurity drills to ensure stable financial services and protect customer interests.	●	○	●	●	▲	○	○
Customer relationship management	Taishin Securities is committed to protecting customer rights and delivering a positive customer experience. The Company responds appropriately to customer needs, enhances satisfaction, and provides multiple channels for feedback.	-	○	○	● / ▲	○	○	-
Fair treatment of customers	Taishin Securities upholds the principle of fair treatment of customers by strictly complying with marketing and product labeling regulations. The Company ensures full communication with customers to protect their rights and interests.	●	○	○	● / ▲	○	○	-
Ethical business practices	Taishin Securities is firmly committed to ethical business practices and strives to prevent corruption, bribery, fraud, and insider trading. These efforts are aimed at maintaining stable operations and safeguarding the interests of stakeholders.	●	●	○	●	○	▲	-
Employee benefits and compensation	Taishin Securities offers competitive compensation packages and strives to enhance job satisfaction. Its comprehensive welfare policies reflect the Company's care for its employees and contribute to a strong corporate culture.	○	●	●	-	-	-	-
Financial technology/ Digital innovation	Taishin Securities leverages emerging technologies and develops innovative products to allocate resources more effectively, improve customer satisfaction, and generate positive economic outcomes for the Company.	○	●	○	●	●	○	-
Corporate governance	Taishin Securities enhances internal governance and operational transparency, thereby strengthening its corporate image and building trust with investors and regulatory authorities.	●	○	●	●	-	▲	-
Labor-management communication	Taishin Securities actively cares for its employees by providing effective two-way communication channels between labor and management, fostering strong consensus and boosting employee morale.	-	○	●	-	-	-	-
Risk management	Taishin Securities regularly identifies potential risks and establishes appropriate control mechanisms to strengthen its risk resilience, laying a solid foundation for sustainable operations.	●	●	●	●	-	-	-
Climate change	Taishin Securities takes proactive measures to address climate change, mitigating risks such as increased raw material costs, droughts, and typhoons. The Company also seizes opportunities for transition investments to enhance resilience and brand value.	●	○	●	○	▲	○	-
Biodiversity	Taishin Securities actively addresses biodiversity issues by avoiding operations in key biodiversity areas, aiming to support long-term ecological conservation and restoration.	●	○	○	○	○	○	●

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1.4.4 Sustainability Management Approach for Material Topics

Material Topics	Corresponding GRI Topics	Positive/ Negative Impacts	Policies and Commitments	Communication Mechanisms	Self-Assessment Mechanisms	Potential Financial Impacts	Corresponding Sections of Specific Actions
Regulatory compliance	GRI 2-27 Legal Compliance	<p>+ Positive impact:</p> <p>Compliance with regulations helps maintain stability and order in the financial markets, protects the rights and interests of customers and the general public, and reduces the Company's exposure to regulatory risks in its operations.</p> <p>— Negative impact:</p> <p>Failure to keep up with regulatory updates in a timely manner may result in penalties or fines, potentially damaging the Company's reputation.</p> <p>Inadequate compliance may harm customer and public interests, disrupt market order, undermine the sound development of financial markets, and expose the Company to significant operational risks.</p>	The Company's regulatory compliance policies include the “Content and Procedures for Compliance Assessment” and the “Guidelines for Compliance Risk Reporting,” and all related operations are conducted in accordance with these regulations	<ul style="list-style-type: none">Promoting legal compliance topics via email (on an ad hoc basis)Educational training sessionsFace-to-face communication with relevant departments	Taishin Securities reviews its compliance practices in a timely manner in response to external regulatory amendments	Taishin Securities actively enforces legal compliance measures. While violations of regulatory requirements could result in fines ranging from NT\$300,000 to NT\$6,000,000, the potential financial impact is expected to be minimal	2.4 Regulatory Compliance
Information security	GRI 418 Customer Privacy	<p>+ Positive impact:</p> <p>A robust information security and customer data protection system ensures service stability and safety, reducing the risk of data breaches for both the Company and its customers.</p> <p>Strong cybersecurity can also be a competitive advantage, particularly appealing to institutional clients with high security sensitivity.</p> <p>— Negative impact:</p> <p>A cyberattack that results in a data breach could severely damage customer trust and the Company's reputation.</p> <p>Inadequate cybersecurity may increase the likelihood of being targeted by hackers, raising the risk of operational disruptions or personal data leaks.</p>	In compliance with the competent authority's directive to "Establish Information Security Inspection Mechanisms for Securities Firms," the Company has established its own Information Security Policy, implemented an information security management system for its core business systems, and obtained ISO 27001 certification	<ul style="list-style-type: none">Risk Management CommitteeCybersecurity management meeting	Each quarter, the status of information security implementation is reported to the Risk Management Committee. The Information Security Implementation Task Force holds at least one cybersecurity management meeting annually. Each year, the Chief Information Security Officer must report the overall information security implementation status of the previous year to the Board of Directors and jointly issue a declaration with the Chairman, President, and Chief Auditor.	The Company places great importance on information security. The estimated potential financial impact is limited to the costs associated with implementing the information security management system, obtaining certifications, and acquiring related security equipment	2.3 Information Security and Privacy Protection
Customer relationship management/ Fair treatment of customers	GRI 417 Marketing and Labeling	<p>+ Positive impact:</p> <p>Establishing complaint handling procedures and feedback mechanisms, along with proactive communication and proper resolution, can enhance customer satisfaction and strengthen brand loyalty.</p> <p>Providing services and products based on fairness ensures the protection of customer rights and interests.</p> <p>— Negative impact:</p> <p>Failure to uphold fair treatment or improper handling of customer complaints may result in the infringement of customer rights, loss of trust in the Company, and negative media coverage, ultimately harming the Company's reputation.</p>	All marketing content provided to clients complies with the Advertising Regulations set by the Taiwan Securities Association. Marketing and promotional activities uphold social ethics and the principles of honesty and integrity. They are conducted with the intent to protect investors and maintain a fair securities trading market. In addition, the Company ensures a clear understanding of the risk tolerance of both elderly and younger clients, fully adhering to the principles of disclosure and transparency to safeguard customer rights.	<ul style="list-style-type: none">Fair Treatment of Customers Committee	<ul style="list-style-type: none">Every six months, during the risk self-assessment process, all advertising practices are reviewed to ensure compliance with the association's regulationsEach business unit reports the implementation status quarterly to the Fair Treatment of Customers Committee	The Company estimates potential financial impact by referencing customer experience measurement studies	6.2 Customer Relationship Management

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Material Topics	Corresponding GRI Topics	Positive/ Negative Impacts	Policies and Commitments	Communication Mechanisms	Self-Assessment Mechanisms	Potential Financial Impacts	Corresponding Sections of Specific Actions
Ethical business practices	GRI 205 Anti-corruption	+ Positive impact: Implementing internal whistleblowing mechanisms and integrity education helps prevent corruption and insider trading risks.	The Company places great importance on corporate governance and ethical business practices. In addition to adhering to Taishin FHC' Ethical Corporate Management Best Practice Principles, the Company has also established internal guidelines such as the Employee Code of Conduct. Furthermore, annual educational sessions on corporate governance and ethical business practices are held to deepen all employees' understanding of these critical issues.	• Online training sessions and discussion meetings	The Human Resources Department reviews the completion status of training courses across the Company	The Company places great importance on corporate governance and ethical business practices. While violations of legal regulations could result in fines ranging from NT\$300,000 to NT\$6,000,000, the potential financial impact is expected to be minimal.	2.1 Corporate Governance and Ethical Business Practices
	GRI 206 Anti-competitive Behavior	Strengthening ethical business practices enables effective oversight of departmental operations, fosters a transparent and sound operating environment, reduces regulatory risks, and protects the rights of stakeholders such as customers and employees. — Negative impact: Improper transfer of benefits or conflicts of interest may lead to regulatory penalties, incidents of corruption, or harm to customer rights. These situations can damage the Company's reputation and stakeholder trust, ultimately hindering sustainable operations and long-term development.					
Employee benefits and compensation	GRI 2-21 Annual Total Compensation Ratio	+ Positive impact: Offering competitive compensation and flexible benefits enhances employee retention and satisfaction.	The Company regularly participates in market salary surveys to design a fair and motivating compensation system, ensuring that employees' pay is not affected by gender, age, or ethnicity. We offer benefits that exceed the requirements of the Labor Standards Act and have developed a comprehensive range of welfare programs that support employees and their families throughout various stages of life — from onboarding to retirement.	Benchmarking against high-performing financial institutions both domestically and internationally, the Company has designed a fair and motivating compensation system. This ensures employees are rewarded with competitive salaries and comprehensive benefits	Through employee opinion surveys, the Company gathers feedback and insights. In the “Compensation and Benefits” section, employees provided positive evaluations regarding the link between compensation and performance.	The Company maintains a comprehensive and stable employee benefits system, with the estimated potential financial impact limited to related compensation and benefits expenses.	7.2 Employee Compensation and Benefits
	GRI 201 Economic Performance	Implementing diverse and flexible work arrangements helps attract younger generations and female talent.					
	GRI 401 Employment	— Negative impact: An incomplete employee benefits policy may compromise employee rights, increase turnover rates, and negatively impact business operations and corporate image.					
Financial technology/ Digital innovation	GRI 404 Training and Education	Failing to address employee welfare needs could lead to talent loss and weaken the Company's overall competitiveness.	Taishin Securities' financial technology development is customer-centric and focuses on three innovative business model dimensions: cross-industry collaboration, customer experience, and digital services. We strive to provide customers with one-stop, borderless, real-time financial services, enabling them to access comprehensive securities investment services anytime, anywhere.	• Management meetings	Key performance indicators and progress of digital priority projects are tracked through monthly management meetings.	The Company estimates potential financial impact by referencing customer experience measurement studies.	6.1 Financial Technology and Innovation
	Self-defined topic	+ Positive impact: Enhancing trading efficiency and user experience through digital trading platforms and mobile apps reduces paper usage and carbon emissions. In addition, by collaborating with group subsidiaries, the Company delivers accessible investment and financial planning services tailored to young and entry-level investors, fostering a more inclusive financial ecosystem and expanding business reach to younger demographics. — Negative impact: If new platforms are rolled out too rapidly without adequate testing, customers may suffer losses due to operational errors or experience an increase in complaints. Rapid technological evolution, if not supported by sufficient talent and resources, may lead to the risk of failed digital transformation.					

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Corporate governance	GRI 2-10 Nomination and Selection of the Highest Governance Body	+ Positive impact: Robust corporate governance is essential for stable and sound business operations. Through continuous stakeholder communication and high-quality information disclosure — alongside regular review and optimization of the governance structure — the Company can strengthen stakeholder trust and realize long-term corporate value.	Chairman of the Board serves as the convener and presiding officer of the Board of Directors, which acts as the Company's highest governance authority. The Board oversees the execution of business activities across all departments to ensure that all decisions comply with legal requirements and ethical standards. With a comprehensive governance framework in place, the governance units are able to fully grasp the Company's operational status and development objectives, and can respond promptly to changes in the market.	• Board of Directors	The Board Performance Evaluation Method has been approved by the Board of Directors and follows the subsidiary management guidelines of Taishin FHC. It assesses various board functions, including corporate finance, risk management, legal compliance, and reporting of significant matters, to ensure the board can effectively fulfill its responsibilities.	The Company places great importance on corporate governance and ethical business practices. While violations of legal regulations could result in fines ranging from NT\$300,000 to NT\$6,000,000, the potential financial impact is expected to be minimal.	2.1 Corporate Governance and Ethical Business Practices
	GRI 2-11 Chair of the Highest Governance Body						
Labor-management communication	GRI 2-15 Conflicts of Interest	— Negative impact: An unclear governance structure may result in poor decision-making and adversely affect business operations. Weak corporate governance mechanisms can lead to lapses in integrity, corruption, and misconduct, which may harm the Company's reputation, infringe upon stakeholder rights, and even negatively impact the stability of the overall financial market.					
	GRI 2-17 Collective Knowledge of the Highest Governance Body						
	GRI 2-18 Evaluation of the Performance of the Highest Governance Body						
	GRI 2-19 Remuneration Policies						
	GRI 2-20 Process to Determine Remuneration						
	GRI 2-23 Policy Commitments						
	GRI 2-24 Embedding Policy Commitments						
	GRI 2-25 Processes to Remediate Negative Impacts						
	GRI 2-26 Mechanisms for Seeking Advice and Raising Concerns						
	GRI 2-30 Collective Bargaining Agreements	+ Positive impact: Proactively caring for employees and providing effective two-way communication channels between labor and management help foster strong consensus and increase employee engagement.	The Company selects labor-management negotiation representatives based on legally compliant gender and headcount requirements. Quarterly labor-management meetings are held to report on employee-related issues and labor health promotion services. These meetings also serve as a forum to discuss and reach consensus on relevant personnel policies.	• Labor-management meetings	In the 2023 employee engagement survey, the Company found a significant increase in employees' awareness of work-life balance. As a result, we are actively focusing on reviewing current benefits and establishing more comprehensive welfare measures from multiple angles, including financial support, family care, and employee physical and mental health. We aim to leverage the resources of the financial holding group to create a more harmonious work-life environment.	The Company provides diverse and effective communication channels along with a stable employee benefits system. The estimated potential financial impact is limited to the associated compensation and welfare expenses.	7.4 Labor-Management Relations
	GRI 402 Minimum Notice Periods regarding Operational Changes						
		— Negative impact: Poor labor-management communication can hinder effective dialogue between the Company and its employees, potentially reducing operational efficiency.					
		A prolonged lack of effective communication and care mechanisms may lead to higher turnover rates and increased talent loss costs.					

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Risk management	GRI 201 Economic Performance	<p>⊕ Positive impact:</p> <p>Through risk management policies and related systems, various risks can be effectively identified and controlled, ensuring that business operations remain within acceptable limits. Robust risk management helps reduce the likelihood of potential risks and enables swift response when incidents occur, safeguarding the rights and interests of employees and stakeholders.</p> <p>⊖ Negative impact:</p> <p>Failure to properly manage external emerging risks, market fluctuations, or internal risks such as credit, operational, and liquidity risks may result in business activities exceeding the Company's risk tolerance or lead to idle capital. These issues could trigger major compliance violations or operational losses, adversely affecting the interests of customers, shareholders, and other stakeholders.</p>	<p>Article 3 of Taishin Securities' Risk Management Policy defines the Company's risk management organizational structure and related responsibilities. The Board of Directors holds ultimate responsibility for risk management and serves as the highest governance body in this area. The objectives of the Company's risk management policy are as follows:</p> <ol style="list-style-type: none">1. Establish and operate an integrated risk control mechanism for market risk, credit risk, operational risk, liquidity risk, and legal risk. By centralizing control and diversifying risk exposure, the Company aims to achieve effective risk management.2. Recognizing that climate change can directly or indirectly impact the Company's financials, strategy, operations, and products, the Company seeks to identify the interconnections between climate risk and credit, market, liquidity, and operational risks. A dedicated mechanism for climate risk assessment and management has been established, and related climate risk information is disclosed accordingly.3. Enhance asset quality.4. Improve capital allocation efficiency and pursue the highest risk-adjusted returns. By establishing a sound and comprehensive risk management framework, the Company strives to achieve long-term sustainable development.	<ul style="list-style-type: none">• Risk Management Committee	<p>Taishin Securities implements both internal and external review mechanisms to ensure appropriate risk management, keeping all identified risks within acceptable levels.</p> <p>Each unit:</p> <p>Each unit conducts periodic self-assessments and internal reviews to examine whether suitable control points are in place for their respective operations. Sampling evaluations are also carried out to assess the effectiveness of implementation. In addition, findings from internal and external audits are reviewed to determine whether adjustments to control points are necessary, ensuring the effectiveness of the self-review process.</p> <p>Risk management department:</p> <p>The Risk Management Committee regularly reports on the execution of various risk control measures, ensuring oversight of risk across the organization.</p> <p>External institutions:</p> <p>The Company undergoes financial inspections and evaluations by regulatory authorities. It also applies for external credit ratings annually, enabling a comprehensive external review by rating agencies.</p>	<p>The potential financial impact is primarily assessed based on the results of stress testing.</p>	<p>2.2 Risk Management</p> <p>3.2 Climate Risk Management</p>
Climate change/ Biodiversity	GRI 201 Economic Performance GRI 304 Biodiversity	<p>⊕ Positive impact:</p> <p>Actively promoting climate action helps companies identify and respond to extreme weather risks, invest early in the development of sustainable products and services, and leverage their role as financial institutions to support industry and society in adapting to climate change and advancing toward net-zero transformation.</p> <p>Through sustainable financial instruments — such as green bonds and responsible investing — companies can support ecological conservation initiatives, provide financial incentives for projects aimed at reducing ecological risks, and drive industry-wide efforts to preserve biodiversity.</p> <p>⊖ Negative impact:</p> <p>Climate change may lead to more frequent extreme weather events and intensify global warming, forcing industries to bear higher adaptation costs and potentially threatening the survival of both humans and ecosystems.</p> <p>A lack of biodiversity-related financial transaction reviews could result in capital flowing into industries that contribute to habitat destruction or high pollution, which may indirectly lead to species extinction and ecosystem degradation, along with negative publicity and reputational damage.</p>	<p>The Company supports the government's "Green Finance Action Plan 3.0" and publicly commits to achieving net-zero emissions at our office operations by 2030, with a goal of reaching full net-zero emissions by 2050.</p>	<ul style="list-style-type: none">• Board of Directors• Risk Management Committee• Corporate Sustainability Committee• Six functional sub-groups	<p>Taishin Securities implements both internal and external review mechanisms to ensure appropriate risk management, keeping all identified risks within acceptable levels.</p> <p>Each unit:</p> <p>Each unit conducts periodic self-assessments and internal reviews to examine whether suitable control points are in place for their respective operations. Sampling evaluations are also carried out to assess the effectiveness of implementation. In addition, findings from internal and external audits are reviewed to determine whether adjustments to control points are necessary, ensuring the effectiveness of the self-review process.</p> <p>Risk management department:</p> <p>The Risk Management Committee regularly reports on the execution of various risk control measures, ensuring oversight of risk across the organization.</p> <p>External institutions:</p> <p>The Company undergoes financial inspections and evaluations by regulatory authorities. It also applies for external credit ratings annually, enabling a comprehensive external review by rating agencies.</p>	<p>The potential financial impact is primarily assessed based on the results of stress testing.</p>	<p>3.1 Net Zero Emission Goal</p> <p>3.2 Climate Risk Management</p> <p>4.5 Sustainable Environment</p>

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2.1 Corporate Governance and Ethical Business Practices

2.1.1 Corporate Governance Structure

Taishin Securities is deeply rooted in Taiwan and operates with the core values of integrity, professionalism, stability, and enthusiasm. We are committed to becoming a trusted financial partner for our clients. To enhance corporate governance effectiveness, the Chairman of the Board serves as the convener and presiding officer of the Board of Directors, which acts as the Company's highest governance authority. The Board oversees the execution of business activities across all departments to ensure that all decisions comply with legal requirements and ethical standards. With a comprehensive governance framework in place, the governance units are able to fully grasp the Company's operational status and development objectives, and can respond promptly to changes in the market.

To embody the spirit of corporate governance, Taishin Securities has established two functional committees under the Board of Directors: the Corporate Sustainability Committee and the Risk Management Committee. These functional committees convene regularly — at least quarterly or semiannually — to fulfill corporate social responsibility, promote progress in economic, environmental, and social dimensions, and develop mitigation measures related to climate risks and opportunities.

2.1.2 Composition and Operations of the Board of Directors

Composition of the Board of Directors

Taishin Securities incorporates diversity into the composition of its Board of Directors. Selection criteria for board members are not limited by gender, age, race, or nationality. Instead, the Company takes a comprehensive approach, considering factors such as independence, professional background, skills, and overall diversity. The current Board features a wide range of expertise and industry experience, including banking, securities, investment, insurance, small and medium-sized enterprises, accounting, leadership, international exposure, information technology, and academia. All board members possess relevant knowledge or expertise in risk management, enabling them to effectively oversee major strategic decisions made by the management team.

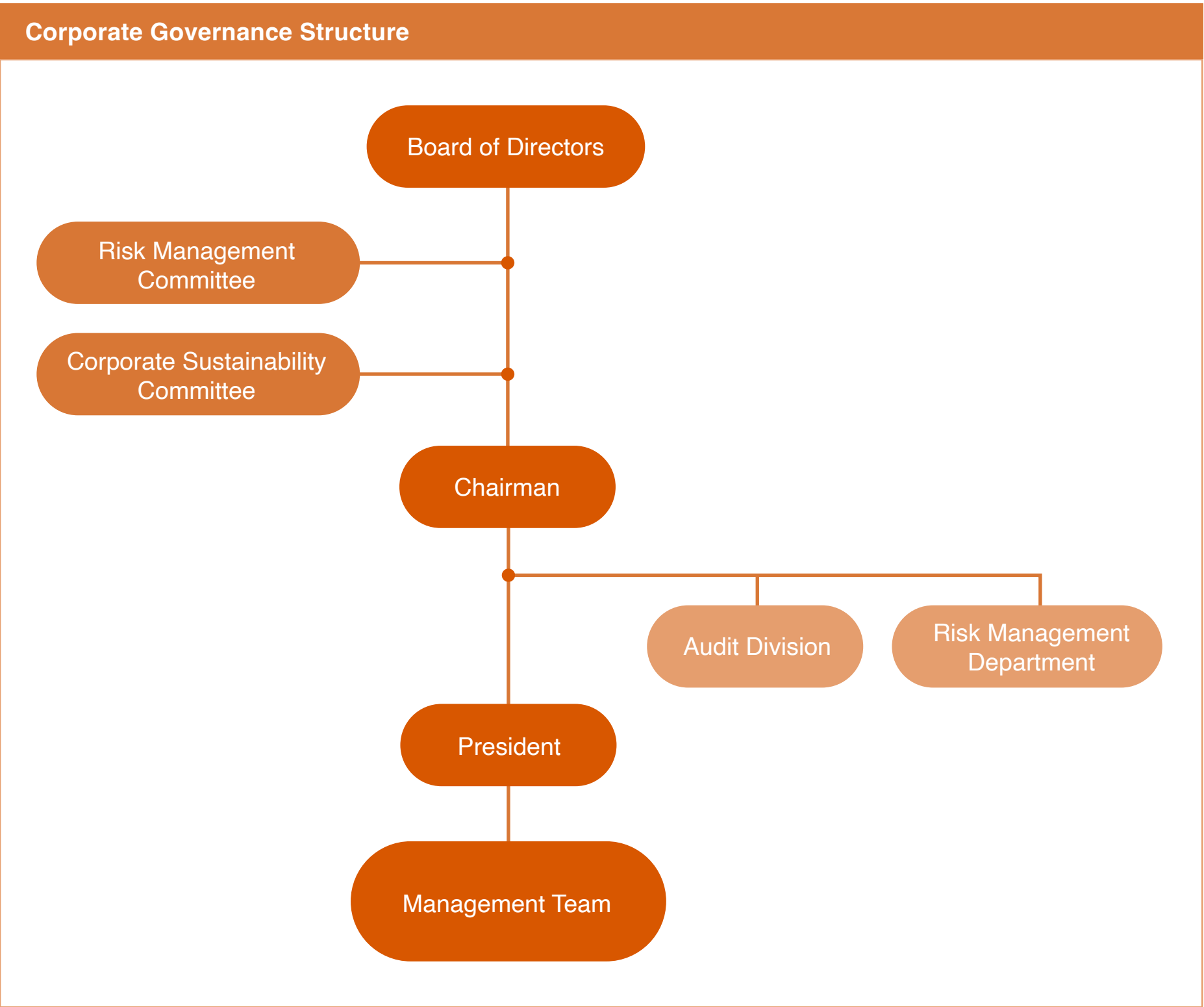
Each term of the Board lasts three years. The 13th Board was elected on May 11, 2022, and consists of 8 members (including 2 female directors), with 2 serving as independent directors, representing 25% of the Board. As of December 2024, the average tenure of current directors is 7.52 years, and none of the independent directors has served more than three consecutive terms.

▼ Board of Directors – Gender and Age Distribution

	Gender Distribution of Board Members		Age Distribution of Board Members			
	Male	Female	Aged 30-49	Aged 50-59	Aged 60-69	Aged 70 and Above
Number of people	8	2	1	2	5	2
Percentage	80%	20%	10%	20%	50%	20%

GRI: 2-9、2-10、2-11、2-13、2-15、2-17、2-18、2-19、2-20、2-23、2-24、2-25、2-26、205-1、205-2、205-3、206-1、405-1

SASB: FN-IB-510a.1、FN-IB-510a.2、FN-IB-510b.1、FN-IB-510b.2、FN-IB-510b.3、FN-IB-510b.4、FN-IB-550b.1、FN-IB-550b.2



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▼ Board Member Profiles

Job Title	Name	Gender	Term of Office	Industry Experience				Professional Competence			
				Banking	Securities	Insurance	Other Industries	Asset Management	Financial Accounting	Risk Management	Information Technology
Chairman	Guo, Jia-Horng	Male	Three years								
Director	Lin, Wei-Jiun	Male	Three years								
Director	Wu, Shin-Hau	Male	Three years								
Director	Lu, Po-Yung	Male	Three years								
Director	Lin, Shu-Chen	Female	Three years								
Director	Pao, Gwo-Yi	Female	Three years								
Independent Director	Chi, Lai-Ping	Male	Three years								
Independent Director	Lin, Chia-Chen	Male	Three years								
Supervisor	Wu, Tong-Shung	Male	Three years								
Supervisor	Tsai, Hung-Hsiang	Male	Three years								

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Operations of the Board of Directors

Major ESG-Related Proposals

In accordance with the Implementation Strategies for Sustainable Development Transformation of the Securities and Futures Industry announced by the competent authority on March 8, 2022 — which outlines three main implementation frameworks, ten strategic directions, and twenty-seven specific measures — Taishin Securities includes ESG implementation status as a regular reporting item to the Board of Directors on a quarterly basis. The report covers the execution progress of the following topics:

• Short-, medium-, and long-term goals
• Cybersecurity protection mechanisms
• Core operational systems and equipment
• Accountability mechanism
• Climate change risk assessmen
• Due diligence and evaluation procedures conducted by underwriters for IPO or listing
• Disclosure of engagement with investee companies, shareholder meeting voting records, and exclusionary investment criteria
• ESG performance of venture capital businesses, private equity funds, and trading subsidiaries

Appointment of Corporate Governance Officer

On August 28, 2020, the Board of Directors approved the appointment of Wu, Sung-Nien, Senior Assistant Vice President, as the Corporate Governance Officer. In accordance with Article 3-1 of the "Corporate Governance Best-Practice Principles for Securities Firms," Wu is responsible for supervising and managing corporate governance-related matters.

2024 Corporate Governance Implementation Overview
• Organized Board of Directors meetings in accordance with the law, ensuring compliance with relevant regulations governing both board and shareholders' meetings. A total of 13 board meetings were held during the year.
• Handled company registration and amendments as required.
• Convened board meetings in compliance with legal requirements and prepared detailed meeting minutes. Follow-up actions and progress related to directors' suggestions or comments were tracked accordingly.
• Provided directors, independent directors, and supervisors with the necessary information to perform their duties effectively.
• Kept directors and independent directors updated on the latest regulatory developments relevant to corporate operations to help ensure legal compliance.
• Arranged continuing education programs for board members and supervisors, all of whom completed at least 6 credits of coursework.
• The head of corporate governance completed 12 hours of professional training.
• To align with the competent authority's “Corporate Governance 3.0” initiative, supported the board in reviewing reports and discussion items related to corporate governance, fair treatment of customers, sustainable development, and ESG matters, aiming to enhance overall corporate governance standards.

Independence and Conflict of Interest Avoidance

Taishin Securities appoints its independent directors in accordance with the Regulations Governing Appointment of Independent Directors and Compliance Matters for Public Companies issued by the Taiwan Stock Exchange. The Chairman does not concurrently serve as a senior executive, and there are no spousal or first-degree familial relationships between the Chairman and the President.

In 2024, the Board of Directors had an average attendance rate of 92%, with at least two independent directors physically attending each meeting. In the event that a director or the legal entity they represent has a conflict of interest related to a proposal, the director must recuse themselves from discussion and voting, and the conflict must be clearly stated in the meeting documents. In addition, if a Board proposal involves a related-party transaction not classified as a credit extension under Article 45 of the Financial Holding Company Act, the proposal and related documentation must be jointly reviewed and signed by the Company's Chief Compliance Officer. It is explicitly stated that the transaction terms with related parties must not be more favorable than those offered to other similar counterparties. This process, together with the independence of the Board of Directors, embodies the spirit of sustainable corporate governance.

2024 Individual Board Member Attendance Rate

Job Title	Name	Actual Attendance Count	Actual Attendance Rate
Chairman	Guo, Jia-Horng	13	100%
Director	Lin, Wei-Jiun	10	77%
Director	Lu, Po-Yung	12	92%
Director	Lin, Shu-Chen	9	69%
Director	Pao, Gwo-Yi	13	100%
Director	Wu, Shin-Hau	10	77%
Independent Director	Lin, Chia-Chen	13	100%
Independent Director	Chi, Lai-Ping	13	100%
Supervisor	Wu, Tong-Shung	13	100%
Supervisor	Tsai, Hung-Hsiang	13	100%
Average attendance rate			92%

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Diverse Training for Directors and Supervisors

To enhance corporate governance effectiveness, the Company not only appoints industry elites as directors and supervisors, but also places great emphasis on their continued education and training. In 2024, the entire board accumulated a total of 109.9 hours of training. The courses covered topics such as financial inclusion and accessibility, information security, net-zero trends, and anti-money laundering/combating the financing of terrorism.

Course	Total Hours
Trends and Case Studies in Anti-Money Laundering and Combating the Financing of Terrorism	27
Fair Treatment of Customers and the Financial Consumer Protection Act	24.6
Taishin Net-Zero Electricity Summit Forum	27
Information Security Awareness and Personal Data Protection Advocacy	11
Financial Inclusion and Friendly Banking Practices	9
Others	11.3
Total	109.9

Board Performance Evaluation

The Board Performance Evaluation Method has been approved by the Board of Directors and follows the subsidiary management guidelines of Taishin FHC. It assesses various board functions, including corporate finance, risk management, legal compliance, and reporting of significant matters, to ensure the board can effectively fulfill its responsibilities.

2.1.3 Governance Unit and Senior Management Compensation Policy

Director and Supervisor Remuneration System

The compensation system for the Company's directors and supervisors follows the overall policy of Taishin FHC. It is implemented in accordance with the “Performance Evaluation Guidelines for Personnel Assigned to Subsidiaries” and the Company's “Standards for Remuneration of Directors and Supervisors.”

The remuneration standards for directors and supervisors are determined by the Board of Directors based on factors such as the standards for managerial compensation, adjustment ranges, industry norms, the Company's operational performance and any significant changes therein, as well as the background, expertise, level of engagement in corporate management, contributions, and risk exposure of directors and supervisors, particularly those serving as representatives of corporate shareholders. Additionally, to align with the Company's long-term operational risk management strategy, the Chairman's remuneration includes both a deferral mechanism and a clawback mechanism. Bonus payouts are linked to the Company's long-term stock performance, with a deferral period of up to three years.

Senior Management Compensation System

Taishin Securities determines the performance evaluation and compensation system for senior management based on factors such as industry compensation benchmarks, individual performance, company operational results, and the reasonableness of the correlation with future risks. The proposed plans are submitted to the Board of Directors for review and approval. Since 2012, the Company has implemented a long-term incentive bonus plan for executives, which includes both deferral and clawback mechanisms. The bonus is linked to the Company's long-term stock performance and is designed to be deferred for up to three years. This structure not only reflects future shareholder return but also strengthens the alignment between executive compensation and company performance. In addition, the plan includes clawback provisions: if an executive commits a major breach such as violation of the labor contract, company policies, or demonstrates significantly poor performance, the Company reserves the right to reclaim all or part of the deferred incentive bonuses.

When evaluating the performance and compensation of senior executives, the Company considers not only managerial competencies and financial indicators, but also non-financial composite indicators and the implementation of sustainable management practices. The detailed evaluation criteria, weighting, and descriptions are presented in the table below:

Performance and Compensation Criteria for the President and Senior Executives			
Evaluation Criteria	Item	Weighting	Explanation
Managerial Competencies	Practicing Core Corporate Values	50%	Including the core values of integrity, commitment, innovation, and collaboration, with recognition and practice, and demonstrating leadership.
Goal Achievement and Organizational Performance	Financial Indicators	50%	Including profitability, growth rate, market leadership, and return on shareholders' equity.
	Non-Financial Composite Indicators		Including climate change, risk management, asset quality and process innovation and control, corporate image, and talent management and development.
Others	Integrate the United Nations Sustainable Development Goals (SDGs) into the Company's business strategy, including aspects such as internal and external audits, legal compliance, and energy conservation and carbon reduction, as well as corporate governance and environmental matters, incorporating these into performance evaluations and compensation considerations.		

Linkage Between Sustainability KPIs and Executive Compensation

To effectively supervise the implementation of the aforementioned short-, medium-, and long-term action plans and targets, the Company has established corresponding KPIs for the following sustainability issues. Senior executives at the director level or above are assigned to oversee each issue. The performance outcomes are incorporated into the annual performance evaluations and directly impact the variable compensation of these executives. (For details on short- to long-term goals, please refer to Section 1.1.2 Sustainable Development Goals.)

Sustainable Issues	Supervisory Level	Mechanism Linking KPIs to Variable Compensation
• Talent development • Responsible finance • Financial technology/ Digital innovation	• Chairman • President	The performance of the Company's most senior executives is directly linked to this issue, with KPI weightings ranging from 3% to 16%, depending on the role and responsibility.
	Section-level managers in business units	All section-level managers of our business units set annual targets that are linked to performance on this issue, with weighting ranging from 5% to 25%.

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2.1.4 Integrity Management and Execution

Integrity Management Policy

To establish sound corporate governance and a culture of integrity, serving as a guiding principle for organizational decision-making and employee conduct, Taishin Securities follows the Taishin Financial Holding Co., Ltd. Ethical Corporate Management Best Practice Principles. This principles explicitly require that the Company's directors, managers, and employees, in the course of business activities, must not directly or indirectly offer, promise, request, or accept any improper benefits, nor engage in other acts of dishonesty, illegality, or breach of fiduciary duty to gain or maintain benefits.

In 2024, aside from a penalty of NT\$960,000 imposed by the competent authority related to a former sales employee who, under the guise of employee stock subscription, caused clients to mistakenly remit funds to a personal account, Taishin Securities was not involved in any legal or complaint cases related to fraud, market manipulation, insider trading, corruption, or violations of the Fair Trade Act.

Implementation of Integrity Management

Risk Self-Assessment

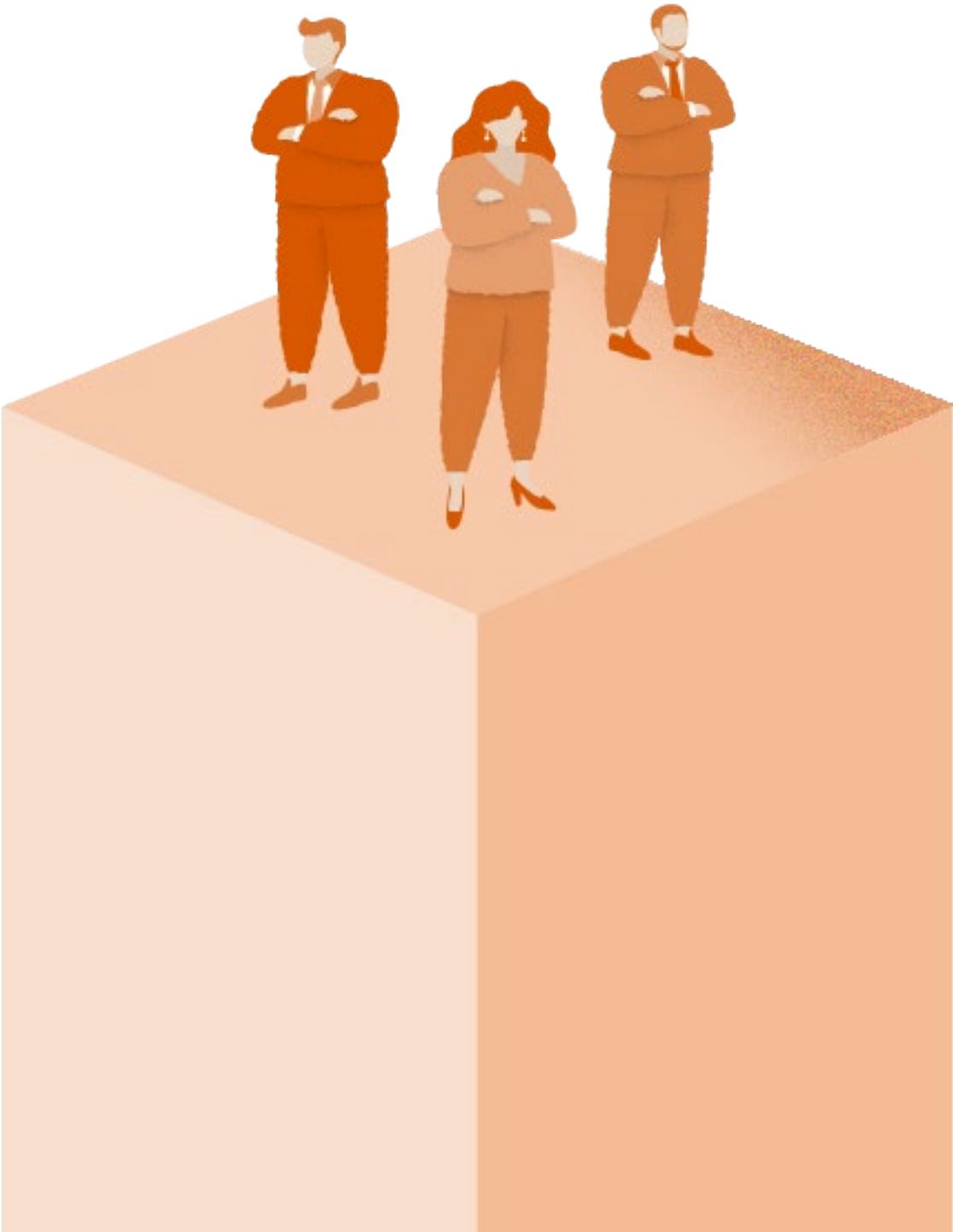
Taishin Securities upholds the principle of integrity management and maintains a firm stance against corruption. The relevant compliance units are required to conduct self-assessments and risk evaluations of regulatory compliance every six months. Concurrently, in accordance with Taishin FHC' Ethical Corporate Management Best Practice Principles, a “Dishonest Behavior Risk Assessment” is also conducted. In 2024, these assessments were carried out in June and December. All units of the Company (including 17 headquarters administrative units and 12 branch offices, covering 100% of all units) are required to review their implementation of integrity management principles in their business operations. The assessment covers areas such as investigations into dishonest behavior records of business counterparties, political and charitable donations, insider trading, the execution of integrity management training, and the enforcement of whistleblowing systems. Following the evaluation, the Company's risk of dishonest behavior is considered low. Going forward, Taishin Securities will continue to enforce integrity management to ensure the sound development of the enterprise.

Integrity Management Advocacy and Education

To instill the core values of integrity, honesty, and discipline throughout the organization, Taishin Securities follows Taishin FHC' Employee Code of Conduct and has established its own Employee Code of Conduct, applicable to all individuals employed by the Company. The code stipulates that any person who agrees to take a position at Taishin Securities is obligated, from their first day of employment, to comply with relevant laws, the Code of Conduct, and internal policies and procedures of each unit.

Employees are required to report any suspected violations of the Employee Code of Conduct to management or relevant departments. Taishin Securities continues to reinforce awareness of the Code through internal training programs and quarterly online campaigns, ensuring that all employees — including management — understand its requirements. Additionally, all new hires must complete online training within six months of onboarding. All employees are required to sign a declaration confirming their commitment to comply with the Code. Any violation will be subject to disciplinary action in accordance with company regulations, thereby ensuring effective legal compliance and risk management.

2024 Integrity Management Education and Training		
Target	All Employees	New Employees
Number of people	976	168
Session	1	32
Hours	3	0.5
Completion rate	100%	100%



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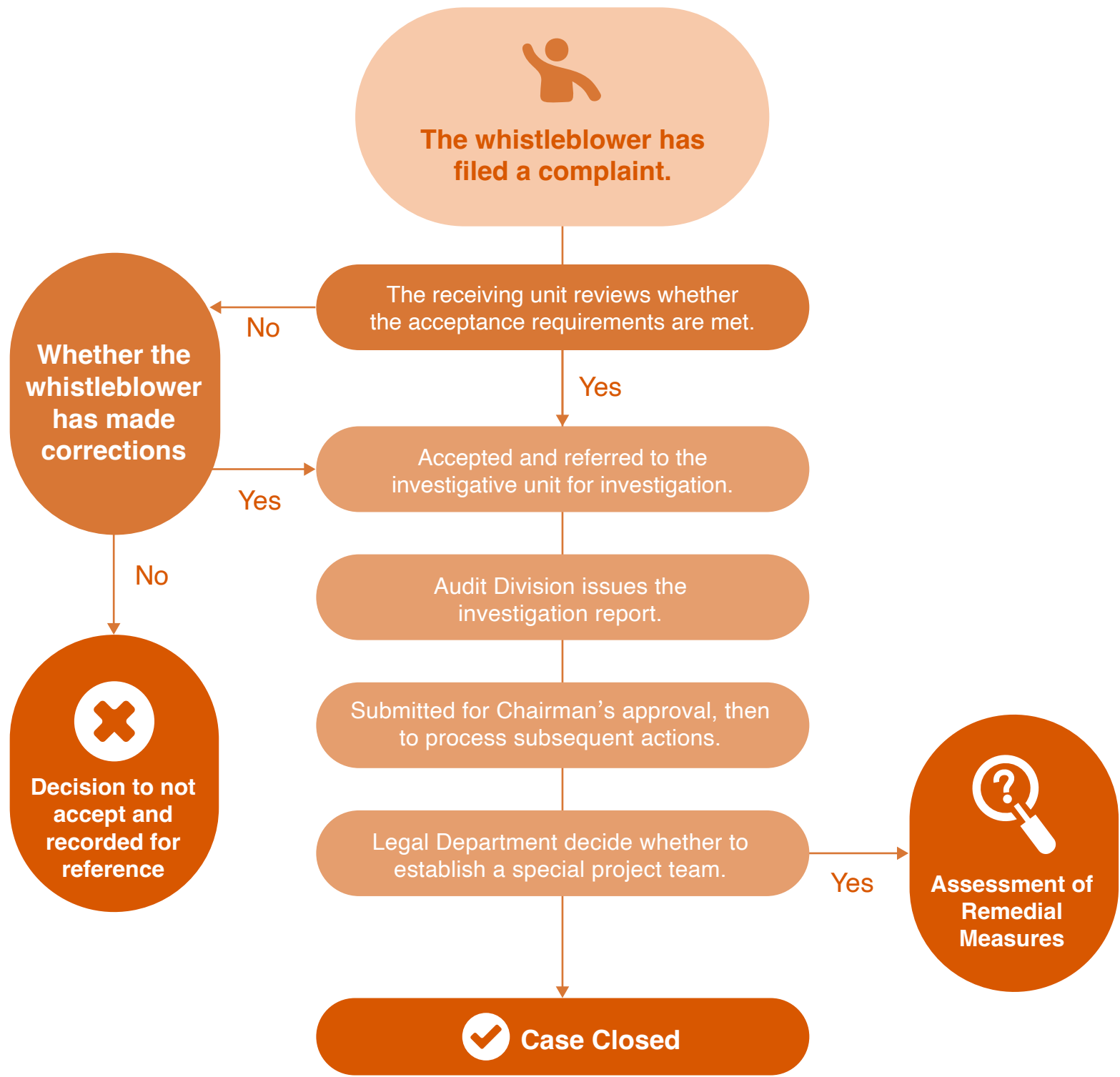
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Whistleblowing System

To foster a corporate culture rooted in integrity, sustainability, innovation, and collaboration — and to promote sound business operations while protecting the rights of whistleblowers and relevant parties — Taishin Securities Co., Ltd. has established its Whistleblowing Policy. The policy clearly outlines the Company's commitment to integrity and transparency, and defines the scope of applicability, whistleblowing procedures, and reporting channels. This system is a key mechanism for implementing ethical business practices within the Company.

Procedures and Management Measures

The Legal Department serves as the unit responsible for receiving whistleblower reports, while the Audit Division is in charge of investigating reported cases. If any employee of the Company is suspected of criminal conduct, fraud, or violations of laws or regulations, such matters may be reported through the designated whistleblowing channels.



The responsible unit of the Company may determine, based on the nature and severity of a whistleblowing case, whether to form a task force to handle the matter. If a task force is formed, the President shall act as the convener. Members of the task force may include: the head of the investigation unit, the head of the Human Resources department, and other relevant department heads or personnel designated by the President. The whistleblowing system applies to cases involving the following six categories:

- Embezzlement or misappropriation of Company funds, or unauthorized disposal of Company assets
- Illegal possession, misappropriation, or unauthorized disposal of customer property
- Forgery of documents resulting in damage to the Company
- Insider trading through the use or disclosure of non-public information
- Acceptance of bribes, pursuit of personal gain, or collusion and fraud in the handling or management of Company affairs, with the intent to unlawfully benefit oneself or others, either directly or indirectly
- Other incidents involving financial crimes or violations of laws and regulations

Whistleblower Protection

The Company's whistleblowing policy clearly stipulates that the identity of whistleblowers must be kept confidential, and no information that could reveal their identity may be disclosed. Whistleblowers shall not be dismissed, demoted, suspended, have their salary reduced, or be subjected to any other adverse treatment that may infringe upon their legal, contractual, or customary rights due to their whistleblowing activities. In 2024, no whistleblower reports met the criteria for formal acceptance through the designated reporting channels.



- Mailing Address: Whistleblowing Mailbox 13F, No. 96, Section 1, Jianguo North Road, Zhongshan District, Taipei City 10489, Taiwan
- Email: whistling@tssco.com.tw
- Dedicated Hotline: (02) 5550-5565

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2.2 Risk Management

2.2.1 Risk Management Policy and Framework

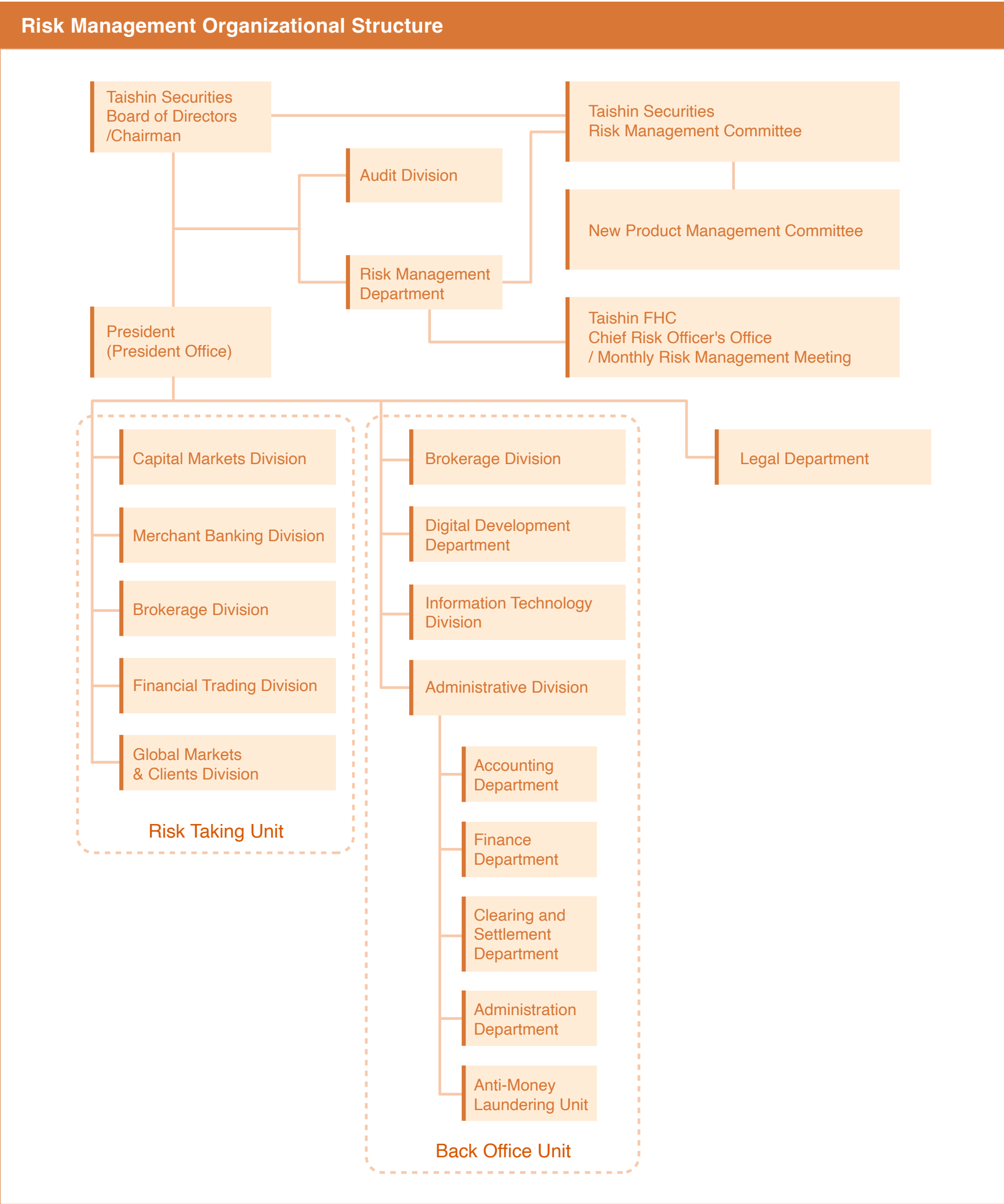
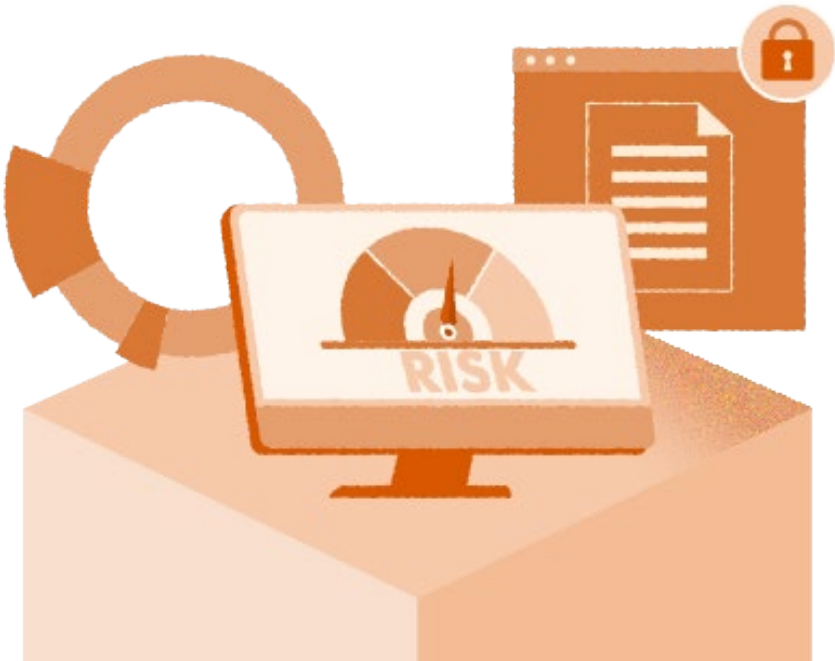
Risk Management Policy

Taishin Securities, in alignment with the parent company Taishin FHC's Risk Management Policy and with reference to the “Risk Management Best-Practice Principles for Securities Firms,” has established its own Risk Management Policy. Based on this policy, the Company has formulated relevant guidelines and procedures for various risk domains, including the Risk Management Procedures, Climate Risk Management Guidelines, and the Business Continuity Planning System. Additionally, Taishin Securities has adopted the Guidelines for the Detection of Major Risks in Financial Institutions issued by the Financial Supervisory Commission to develop the Operational Risk Detection and Management Guidelines. These frameworks are designed to implement the Company's risk management philosophy and ensure the effective control and mitigation of risks.

Risk Management Committee

To effectively integrate various types of risks and implement comprehensive risk management, Taishin Securities established the Risk Management Committee in 2010. The Board of Directors serves as the highest governance body for risk management. One board member is appointed as the convener and chairperson of the committee, while the President acts as the deputy convener. Committee members are nominated by the convener and approved by the Chairman. Since its inception, the position of convener has always been held by an independent director with the professional expertise required for risk management. Initially, the committee consisted of department heads from the first line of defense, including heads of business (trading) units, finance, legal, and risk management departments. As the Company's business scale expanded and risk dimensions diversified, the committee's composition has been broadened to include heads of administration, clearing and settlement, accounting, and digital development departments. The inclusion of risk oversight personnel from various perspectives has significantly enhanced the committee's effectiveness.

The Risk Management Committee holds regular monthly meetings and convenes additional meetings as needed. In 2024, the committee convened 19 times in total, including 12 regular meetings and 7 ad hoc sessions. Routine reporting to the committee covers risk areas such as market, credit, operational, capital adequacy, and anti-money laundering. A report on systemic risk monitoring indicators is also submitted regularly, providing early warning signals across the group to assist senior management in decision-making. In addition, quarterly reports are provided on climate risk management, operational risk detection, information security, and subsidiary risk profiles. The committee also reviews a consolidated risk analysis report and submits a summary of the Company's risk management implementation to the Board of Directors in the following month.



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Risk Management Organizational Structure and Responsibilities		
	Board of Directors	<ul style="list-style-type: none">Establish an appropriate risk management framework and foster a company-wide risk management culture, allocating necessary resources to ensure the effectiveness of risk management. The Board holds ultimate responsibility for risk oversight.Approve risk management policies and procedures.Determine the Company's risk appetite and associated risk limits.Oversee the formulation and execution of climate risk strategies and business plans, and assess the impact of emerging regulatory developments related to climate risk on the Company's reputation and legal obligations.
	Risk Management Committee	<ul style="list-style-type: none">Assist the Board in planning and overseeing matters related to risk management.Review risk management policies and procedures.Evaluate the establishment of risk management mechanisms and related protocols.Review consolidated risk analysis reports to provide timely updates to the Board on risk management implementation and propose necessary improvement recommendations.
	First Line of Defense Risk-Taking Unit	<ul style="list-style-type: none">Operate within the limits and authority set by the Board of Directors, taking on risks to achieve business objectives. Proactively manage risks to ensure all business activities comply with external laws and internal policies.
	Second Line of Defense Risk Management Unit	<ul style="list-style-type: none">Assist in the development of risk management policies, frameworks, and limits.Ensure execution of risk management policies as approved by the Board.Develop risk management information systems.Review and validate financial product valuation models used by risk-taking unit.The appointment and dismissal of the Head of the Risk Management Unit must be approved by the Board of Directors. The Head is responsible for leading the team in performing risk measurement, monitoring, assessment, reporting, and disclosure.
	Third Line of Defense Audit Unit	<ul style="list-style-type: none">Establish an internal audit system to inspect and evaluate the effectiveness of the risk management framework. Provide timely recommendations for improvement to support the Board and management in fulfilling their governance responsibilities.
	Legal Unit	<ul style="list-style-type: none">Plan, manage, and implement legal and regulatory compliance systems.Review and revise legal documents.Handle litigation and non-litigation cases.Provide legal consulting services and conduct research on applicable laws and regulations related to business operations.Manage debt collection processes.
	Back Office Unit	<ul style="list-style-type: none">Departments such as finance, accounting, IT, and information security manage risk-related responsibilities according to their respective functions. Each unit also establishes a Business Continuity Planning (BCP) to ensure risks are monitored and managed effectively across all operational levels.

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2.2.2 Risk Identification and Response

Risk Identification and Management Mechanism

Taishin Securities has identified key risks encountered during its operations, including credit risk, market risk, liquidity risk, operational risk, climate risk, and emerging risks. The Company has established a comprehensive risk management framework and reporting frequency to proactively respond to, effectively manage, and mitigate the impact of these risks.

Risk Type	Definition	Risk Management Mechanism	Risk Reporting Frequency
Credit risk	This refers to the potential that a counterparty — including a securities issuer, contractual counterparty, or debtor — fails to fulfill its obligations, thereby exposing the Company to risk exposure or causing a financial loss.	<div>1. Based on the Board of Directors' annual authorization of risk appetite and the limit management authorized by the Board and the Chairman, credit limits are set for issuer risk, counterparty credit risk, and qualified clearing brokers, according to the nature of financial transactions and credit ratings.</div> <div>2. Risk control measures are established for position concentration, industry concentration, and country risk concentration to achieve risk diversification.</div>	Daily: Credit Risk Daily Report Monthly: Risk Management Committee Quarterly: Board of Directors
Market risk	This refers to the risk of loss on on-balance sheet and off-balance sheet items due to fluctuations in the value of financial assets over a certain period, resulting from uncertainties in market prices — such as changes in interest rates, exchange rates, equity securities, and commodity prices.	<div>1. Based on the annual risk appetite authorized by the Board of Directors and the market risk limits authorized by both the Board and the Chairman, market risks are monitored daily to ensure they remain within the Company's risk tolerance. Quantification and management of market risk include limits on positions/notional amounts and profit & loss data, sensitivity limits for risk factor management, and Value at Risk (VaR) limits. To ensure the accuracy of VaR estimates, backtesting is conducted regularly to validate model effectiveness, and the test results are reported to the Board of Directors.</div> <div>2. Stress scenarios are established for various financial instruments to conduct stress testing and calculate potential stress losses. This is used to assess the Company's potential exposure to extreme market conditions and to reflect the current risk profile of held positions, serving as a reference for capital allocation decisions.</div>	Daily: Market Risk Daily Report Monthly: Risk Management Committee Quarterly: Board of Directors
Liquidity risk	This refers to the risk of being unable to liquidate assets or obtain sufficient funds to meet obligations as they come due, known as funding liquidity risk. It also includes market liquidity risk, which arises from the possibility of significant price fluctuations when liquidating or offsetting positions due to insufficient market depth or disorderly market conditions.	<div>1. Liquidity Management: The Finance Department, in accordance with the Company's Liquidity Risk Management Procedures, establishes funding allocation mechanisms and conducts liquidity risk stress tests. This ensures control over the Company's overall funding supply and gap fluctuations, effectively managing liquidity risk.</div> <div>2. Market Liquidity Management: To avoid positions with low trading volume and insufficient liquidity that are difficult to liquidate and prone to price manipulation, limits are set on single holding amounts for domestic and international equity spot positions. For convertible bond holdings, a maximum proportion relative to the outstanding issuance balance is established.</div>	Daily: Daily Monitoring Monthly: Risk Management Committee Quarterly: Board of Directors
Operational risk	This refers to the risk of loss resulting from inadequate or failed internal processes, people, systems, or from external events. It includes legal risk, but excludes strategic risk and reputational risk. Legal risk refers to potential losses arising from non-compliance with applicable government regulations, contracts lacking legal enforceability, unauthorized actions, contractual omissions, or insufficiently defined terms that may render a contract invalid.	According to the definition of operational risk under the Basel II, operational risks are classified into seven major loss types and eight business lines. Each department within the Company is responsible for identifying and measuring these risks independently. Related operations are conducted in accordance with the financial holding company's regulations, including the Operational Risk Loss Reporting Procedures, Self-Assessment and Internal Audit Procedures, and Key Risk Indicator Procedures. The Risk Management Unit regularly consolidates and reports the relevant information.	Monthly: Risk Management Committee Semiannual: Self-assessment and audit; annual consolidation and submission of reports to the Chairman of the Board

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Risk Type	Definition	Risk Management Mechanism	Risk Reporting Frequency
Climate risk	This refers to transition risks related to the shift toward a low-carbon economy driven by climate change, which may impact the Company's finances, strategy, operations, products, and reputation. It also includes physical risks arising from extreme weather events caused by climate change, which may affect the Company's financial performance and operations.	Under the global net-zero emissions goal, low-carbon transition policies and regulations such as carbon pricing or carbon taxes will inevitably have a significant impact on high-carbon industries. In response, the financial holding company actively supports climate initiatives and has set net-zero commitments and carbon reduction targets. The Company follows the financial holding company's decarbonization goals and manages according to the "High-Carbon Industry List" to effectively implement these carbon reduction objectives.	Quarterly: Risk Management Committee Semiannual: Board of Directors
Emerging risk	<div>3. The risk is new, emerging, or has increased significantly in materiality.</div> <div>4. The risk has a long-term potential impact, meaning it is unlikely to have a significant effect on the Company within the next three to five years, but may already be starting to affect the Company today.</div> <div>5. The risk has a potentially large impact, possibly affecting a substantial portion of the Company's operations and requiring adjustments to its strategy or business model.</div> <div>6. The risk is external in nature, meaning it arises from outside the Company and is beyond its control or influence. Such risks may stem from natural, geopolitical, or macroeconomic factors, and do not include operational, reputational, or market risks.</div> <div>7. The risk and its impact on the Company are specific and concrete. For example, long-term risks such as macroeconomic developments should be described in the context of the Company's actual business environment (e.g., specific regulations or laws that may be introduced), rather than as broad impacts on the industry as a whole.</div> <div>8. The risk and its impact on the Company have been publicly disclosed.</div>	Emerging risks include themes such as policy impacts, global economic market volatility, geopolitical and regional conflicts, the post-pandemic era, supply chain restructuring, and the prolonged pressure of global inflation. These topics will be consolidated by the financial holding company and disclosed upon review and approval by its risk management committee.	In accordance with Taishin FHC, the evaluation is conducted once a year.

Stress Testing

The Company has established the Market Risk Stress Testing Procedures, setting stress scenarios for various financial products to perform stress testing and calculate stress loss. This process evaluates potential losses the Company might incur under extreme market conditions, reflecting the current risk profile of held positions. The results serve as a basis for planning response measures and inform the Company's operational strategies. Reports are presented monthly to the Risk Management Committee and quarterly to the Board of Directors. To ensure the accuracy of VaR estimates, backtesting is conducted regularly to validate model effectiveness, and the test results are reported to the Board of Directors.

Level 3 Asset and Liability Management

The Company's fair value measurement process for assets and liabilities classified as Level 3.

- The risk management department of the consolidated company is responsible for conducting independent fair value verification. By using data from independent sources, the valuation results are aligned with market conditions. The department ensures that the data sources are independent, reliable, consistent with other sources, and represent executable prices. Valuation models are regularly calibrated, and the inputs and data required for the models are updated as needed, along with any other necessary fair value adjustments, to ensure the reasonableness of the valuation results.
- For investments in equity instruments, the consolidated company obtains the most recent audited or reviewed financial information of the investee company and collects other publicly available market data. Valuation is then performed using appropriate methodologies.
- The risk management department of the consolidated company establishes fair value valuation policies and procedures for financial instruments and ensures compliance with the relevant International Accounting Standards pronouncements.

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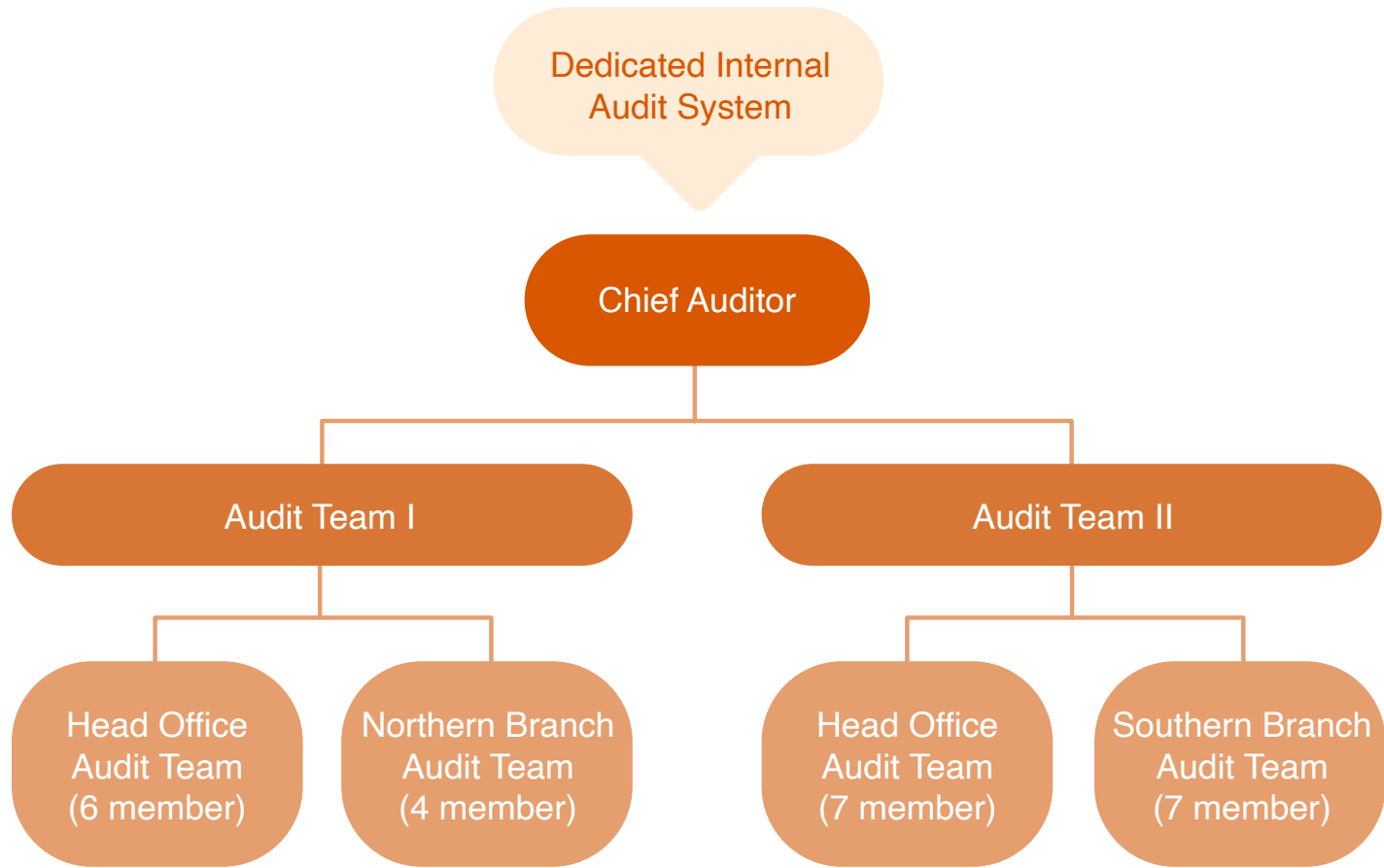
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2.2.3 Internal Audit System

Internal Audit Organization

Taishin Securities' internal audit operations are primarily governed by the Taishin Securities Co., Ltd. Internal Audit Implementation Rules and utilize a dedicated audit team. The audit function is divided according to business responsibilities into Chief Audit, Head Office Audit, and Branch Audit. The Head Office Audit is responsible for underwriting, proprietary trading, and subsidiary business audits. Brokerage and management businesses are jointly audited by the Head Office Audit and Branch Audit teams.

The Audit Division independently supervises the execution of company operations, responsible for inspecting and reviewing the implementation of internal control systems and providing timely improvement recommendations. It conducts regular and ad-hoc audits and follows up on corrective actions for identified deficiencies and recommendations. This ensures the continuous and effective implementation of internal control systems and provides a basis for their review and modification. Additionally, the Audit Division assists various departments and subsidiaries in providing necessary information for business inspections by regulatory authorities.



Internal Audit Promotion and Education

To strengthen oversight of ESG-related matters, Taishin Securities continuously monitors deficiencies and key points announced by regulatory authorities. In response to supervisory priorities, industry penalty cases, and common deficiencies, the Company regularly or ad hoc conducts educational sessions for business units. In 2024, a total of 2,843 participants attended these sessions, accumulating 1,114 hours of training. Additionally, the Company conducts internal control and audit training programs to enhance employees' risk management awareness and to effectively promote the implementation of the internal control system.

2.2.4 Business Continuity

Business Continuity Management Mechanism

To ensure uninterrupted operation of critical business activities and strengthen the Company's emergency response capabilities, Taishin Securities has established policies and procedures to minimize disaster impact and quickly restore normal operations, thereby providing customers with comprehensive service. These include the Information Security Policy, Business Continuity Management Guidelines, Data Backup Procedures, and Disaster Recovery Procedures. These frameworks are designed to plan business continuity policies, assign security responsibilities, coordinate the company-wide business continuity planning, and implement an effective business continuity management system.

In 2024, Taishin Securities partnered with external expert consultants to implement the ISO 22301 Business Continuity Management System. Following this standard, the Company will conduct related training courses, business impact analyses for each department, operational risk assessments for all office locations, and continuity drills. Based on the reports and drill outcomes, the Company will evaluate and update its business continuity planning, management procedures, and solutions. The implementation is scheduled to be completed by the end of 2024, with certification expected by the third quarter of 2025.

Business Impact Analysis for Core Systems and Equipment

Taishin Securities annually reviews and updates the disaster recovery procedures for its core systems and critical business operations based on changes in business objectives, organizational structure, business activities, operational methods, and external market conditions. In accordance with the Business Continuity Management Procedures, the Company regularly reviews operational workflows and conducts business impact analyses. Key metrics include dependency on processes/resources, minimum operational level recovery time objectives, maximum tolerable downtime, and normal operational level recovery time objectives.

In 2024, for core critical business systems, emergency incidents are classified into three levels based on the type of incident and risk impact factors such as scope and downtime. Corresponding emergency response and business recovery procedures are established for each level. The Company completes a Business Impact Analysis Report and ensures that relevant responsible units activate the Business Continuity Planning (BCP) to respond promptly when an emergency occurs.

Offsite Backup Mechanism

At Taishin Securities, the core systems for critical business operations in the Neihu main data center are equipped with appropriate redundancy mechanisms based on the characteristics of each application system, including Active-Active and Active-Standby configurations. These setups create a highly available system architecture that avoids single points of failure.

Since 2017, the offsite backup data center has been relocated to the Chunghwa Telecom Banqiao Internet Data Center (IDC) near the Taiwan Stock Exchange's Banqiao Information Center. This facility features 24-hour video surveillance and security personnel, along with high-standard environmental control systems, backup generators, and uninterruptible power supplies (UPS) to ensure emergency power.

The Company conducts annual backup drills in coordination with regulatory authorities such as the Taiwan Stock Exchange, Taipei Exchange, and Taiwan Depository & Clearing Corporation. In 2024, a core systems drill was completed to ensure the adequacy and effectiveness of the backup mechanism. For 2025, the Company plans to include live operational drills of the external electronic trading platform in its backup exercise.

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2.3 Information Security and Privacy Protection

GRI: 418-1

2.3.1 Information Security Management

Information Security Management Framework

As a leading brand in e-commerce within the securities industry, Taishin Securities recognizes the rapid development of information technology alongside the growing frequency of sensitive information breaches. The Company is therefore committed to enhancing its information security management to fulfill its responsibility in protecting customer privacy and personal data.

To strengthen its information security efforts, the Company established the position of Chief Information Security Officer in 2022. The Chief Information Security Officer is responsible for overseeing the Company's overall information security management, including the planning, monitoring, and execution of related systems and operations. Additionally, Taishin Securities formed an Information Security Implementation Task Force, chaired by the President, with the Chief Information Security Officer serving as the convener. Members include department heads from various business units, the Legal Department, and the Risk Management Department. The task force holds a Cybersecurity Management Meeting at least once a year to supervise the Company's information security policies, monitor the progress of security plans, and make key decisions. External consultants with information security expertise are invited to participate and provide advisory and technical support. A report summarizing the Company's information security implementation for the previous year is submitted to the Board of Directors within three months after the end of each fiscal year.

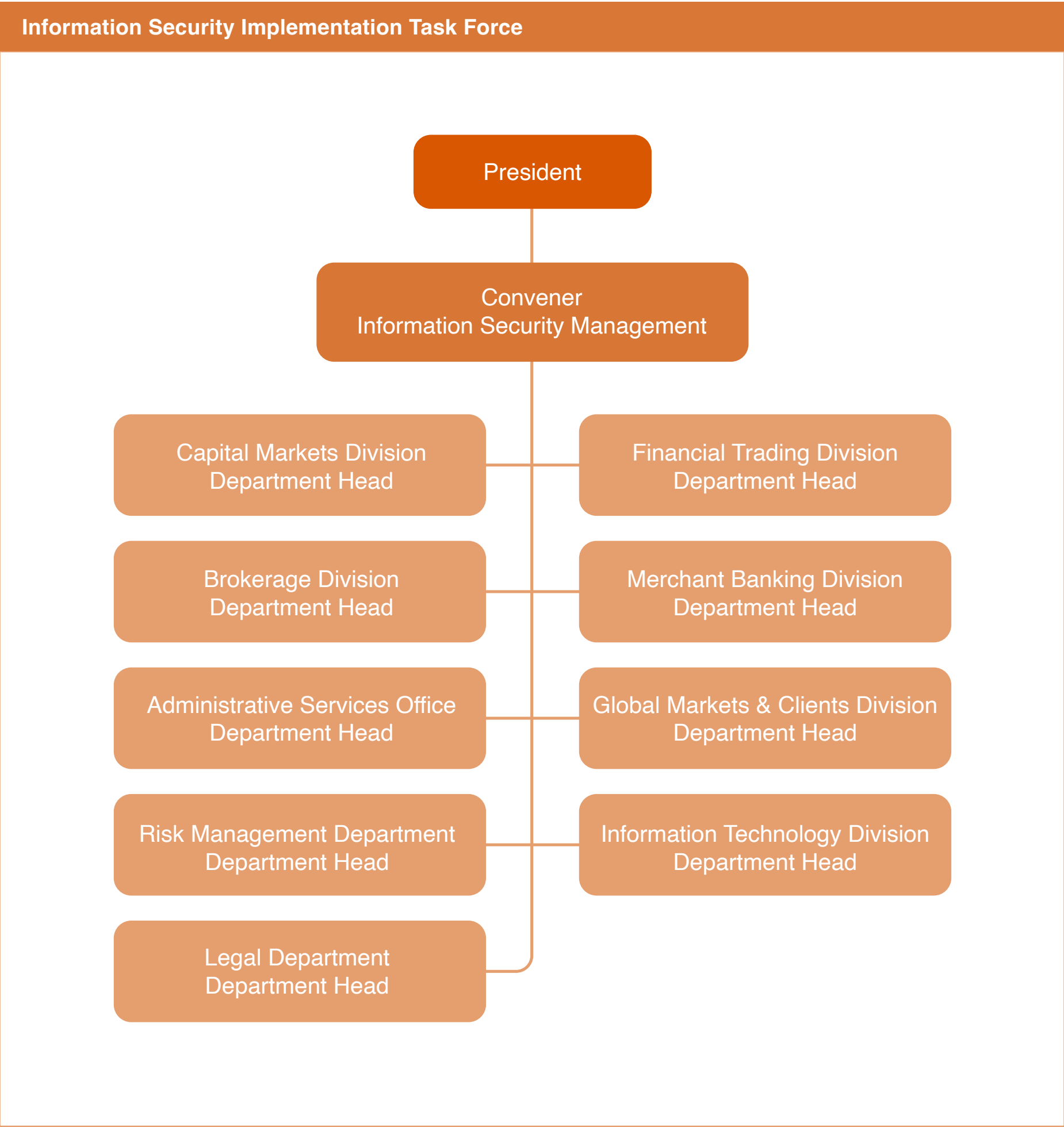
The Company's information security policies cover a wide range of areas, including software and hardware security, physical and environmental protection, access control, network security, system operation security, personnel security and training, information asset management, and business continuity planning. In alignment with ISO 27001 standards, the Company has established a four-tier framework encompassing policies, management guidelines, procedures, and forms. These policies are updated as needed to comply with regulatory requirements, respond to internal and external environmental changes, or reflect the Company's current operational status. The Information Security Policy is reviewed annually and, if any revisions are made, they must be approved by the Board of Directors before implementation.

Implement Information Security

Taishin Securities continues to strengthen its information security systems. In addition to actively cooperating with regulatory authorities to conduct cybersecurity drills, the Company allocated a total of NT\$475 million in IT-related expenditures in 2024, of which NT\$129 million (27.16%) was dedicated specifically to information security. Key initiatives included the implementation of cybersecurity protection and monitoring mechanisms, as well as employee information security training, with the aim of ensuring that customers can use the Company's services with greater confidence.

Information Security Review and Maturity Assessment

To comply with regulatory requirements under the Establishing Information Security Inspection Mechanisms for Securities Firms and to strengthen its internal information security standards, Taishin Securities implemented the ISO 27001 Information Security Management System in 2021 for its core trading systems, core account systems, and systems that handle customers' sensitive personal data. In 2022, the Company obtained ISO 27001 certification issued by SGS Taiwan Ltd. An ISO 27001 management review meeting is held at least once a year to report on information security operations and risk management outcomes, ensuring the system remains effective and any issues are promptly addressed.



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The Company also participates annually in an information security maturity assessment conducted by its financial holding parent company. In 2024, the assessment found that the inherent risks were low. Nevertheless, Taishin Securities will continue to strengthen its maturity levels across various domains based on recommended maturity targets aligned with the identified inherent risks. Additionally, in accordance with the Graded Cybersecurity Protection Requirements for Securities Firms issued by the Taiwan Stock Exchange, Taishin Securities established a Security Operations Center (SOC) in 2024. The SOC is operated by an external professional cybersecurity consulting team to perform high-standard information security assessments.

Information Security Drills

In light of the evolving nature of zero-day cyberattacks in recent years, Taishin Securities conducts company-wide cybersecurity drills annually in coordination with its financial holding parent. These include email-based social engineering simulations. In 2024, the Company participated in a Distributed Denial-of-Service (DDoS) live drill organized by the Financial Information Sharing and Analysis Center (F-ISAC), as well as cybersecurity incident reporting and tabletop response exercises hosted by the Securities and Futures Computer Emergency Response Team (SF-CERT). Additionally, Taishin Securities took part in a cybersecurity incident response simulation organized by Taishin Bank in 2024, allowing the Company to gain valuable insights from peer institutions and enhance its ability to prevent or effectively respond to cyberattacks. These efforts aim to reduce the operational impact of information security incidents through timely mitigation and proper reporting procedures. The Company also cooperates with regulatory authorities such as the Taiwan Stock Exchange, Taipei Exchange, and Taiwan Depository & Clearing Corporation to conduct annual backup and disaster recovery drills. In 2024, Taishin Securities successfully completed drills covering 20 core systems to ensure the effectiveness and reliability of its backup mechanisms.

Cybersecurity Protection and Monitoring Mechanisms

Since its inception, Taishin Securities has implemented antivirus software, email filtering mechanisms, and data loss prevention (DLP) systems. To enhance the security of network services, the Company also established a three-tier network architecture utilizing firewalls based on its network infrastructure.

In recent years, to further strengthen its cybersecurity defense and monitoring capabilities, the Company has introduced File Integrity Monitoring (FIM), Intrusion Prevention Systems (IPS), and Web Application Firewalls (WAF). For in-house developed applications, source code scanning tools are used to perform security checks. Additionally, the Company engages external cybersecurity professionals to conduct regular vulnerability scans, penetration tests, and comprehensive IT security assessments.


As the Company's network environment becomes increasingly complex and the number of systems requiring monitoring grows, Taishin Securities has outsourced the operation of a Security Operations Center (SOC), built upon a Security Information and Event Management (SIEM) system. For mobile applications used by investors, the Company ensures that prior to initial launch — and annually thereafter — they undergo and pass security testing conducted by a third-party laboratory certified by the Taiwan Accreditation Foundation (TAF). The tests are based on baseline security requirements published by the “Mobile Application Security Alliance” designated by the competent authority.

Information Security Education and Training

In accordance with the Company's internal Information Security Policy and Information Security Education and Training Guidelines, Taishin Securities provides all employees with at least three hours of cybersecurity awareness training annually. For personnel responsible for managing Internet of Things devices, an additional one-hour cybersecurity training session is conducted each year. These targets have been incorporated into the Company's short-, medium-, and long-term sustainability goals. For more details, please refer to Section 1.1.2: Sustainable Development Goals.

In 2024, the cybersecurity training curriculum included topics such as an overview of information security, commonly

encountered cybersecurity regulations, prevalent security incidents and prevention methods, IoT security, threat recognition and countermeasures, device/network security awareness, and an introduction to the Company's information security policies. A total of 955 employees completed the training, achieving a 100% completion rate.

<div>Information Security Education and Training</div>		
Course Content	Information Security Awareness and Personal Data Protection Advocacy	Security Education and Training
Target	All employees	New employees
Number of people	955	146
Session	Online course	Online course
Hours	3	0.05

In accordance with the aforementioned policy and training guidelines, designated information security personnel and responsible supervisors are required to complete more than 15 hours of professional cybersecurity or competency-based training annually, and must pass a post-training assessment. In 2024, Taishin Securities' Chief Information Security Officer and all designated personnel participated in external training programs and obtained professional cybersecurity certifications. The certification details are as follows:

Professional Cybersecurity Certifications as of the End of 2024	
Certification Name	Number of Participants/ Certified Personnel
ISO 27001:2022 Lead Auditor Certification	4
CompTIA Security+	1

Note:The ratio of the number of cybersecurity-related professional certifications to total assets is 0.699%

Cybersecurity Incident Reporting

Cybersecurity Incident Reporting Mechanism

Taishin Securities has established its internal “Cybersecurity Incident Reporting Guidelines”, in accordance with the Cyber Security Management Act, Establishing Information Security Inspection Mechanisms for Securities Firms, Operational Guidelines for Circulating Reports on the Information and Communication Security Related Events Occur in Securities and Futures Markets, and Regulations Governing the Reporting Procedures and Compliance Requirements for Major Cybersecurity Incidents by Securities Firms. These internal guidelines set forth a formal incident notification and response mechanism to enable timely reporting of incidents to regulatory authorities and relevant organizations, ensuring efficient handling and mitigation.

The guidelines apply to significant incidents that impact client interests or the Company's normal operations, including any abnormality in information services, systems, networks, or services, where such abnormalities are identified as potential violations of cybersecurity policies or failures of protective measures, thereby threatening system functionality or cybersecurity policy integrity. In the event of a cybersecurity incident, the Company follows the procedures outlined in its “Standard Operating Procedures for Cybersecurity Incident Handling.”

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In addition, if the incident involves the theft, alteration, destruction, loss, or leakage of personal data, the Company is also required to report the incident within the timeframes specified in its “Personal Data Breach Incident Management Policy”, in addition to the reporting obligations under the Cybersecurity Incident Reporting Guidelines.

Cybersecurity Incident Reporting Procedure

The designated information and cybersecurity units are responsible for executing the cybersecurity incident response procedures, which include: incident notification, identification and classification of the incident scope and severity level, containment of the incident, root cause analysis and corrective actions, and service restoration. A comprehensive summary of the incident — covering the timeline, extent of damage, potential ongoing impact, response measures, and enhancement actions — is compiled for internal review and continual improvement. In the event of a suspected cybersecurity incident, the Chief Information Security Officer will assess the situation and, if necessary, initiate the reporting process. The incident reporting procedure is divided into three main categories: internal reporting, external reporting, and public relations management.

Internal Reporting	After the information security officer reports the incident to their direct supervisor, an immediate report is made to the President. Relevant business units, including the Business Division, Audit Division, Digital Development Department, and Risk Management Department, are notified accordingly. The reporting process follows the parent company Taishin FHC' “Major and Important Incident Reporting Procedures” to ensure proper escalation. Additionally, internal formal notification procedures are conducted in accordance with the Company's “Abnormal Event Reporting Procedures.”
External Reporting	In compliance with the Taiwan Stock Exchange's “Securities Firms Electronic Trading System Failure Reporting Mechanism” and the “Securities and Futures Market Cybersecurity Incident Reporting and Response Guidelines,” incidents are reported and cleared through the Securities and Futures Market Cybersecurity Incident Reporting System (https://sfevents.twse.com.tw). Depending on the severity, reports are also filed with law enforcement agencies such as the police or the Investigation Bureau. For major cybersecurity incidents, the Taiwan Stock Exchange's “Major Cybersecurity Incident Report Form for Securities Firms” must be completed additionally.
Public Relations Management	In the event of a major cybersecurity incident, if necessary, the Media Relations Team will prepare external press releases and statements. The Media Relations Team comprises the official spokesperson (or deputy spokesperson), the highest-ranking executive of the IT unit, and the senior executive of the affected business unit.

In 2024, Taishin Securities experienced a single cybersecurity incident related to a data leak. Investigations and follow-ups revealed that the incident was caused by the exploitation of a web vulnerability. Working in collaboration with our cybersecurity unit and an external professional forensic team, we've confirmed that this incident did not result in operational disruption or data alteration. Furthermore, external traffic analysis showed no leakage of customer personal data. Following the incident, the identified vulnerabilities were remediated, and our monitoring and alert mechanisms have been strengthened to prevent similar occurrences in the future.

2.3.2 Personal Data Protection

Personal Data Breach Notification Procedure

The Company has established the “Personal Data Breach Incident Management Guidelines” to handle personal data breach events. When such an incident occurs, it must be categorized and reported within the prescribed timeframe based on its severity. The classification criteria for personal data breach incidents are as follows:

- Level 1 Incident An incident in which no more than 10 records of personal data are compromised.
- Level 2 Incident Any of the following conditions qualifies as a Level 2 incident:

More than 10 records of personal data are compromised.

The incident involves highly sensitive personal information.

The incident has drawn media attention.

The incident is under the attention of judicial authorities or the central competent authority.

If a personal data breach occurs: For a Level 1 incident, the Personal Data Protection Implementation Unit must complete the initial notification within 8 hours and formulate an emergency response plan within 48 hours, which shall be reported to the department head. For a Level 2 incident, the Emergency Response Team must complete the notification within 4 hours, and convene an emergency response team within 24 hours, then to formulate an emergency response plan within 48 hours, which shall be reported to the President. After the incident is resolved, the Company will conduct a thorough review and establish corrective and preventive mechanisms to avoid recurrence.

Personal Data Protection Education and Training

In 2024, the Compliance Unit conducted the “2024 Taishin Securities and Subsidiaries Compliance Education and Training Program,” covering topics such as compliance, personal data protection, customer complaints, litigation reporting, protection of consumer rights for individuals with disabilities, code of ethical conduct, and whistleblowing mechanisms. This program aims to enhance employee awareness of personal data protection and ensure the proper use and safeguarding of customer information. Taishin Securities has taken a prudent approach to information security issues and ensured the effective implementation of relevant mechanisms. In 2024, the Company experienced two information leakage incidents that were determined to be caused by human factors (one incident was identified through external complaint, and the other was discovered by internal auditing.) The Company notified the affected customer in accordance with regulations, and the customer expressed understanding. Going forward, we will remain committed to strengthening information security protection and providing customers with a secure and trustworthy financial transaction environment.

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2.4 Regulatory Compliance

GRI: 2-27

2.4.1 Compliance Management

Regulations and Organizational Structure

Taishin Securities has established a Legal Department, which reports directly to the President and serves as the Company's designated compliance unit. The Chief Compliance Officer oversees all compliance-related matters, including the planning, management, execution, and assessment of the compliance system. The Company has enacted the “Compliance Evaluation Content and Procedures” and the “Compliance Risk Notification Guidelines” as the basis for implementing its compliance framework. The Compliance Unit is committed to enhancing employees' legal awareness and promoting knowledge and understanding of securities-related laws and regulations across the Company, ensuring that all personnel are familiar with the regulatory requirements relevant to their roles and responsibilities.

To ensure the Board of Directors is kept well-informed of the Company's compliance status, the Chief Compliance Officer reports at least semi-annually to the Board of Directors and Supervisors on key compliance matters. These reports cover compliance risk issues identified across all domestic and overseas operations, as well as proposed improvement plans and timelines. In the event of any material legal violations or regulatory rating downgrades, the Chief Compliance Officer is required to immediately notify the Board of Directors and Supervisors. A detailed report must be submitted to the Board, including root cause analysis, potential impacts, and recommended corrective actions.

Compliance Assessment Mechanism

To effectively implement compliance across all operations, the Company has established a comprehensive compliance evaluation framework, including self-assessments, risk assessments, compliance alerts, and risk response and mitigation procedures. Regular and ad-hoc assessments are conducted on key business activities, financial products and services, credit and business projects, as well as major customer complaints that may pose legal compliance risks. In addition, the Compliance Unit maintains close communication and coordination with other second-line-of-defense functions to ensure timely identification and management of compliance risks throughout the Company. In addition, to ensure the effectiveness of the compliance framework, the Company incorporates the level of compliance implementation into the performance evaluations of senior executives and department heads.

Regulatory Updates and Peer Case Awareness

The Compliance Unit periodically compiles the latest regulatory updates and disseminates them via email to the entire company. These updates are also posted on the internal portal, and relevant departments are notified to review whether revisions to internal regulations are necessary in response to external regulatory changes. Departments are required to respond within five days of receiving the notice, indicating whether revisions will be made and providing a timeline for any planned amendments. In 2024, the Compliance Unit issued 31 regulatory update bulletins and requested relevant departments to assess and respond accordingly. Each department submitted its proposed revision timeline or corresponding response measures and implemented them according to the established schedule.

The Company's Compliance Unit also continuously monitors regulatory penalties imposed on industry peers and shares these case studies with all employees via email. Using these enforcement cases as references, relevant departments are required to complete a Compliance Risk Alert Form to assess whether similar risks exist in the Company's current operations. Based on this assessment, departments must propose corresponding response and improvement plans — including, but not limited to, revising internal regulations or operating procedures — to align with financial supervisory expectations.


Compliance Unit Self-Assessment

The Company conducts a compliance self-assessment and risk evaluation semi-annually. Each department is required to complete a Compliance Self-Assessment Form and submit the results to the Compliance Unit for recordkeeping. In 2024, the Company completed these self-assessments and risk evaluations in June and December, respectively. All departments submitted their assessments on schedule. The Compliance Unit compiled the results and reported them to the Board of Directors.

Compliance Training and Education

To strengthen the Company's compliance culture, the Compliance Unit conducts an annual company-wide training session titled “Taishin Securities and Subsidiaries Compliance Training Program,” covering topics such as compliance, personal data protection, customer complaints, litigation reporting, protection of consumer rights for individuals with disabilities, code of ethical conduct, and whistleblowing procedures. In 2024, this training was held between September and October, with a total of 976 participants successfully completing the program, resulting in a 100% completion rate. (Trained full-time employees accounted for 98% of the total full-time staff, which stood at 995 as of December 2024.)

Adhering to regulations and improving customer service quality are key priorities of the Company. To ensure that frontline employees comply with relevant laws and safeguard customer rights, the Compliance Unit regularly conducts compliance training during the monthly meetings of the brokerage units. In 2024, a total of six training sessions were held, focusing on the analysis of industry penalty cases and common deficiencies to raise awareness and help employees fulfill their duty of care in serving clients.

<div>2024 Compliance Training and Education</div>			
Course Content	Introduction to Regulatory Compliance	Compliance Training and Education	Compliance Training and Education
Target	New employees	All employees	Brokerage Division
Number of people	168	976	478
Session	32	1	6
Hours	0.5	3	3

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Penalties

Taishin Securities refers to Article 2 of the "Financial Supervisory Commission's Guidelines for Public Disclosure of Major Penalties for Violations of Financial Laws and Regulations," which sets a threshold of penalties or sanctions exceeding NT\$3 million as the standard for determining major penalty incidents. During 2024, the Company encountered one penalty case imposed by the Financial Supervisory Commission and one settlement case with the U.S. Commodity Futures Trading Commission. Details are as follows:

Reason for Penalty	Penalty Amount/Details	✔ Improvement Status
The regulatory authority's inspection found that the Company's staff engaged in lending funds to clients and caused clients to mistakenly transfer funds to employees' personal accounts under the pretext of employee stock subscription. These violations indicate that the responsible parties failed to properly implement internal control systems, which is a breach of Article 2, Paragraph 2 of the Regulations Governing Securities Firms.	The Company was ordered to terminate the employment of the involved personnel, received a warning, and was fined NT\$960,000.	All regulatory deficiencies cited in the penalty have been addressed through revisions to related policies and completed institutional improvements.
The U.S. Commodity Futures Trading Commission (CFTC) found that Taishin Securities' certain futures transactions on the Chicago Mercantile Exchange (CME) between October and December 2022 did not comply with the Commodity Exchange Act and CFTC regulations.	The Company agreed to pay a settlement of USD 200,000.	All regulatory deficiencies identified by the CFTC have been addressed through revisions to relevant policies, and institutional improvements have been completed.

2.4.2 Anti-Money Laundering and Combating the Financing of Terrorism

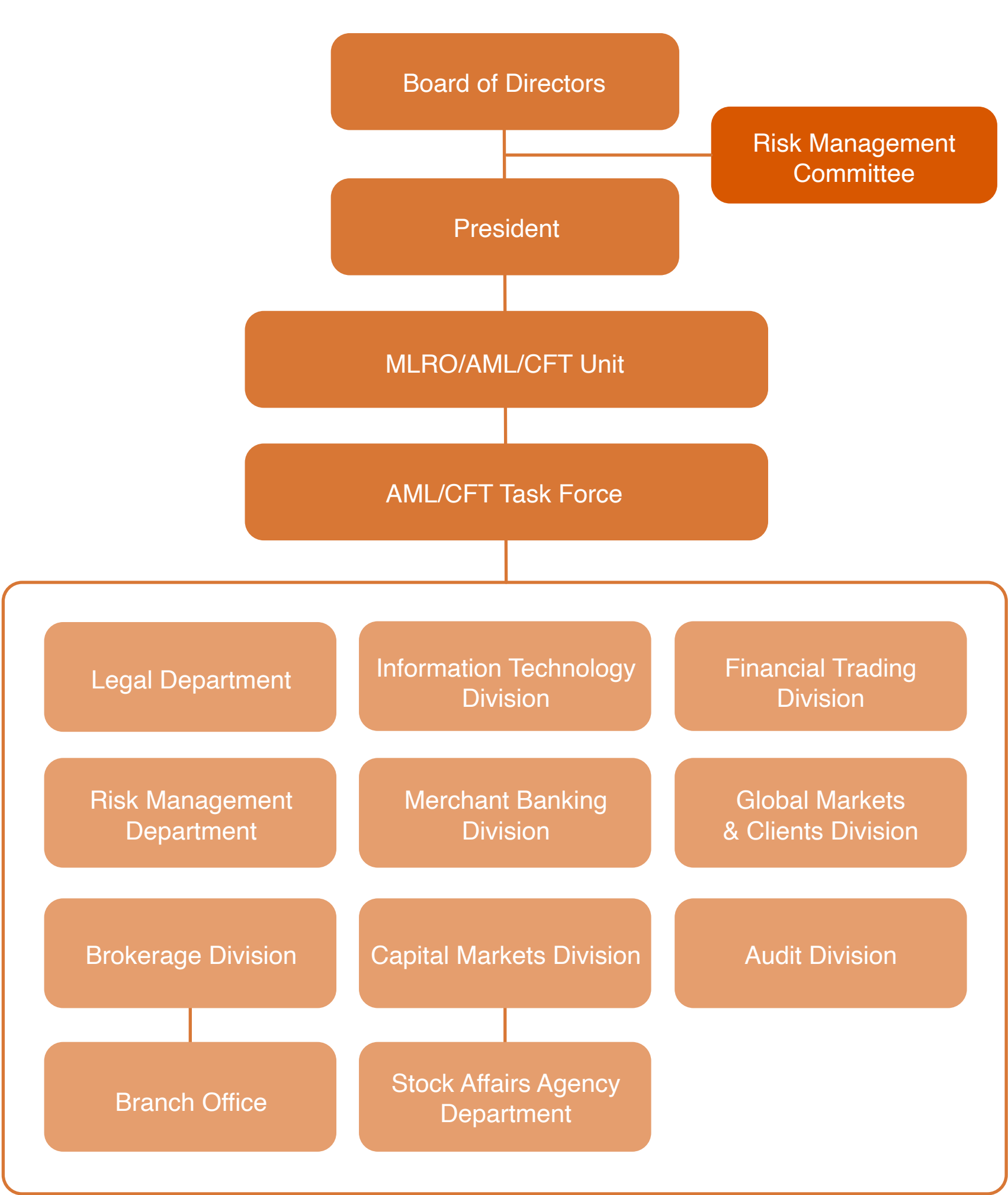
Regulations and Organizational Structure

The Company appoints one senior executive, designated by the Board of Directors, as the dedicated Money Laundering Reporting Officer (MLRO). MLRO is responsible for coordinating and supervising the identification and assessment of money laundering and terrorism financing risks, as well as the planning and management of related policies. MLRO also ensures compliance with relevant laws and regulations. There is a dedicated unit staffed with four personnel who assist MLRO in implementing the company-wide AML/CFT policies and programs.

The Company has established internal AML/CFT policies, procedures, and programs that require approval by the Chairman or the Board of Directors. These cover risk appetite, risk assessment frameworks, and various control mechanisms aligned with group policy directions. We have also established AML/CFT operational procedures, which take effect after approval by the dedicated MLRO. Each department develops its own operating guidelines and procedures, which are implemented upon approval by the supervising manager of the respective business unit to ensure proper execution.

Every year, the Chairman, President, Audit Supervisor, and MLRO jointly issue the Statement on AML/CFT Internal Control. This statement is submitted to the Board of Directors for approval, published on the Company’s website, and announced on the regulatory authority’s designated website.

Anti-Money Laundering Organizational Structure



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Management Mechanism

Taishin Securities has clearly defined in its internal regulations the basis and criteria for customer risk assessments, which must include factors such as geographic location, customer profile, products and services, and transaction or payment channels. The Company has also established a name screening and monitoring mechanism for customers. This includes evaluating various risk factors, indicator scores, and weighting criteria. A money laundering risk assessment system is used to assist in calculating a customer's risk score. Based on the assessed level of risk, different control measures are applied accordingly. In addition, the system performs daily automated screening of customer lists and proactively alerts staff for further review when necessary.

When each business unit conducts suspicious transaction reviews, they must follow the Company's “Anti-Money Laundering and Combating the Financing of Terrorism Guidelines” and the transaction monitoring logic established by each unit. They utilize information systems to assist in detecting potentially suspicious money laundering transactions. For flagged transactions, the unit must assess the reasonableness of each case, confirm within the prescribed timeframe whether the case is suspicious Money Laundering or Terrorism Financing Transaction, and retain records of the review.


Since 2022, the Company has engaged a third-party organization to assist with “Money Laundering Pattern Model Validation” to verify the accuracy and reasonableness of the monitoring logic. This process complies with the regulatory authority's requirement for independent testing of suspicious transaction monitoring systems. The dedicated AML/CFT unit also annually tracks each business unit's review of operational procedures and the appropriateness of suspicious transaction patterns. Whether or not revisions are made, review records must be maintained.

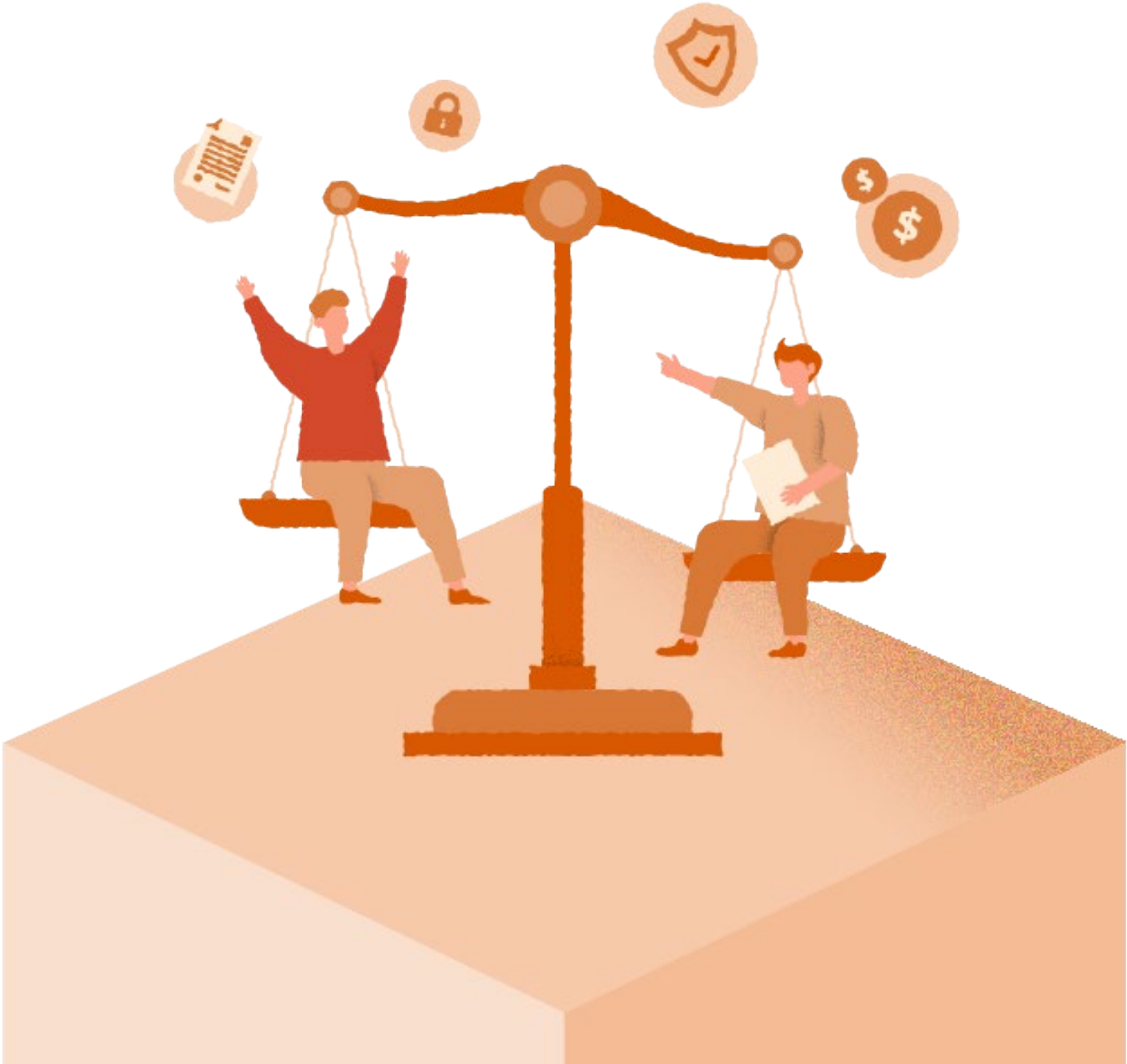
The Company's dedicated MLRO holds monthly meetings to monitor the progress of AML/CFT operations. These meetings also serve to promote policies of parent company and updates to regulatory requirements, share industry penalty cases, and discuss important AML/CFT news and issues. Additionally, the AML/CFT Unit responds to questions from business units and provides solutions to facilitate the smooth execution of AML/CFT-related tasks.

Training and Awareness

To deeply embed AML/CFT awareness within its business units, Taishin Securities holds regular annual AML/CFT training sessions for all employees, focusing on legal regulations and practical applications. Additionally, each business unit conducts specialized training emphasizing operational procedures and practices to strengthen workflow and departmental management mechanisms.

Furthermore, the Company requires the dedicated MLRO, personnel in AML/CFT Unit, and supervising managers of business units to obtain the “Anti-Money Laundering and Countering Terrorism Financing Specilist” qualification within three months of assuming their roles. In 2024, 139 employees passed the AML/CFT professional certification exam, and 8 hold the internationally recognized Certified Anti-Money Laundering Specialist (CAMS) credential.

<div>2024 Anti-Money Laundering and Combating the Financing of Terrorism Training</div>				
Course Content	Comprehensive Anti-Money Laundering and Combating the Financing of Terrorism Training		On-the-Job Training Course for AML/CFT Personnel	Anti-Money Laundering Training – Specialized Training for Business Units
Target	All colleagues	New colleagues	Designated Targets by the Anti-Money Laundering Division	Capital Market Division, Financial Trading Division, Merchant Banking Division, Brokerage Division, Global Markets & Clients Division
Number of people	1,035	105	111	731
Session	Online course	Online course	33	6
Hours	0.5	0.5	12	0.5 to 3



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3.1 Net Zero Emissions Goal

3.1.1 Taishin Securities' Net Zero Emissions Goal

Net zero has become a global issue, as well as a responsibility and mission for all enterprises. In its active pursuit of net zero, Taishin Holdings not only successfully joined the Taiwan Net Zero Emissions Association in 2021, but also further committed to the Science Based Targets initiative for net-zero emissions (SBT net zero) in 2023, setting 2050 as the long-term goal for achieving full net zero.

In 2022, Taishin Holding established its targets in accordance with the Science-based Target (SBT) pathway and received approval from the Science Based Targets initiative (SBTi) for these targets. The Company has initiated carbon reduction actions concerning its significant assets in Scope 1, Scope 2, and Scope 3 of its operational financing. For Scope 1 and Scope 2, 2019 is set as the base year, with a short-term target for 2030 aligned with the 1.5° C warming limit pathway, aiming to reduce emissions by 46% through an annual reduction rate of approximately 4.2%. Scope 3 also adopts 2019 as the base year, with reduction targets for financing and investment portfolios aligned with a well-below 2° C pathway. Taishin Securities follows the net-zero strategy of Taishin FHC, establishing SBT targets and the latest achievement status as follows. In the future, the Company will disclose the progress of target achievement annually and review the applicability of the targets every five years to ensure continued progress towards the net zero emissions goal.

	Targets for SBT	Achievement Status for 2024	Short-term Goals (2025)	Medium-term Goals (2027)	Long-term Goals
Scope 1 and 2	A 46% reduction in total carbon emissions by 2030	An increase of 12% compared to 2023	Reduction of 29%	Reduction of 46%	Reduction of 100% by 2050
Scope 3	The target proportion of investment-type positions that have established their own Science-Based Targets is expected to reach 33% by 2027	The proportion reached 27.75%	The proportion will reach 27%	The proportion will reach 33%	The proportion will reach 38% by 2030

Note 1: The science-based targets (SBT) methodology and the short-, medium-, and long-term targets are based on the publicly committed goals of the parent company, Taishin FHC.

Note 2: The Scope 1 and 2 carbon emissions from Taishin Securities' own operations reflect data from 2024. The increase in emissions was due to a revision of the organizational boundaries for the inventory in 2024, which added public areas under the scope, resulting in higher emissions compared to 2023. For the full progress status of the parent company, Taishin FHC, please refer to the 2024 TCFD Report of Taishin FHC.

3.1.2 Striving for Net-Zero in Investments

Taishin Securities conducts Scope 3 carbon inventories to understand the carbon-intensive areas and coverage of its own operations and investment positions. This enables the Company to assess and adjust its business practices, engage with investee companies, and leverage the positive influence of financial institutions to promote net-zero emissions across the entire supply chain.

In the future, Taishin Securities will strive to reduce both the absolute carbon emissions and emission intensity of its investments, while gradually expanding inventory coverage to demonstrate the Company's commitment to achieving net-zero carbon emissions.

Carbon Emissions from Investment Positions				
	Unit	2022	2023	2024
Scope 3	Carbon emissions (tCO ₂ e)	2,328.26	1,770.59	78,351.22
	Carbon footprint (tCO ₂ e/NT\$1 million)	0.69	0.63	3.73
	Coverage rate (%)	13.08	9.42	78.74

Note 1: Carbon emissions from investment and financing are calculated by PCAF methodology.

Note 2: Carbon footprint of investment and financing = carbon emissions from investment and financing/outstanding of inventoried investment and financing.(Carbon Footprint Unit: tCO₂e / invested and lending outstanding million NTD)

Note 3: Portfolio coverage = outstanding of inventoried investment and financing/balance sheet of FVPL and FVOCI.

Note 4: The scope of the investment carbon inventory includes investments (held for investment and trading purposes): listed/unlisted company stocks (common and preferred shares) and corporate bonds (excluding green bonds).

Note 5: The increase in 2024 emissions was due to a revised inventory scope, which added investment positions held for trading purposes. This change led to higher carbon emissions compared to 2023.

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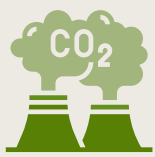








3.1.3 Industries with High Carbon Emissions

In line with the global net-zero emissions trend, Taiwan is expected to begin collecting carbon fees in 2025. Related low-carbon transition policies and regulations will inevitably have a significant impact on high-carbon industries. Under the parent company Taishin FHC’ active response to climate initiatives and its commitment to net-zero and carbon reduction targets, Taishin Securities follows the parent company’s decarbonization timeline and targets (for details, please refer to the “Climate Strategy - Decarbonization Commitment” section on the Taishin FHC website) and implements corresponding measures to achieve carbon reduction goals. To manage industries with high transition risks, Taishin Securities adopts the “High-Carbon Emission Industries List” of Taishin FHC, which is based on industry codes from the Directorate-General of Budget, Accounting and Statistics. Following the Group’s consistent industry classification, industries that are more sensitive to transition risks are identified, including: "Electricity and Gas Supply (excluding green energy generation)", "Petroleum and Natural Gas Mining", "Petrochemical-related Industries", "Manufacture of Paper and Paper Products", "Manufacture of Basic Metals", "Manufacture of Textiles" and "Cement Industry". This classification is uniformly applied across investment and financing processes to strengthen climate risk management.

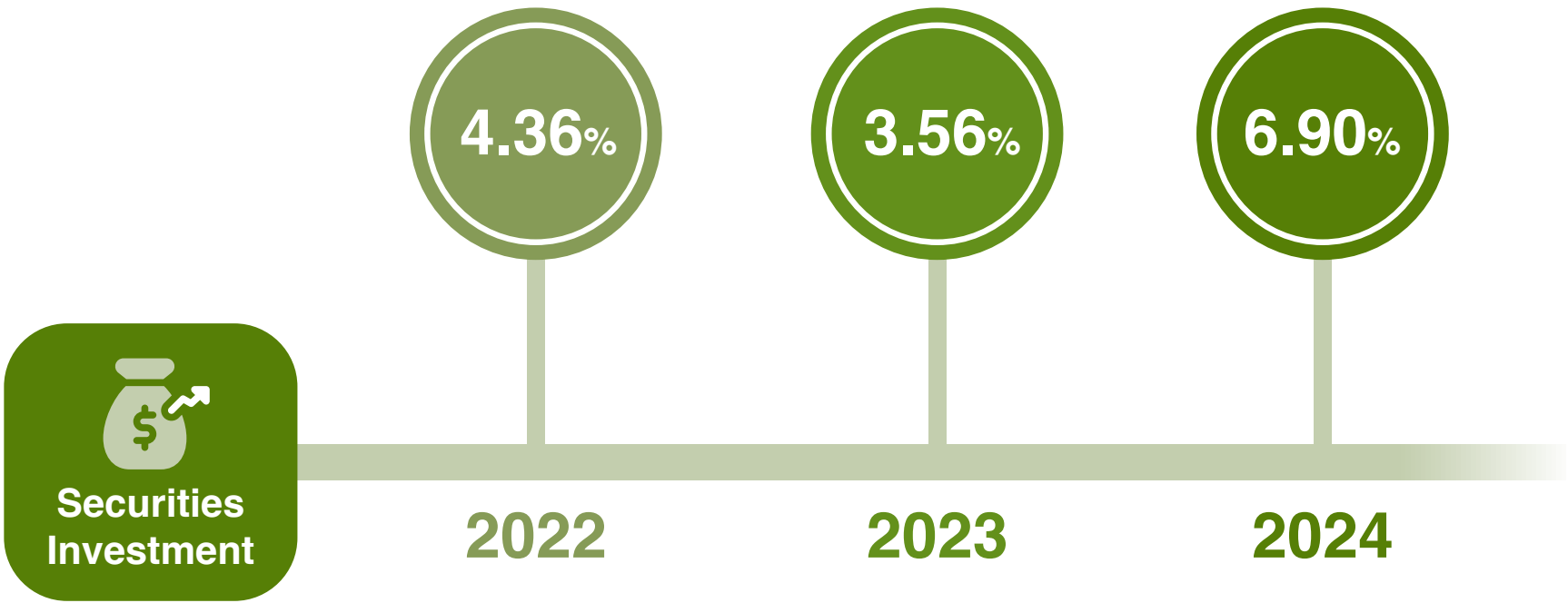
The risk management unit regularly reports changes related to high-carbon industry positions to the Risk Management Committee, providing senior management with information for supervision and decision-making to accelerate the achievement of carbon reduction targets.

Exposures in High Carbon Emission Industries

According to the definition of high carbon emission industries in the "Climate Risk Management Regulations" of Taishin Securities, an assessment of Taishin Securities' investment positions as of December 2023 and December 2024 reveals that the proportion of high carbon emission industry exposure relative to the total investment portfolio is as follows: the overall exposure in December 2024 has increased compared to December 2023. Changes in the exposure structure to high carbon emission industries by business unit are as follows:

 Proportion of high carbon emission industry exposure relative to the total investment portfolio	 Securities Investment		
	2022	2023	2024
 Petroleum and Natural Gas Mining	8.74%	0.00%	0.00%
 Electricity and Gas Supply	0%	0.00%	0.00%
 Manufacture of Basic Metals	10.49%	23.28%	13.29%
 Petrochemical-related Industries	37.07%	56.11%	33.44%
 Manufacture of Paper and Paper Products	23.67%	1.16%	0.50%
 Manufacture of Textiles	12.64%	12.58%	34.19%
 Cement Industry	7.39%	6.87%	18.57%

Note: Investments include both equity and debt. Equity exposure is measured at cost, while debt exposure is measured at face value.



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3.2 Climate Risk Management

GRI: 201-2

In response to the impact of climate change on the financial system, Taishin Securities has incorporated climate-related risks into its existing risk management framework, integrating them with traditional risks to establish a comprehensive risk management mechanism. In the future, Taishin Securities will continue to enhance its operational resilience and promote sustainable development under climate change.

In accordance with the disclosure frameworks and metrics recommended by the TCFD and TNFD, Taishin Securities has published the 2024 Climate-related Financial Disclosures Report to help stakeholders better understand how the Company manages climate-related risks and opportunities. For detailed information, please refer to the Taishin Securities 2024 Climate-related Financial Disclosures Report. A summary is provided below:

Aspect	General Industry Disclosure Items	Description of Disclosure Item	Section of 2024 TCFD Report
Governance	Board of supervision	The Board of Directors is the highest governance unit on climate and sustainability-related issues. It has functional committees including the "Risk Management Committee" and the "Corporate Sustainability Committee" established beneath to assist the Board of Directors in planning and overseeing related risk management matters.	1.1 Climate Governance Framework 1.2 Roles and Responsibilities of the Board and Management
	Role and responsibilities of management	President leads all business and management units in implementing climate actions in alignment with the climate initiatives and six functional units of the Taishin FHC. The Risk Management Department of Taishin Securities is responsible for coordinating with the TCFD Task Force of the Taishin FHC, while the Corporate Sustainability Team under the Strategy and Planning Department liaises with the Sustainability Office of the Taishin FHC.	1.1 Climate Governance Framework 1.2 Roles and Responsibilities of the Board and Management
Strategy	Short-, medium-, and long-term risks and opportunities	To understand the impact of climate change on Taishin, relevant domestic and international climate change issues and industry trends were compiled. Based on the climate risk and opportunity categories recommended by the TCFD framework and with reference to the applicability of each disclosure topic in the “Industry-Based Guidance on Implementing IFRS S2” risks and opportunities were identified according to the nature of the business. These were assessed across short-term (1–3 years), medium-term (3–5 years), and long-term (over 5 years) timeframes. Their impact across the value chain was also identified, including suppliers, the Company’s own operations (including real estate), investments, and brokerage clients.	2.1 Climate-related Risks and Opportunities
	Impact of material risks and opportunities	Taishin Securities uses a climate risk and opportunity matrix to prioritize identified climate-related risks and opportunities based on two dimensions: "probability" and "severity". The degree of "controllability" is represented by the size of the bubbles, indicating the Company’s level of control over each risk and opportunity. Based on the prioritization results, corresponding action plans are developed accordingly.	2.1 Climate-related Risks and Opportunities 2.2 Climate Strategy and Actions
	Scenario analysis	<ul style="list-style-type: none">Own operational sites and suppliers: Under four climate scenarios — RCP2.6, RCP4.5, RCP7.0, and RCP8.5 — Taishin Securities conducts a comprehensive risk assessment of its own operational sites and key suppliers using three climate risk factors: hazard, exposure, and vulnerability. This assessment determines the overall risk level and guides the development of corresponding response measures.Investment positions: The scenario analysis tools are primarily based on the “Climate Change Scenario Analysis Planning for Domestic Banks” issued by the Bankers Association. Short-term scenarios consider the introduction of a domestic carbon fee and the recurrence of Typhoon Morakot with increased intensity (25% increase in rainfall), to estimate potential expected losses over the next year. Additionally, climate scenario data from the NGFS and IPCC are used to estimate expected losses arising from climate risks under different scenarios for the years 2030 and 2050. In addition, based on the physical risk levels (determined by the township or district of business or registration) and transition risk levels (based on industry classification by the Directorate-General of Budget, Accounting and Statistics) provided by the Bankers Association, Taishin Securities discloses the distribution of positions held through corporate advisory services and proprietary investments.	2.3 Climate Change Scenario Analysis and Resilience Assessment

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Aspect	General Industry Disclosure Items	Description of Disclosure Item	Section of 2024 TCFD Report
Risk Management	Identification and evaluation process	<ul style="list-style-type: none">Taishin Securities’ risk management procedures include risk identification, risk measurement, risk management and monitoring, and risk reporting. Climate-related issues have been incorporated into the risk assessment process to ensure that climate-related risks are fully integrated into the overall risk management framework.Each responsible unit incorporates ESG issues into their review processes or decision-making mechanisms and gradually integrates climate factors to strengthen risk management in climate-related financial investments.	2.1 Climate-related Risks and Opportunities 3.2 Climate Risk Management
	Management process	<ul style="list-style-type: none">Risk identification, measurement, and management: This will be implemented by various responsible units through the three steps of climate risk identification, risk measurement, and risk management, in order to establish the climate risk management mechanism of Taishin Securities.Proprietary TradingBefore investing in investment-type and OCI positions, the ESG performance of the investee company will be incorporated into the investment decision-making process. After the investment, Taishin Securities continuously monitors the investee company’s implementation of environmental protection, corporate governance, and social responsibility measures, and undertakes appropriate engagement actions to encourage the investee company to adopt necessary measures to mitigate its ESG risks.Underwriting ServicesTaishin Securities actively assists companies in implementing ESG practices and promoting sustainable transformation. For industries with high ESG risk sensitivity and high-carbon sectors, the ESG performance of the issuing company is incorporated into the evaluation and review process. In principle, the Company does not underwrite cases related to coal-fired power plants or coal-based petrochemical projects. However, exceptions may be made if the client’s fundraising plans are aimed at achieving carbon neutrality, joining the SBT initiative, or pursuing ESG-related goals.	3.2 Climate Risk Management
	Integrated with Risk Management Mechanisms	Each responsible unit, in accordance with its duties, assesses the impact of ESG-related risks within review processes or decision-making mechanisms. Subsequently, climate factors will be gradually incorporated to strengthen Taishin Securities’ climate risk management, thereby enabling the Company to adapt to or mitigate potential impacts from climate-related risks.	3.1 Climate Risk Management Framework 3.2 Climate Risk Management
Metrics and Targets	Metrics used to assess risks and opportunities, and Scope 1, Scope 2, and Scope 3 GHG emissions and related risks	<ul style="list-style-type: none">For Scope 1 and Scope 2 GHG emissions data and related action plans, please refer to Section 4.2 of this report.For Scope 3 emissions from investment positions, please refer to Section 3.1 of this report.For the status of exposure to high-carbon industries within investment positions, please refer to Section 3.1 of this report.For internal carbon pricing, please refer to Section 4.2 of this report.	4.1 Science-Based Targets (SBT) and Achievement 4.2 Environmental Data of Own Operations 4.3 Net-Zero Emission Data of Financial Business
	Targets for managing risks and opportunities, and implementation measures	<ul style="list-style-type: none">Annually disclose progress toward achieving SBT for emissions reduction, and review the applicability of the targets every five years.Set short-, medium-, and long-term reduction targets for Scope 1 and Scope 2 GHG emissions from own operations.	4.1 Science-Based Targets (SBT) and Achievement 4.2 Environmental Data of Own Operations

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4.1 Environmental Management Framework

4.1.1 Green Operations Strategy

Taishin Securities adheres to the policies of Taishin FHC by conducting annual verifications of the ISO 14064 greenhouse gas inventory standards. Concurrently, Taishin Securities also undergoes ISO 14001 environmental management certification to ensure that Taishin Securities' environmental management initiatives comply with international standards. Since 2020, Taishin Securities has adopted the ISO 14064-1:2018 inventory standard, focusing its greenhouse gas inventory categories on direct emissions and indirect emissions related to the organization. Through continuous inventory efforts, Taishin Securities has gained a better understanding of its greenhouse gas emissions status and is committed to continuously improving and optimizing relevant management measures to demonstrate Taishin Securities' commitment to environmental sustainability.

In addition, to promote energy conservation, carbon reduction, and energy performance improvement, Taishin Securities follows the "Environmental Sustainability Policy" established by its parent company. The Company is committed to the effective management of energy and waste, aiming to minimize the operational impact caused by climate-related risks.



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4.2 Energy Conservation and Carbon Reduction

GRI: 302-1、302-3、302-4、305-1、305-2、305-4、305-5

4.2.1 Energy Conservation and Carbon Reduction Policies and Achievements

Energy Saving and Carbon Reduction Action

Taishin Securities follows the parent company Taishin FHC’s net-zero trilogy, gradually reducing greenhouse gas emissions through energy-saving, energy generation, and energy procurement measures. Internal carbon pricing is introduced to evaluate the most carbon-efficient decisions and support cost planning. In 2024, a total of NT\$138,000 was invested in energy-saving projects. Based on an internal carbon price of NT\$2,400 per metric ton, the projects are estimated to generate annual savings of approximately NT\$43,000.

2024 Energy Conservation Action Plan				
Item	Quantity Installed	Electricity Saved (kWh)	Energy Saved (GJ)	Carbon Emissions Reduced (kgCO ₂ e)
Replacement with Energy-Efficient Lighting	152 lamps	7,296	26.27	3,604.22

Note: The estimated values for electricity savings and carbon reduction are based on a power emission factor of 0.494 kgCO₂e/kWh in 2023

2024 Highlights in Carbon Reduction

Taishin Securities Zhongli branch has obtained carbon neutrality certification, having passed the PAS 2060 carbon neutrality verification by SGS Taiwan Ltd. Carbon neutrality certification was achieved through measures such as the purchase of high-quality carbon credits.

Energy Usage

In 2024, Taishin Securities’ total energy consumption was 7,712.613 gigajoules (GJ), with an energy intensity of 1.158 GJ/NT\$1 million in revenue. The majority of the Company’s energy consumption comes from purchased electricity, accounting for approximately 94.25% of total energy use. Other energy sources include gasoline and diesel. Energy usage is systematically managed and inventoried each year, with corresponding improvement measures implemented.

Since 2021, Taishin Securities has continuously participated in the public carbon reduction campaign “Earth Hour,” initiated by the World Wide Fund for Nature (WWF), by voluntarily switching off lights at its Taishin Securities Financial Building, as well as at the Songjiang, Xinzhuang, Xinying, Sanmin, and Zuonan branches. This event symbolizes Taishin Securities' commitment to environmental protection, actively supporting solutions to global climate change issues and raising awareness and action among more individuals regarding environmental conservation. At the same time, in 2024, the Company participated in the Taiwan Power Company’s small-scale green electricity auction, successfully securing 100,000 kWh of green electricity per year, which will be used for a continuous period of five years starting in 2025. In the future, we will also actively take measures to reduce electricity consumption in order to mitigate and adapt to the impacts of climate change on the Company.

Specifically, in 2024, Taishin Securities reduced its environmental impact through energy-saving initiatives. The Company also follows the short-, medium-, and long-term electricity reduction targets set by its parent company, Taishin FHC, with a short-term goal of a 2% reduction. Quarterly reviews are conducted to assess the effectiveness of energy-saving efforts and ensure continuous improvement. These actions contribute to a green, low-carbon future, enhance operational resilience, and foster a shared and sustainable future. For details on the Company’s short-, medium-, and long-term sustainable development goals, please refer to Section 1.1.1 Sustainable Development Strategy.

Energy Consumption Over the Past Three Years

Energy Consumption Items	Unit	2022	2023	2024
Automotive Gasoline	Liter (L)	12,760	14,720	13,640
	Gigajoules (GJ)	416.724	480.735	434.555
Diesel	Liter (L)	-	-	236.07
	Gigajoules (GJ)	-	-	8.542
Purchased Electricity	kWh	1,588,456	15,678,283	2,019,310
	Gigajoules (GJ)	5,719.744	6,124.239	7,269.516
Total Energy Consumption	Gigajoules (GJ)	6,136.488	6,604.974	7,712.613
Total Number of Employees	Person	1,037	1,014	1,032
Average Electricity Consumption Per Capita	kWh/Person	1,643.4	1,677.3	1,956.7
Annual Revenue	NT\$ million	3,541.735	5,469.489	6,661.719
Energy Intensity Per NT\$ Million Revenue	GJ/NT\$ million revenue	1.733	1.140	1.158

Note 1: Energy values are converted based on the “Net Calorific Value of Energy Products” published by the Bureau of Energy, Ministry of Economic Affairs: Electricity (consumption side): 860 kcal/kWh, Automotive gasoline: 7,609 kcal/L, Diesel: 8,642 kcal/L, The conversion factor to joules: 4.187 kJ/kcal

Note 2: The total number of employees is based on the 2024 headcount of Taishin Securities, including both full-time and part-time staff, totaling 1,032 persons

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4.2.2 GHG Management and Achievements

Taishin Securities conducts annual GHG inventories in accordance with the ISO 14001 Environmental Management System and ISO 14064-1:2018 standards. The operational control approach is adopted to define organizational boundaries, and GHG emissions from each operating site are inventoried. Third-party verification is commissioned to ensure accuracy, and the resulting data serves as a reference for future improvement measures. For the current year, the organizational boundary covers all operating sites, while the reporting boundary includes: Category 1: stationary combustion sources, mobile combustion sources, and fugitive emissions; Category 2: purchased electricity. Since 2022, Taishin Securities has conducted GHG inventories annually and uses 2022 as the base year for periodically evaluating and managing organizational GHG emissions.

In 2024, Taishin Securities' total GHG emissions amounted to 1,086.111 tCO₂e. When calculated in terms of emission intensity per revenue (NT\$ million), the emission intensity was 0.164 tCO₂e/NT\$ million in operating income. The emission levels for this year have increased by 12% compared to the previous year. The primary reason for this increase is the adjustment of the organizational boundaries for the inventory in 2024, which has added public areas to the scope, resulting in a higher carbon emission level than in 2023. Furthermore, we have adhered to the SBT for carbon reduction established by Taishin FHC. Regarding Scope 1 and Scope 2 emissions, we have developed a greenhouse gas reduction strategy aimed at limiting the increase in global temperature to within 1.5° C. Our target is set for 2030, with the goal of achieving a 46% reduction in carbon emissions compared to the baseline year of 2019. At the same time, the Company's six functional units under the Corporate Sustainability Committee are responsible for implementing and monitoring progress on this reduction goal annually. Through this commitment, Taishin Securities actively advances the “From Zero to Hero” initiative, striving to tackle the climate crisis.

Scope 1 and Scope 2 Greenhouse Gas Emissions Over the Past Three Years (Unit: tCO ₂ e)			
Item	2022	2023	2024
Direct GHG Emissions (Scope 1)	78	84	88.5
Indirect GHG Emissions (Scope 2)	922	882	997.5
Total GHG Emissions (Scope 1 + Scope 2)	1,000	966	1,086
Total Number of Employees	1,037	1,014	1,032
Per Capita Emissions	0.96	0.95	1.05
Annual Revenue (NT\$ million)	3,541.735	5,469.489	6,661.719
Emissions Per NT\$ Million in Revenue	0.282	0.177	0.164

- Notes:
- The inventory methodology follows the ISO 14064-1:2018 standard
 - The electricity emission factor used is 0.494 kgCO₂e/kWh
 - Global Warming Potential (GWP) values are based on IPCC AR6
 - The organizational boundary for the inventory includes all Taishin Securities branches across Taiwan
 - The total number of employees is based on the 2024 headcount of Taishin Securities, including both full-time and part-time staff, totaling 1,032 persons
 - Annual revenue figures are based on data from 2022, 2023, and 2024

4.3 Water Resources and Waste Management

4.3.1 Water Resource and Waste Management Policy and Performance

Water Resources Management

All water used by Taishin Securities is sourced from the municipal water supply system. The Company is committed to water conservation and management and began conducting water resource inventories in 2024. A water reduction target is planned for implementation in 2025. Internally, water-saving awareness campaigns are continuously promoted, and regular inspections of equipment are carried out. Water-saving labeled fixtures are used to enhance water use efficiency. In 2024, Taishin Securities' total water withdrawal amounted to 13,130 cubic meters (million liters).

Waste Management

Taishin Securities actively supports the Taipei City Government's environmental protection policies by firmly refusing the use of disposable tableware and melamine products, while encouraging employees to participate in environmental initiatives. To reduce environmental impact, the Company promotes the use of personal reusable cups and implements double-sided printing to minimize paper waste. Additionally, to further promote resource reuse, “stationery donation and recycling boxes” are set up within the Company to encourage employees to reuse materials, aiming to reduce waste and integrate resources effectively. Taishin Securities manages waste through detailed classification. Non-recyclable waste is collected and transported to incineration plants by professional disposal contractors to ensure proper handling. Recyclable waste is sorted by employees and then handed over to certified cleaning companies for recycling.

Since 2023, Taishin Securities has followed the lead of its parent company, Taishin FHC, in becoming a pioneer among financial institutions by introducing a "Smart Recycling Machine," installed at its Headquarters for use by both employees and the general public. The Smart Recycling Machine utilizes smart identification technology to recycle discarded dry batteries and PET bottles. After sorting the bottles, they are shredded and stored, significantly reducing their volume to minimize transportation frequency. Ultimately, the recycled materials are reprocessed, contributing to a green circular economy. By the end of 2024, a total of 2,423 PET bottles (65.421 kg) and 2,105 used batteries (48.415 kg) had been recycled, resulting in an estimated reduction of 0.193 metric tons of greenhouse gas emissions. Compared to 2023, the 2024 recycling volume increased by 38% for PET bottles and 126% for batteries. In the future, the number of machine installation sites will gradually expand. This series of initiatives aims to promote the effective utilization of resources while minimizing the negative impact on the environment. Through practical actions, we not only fulfill our corporate social responsibility but also make a positive contribution to future environmental protection. We firmly believe that these efforts will contribute to the creation of a green and sustainable work environment, providing employees with a healthier workplace.

2024 Waste Statistics

Item	Amount Generated (kg)	Item	Amount Generated (kg)	Item	Amount Generated (kg)
PET Bottle	65.42	Waste Light Tubes	6.68	Per Capita Waste Generation	7.32
Aluminum Can	4.58	Waste Batteries	48.42	Note:The statistical boundary covers the Taishin Securities Financial Building. The per capita waste generation is calculated based on the number of employees at this location as of the end of 2024, totaling 380 people.	
Steel Can	4.84	Water-destroyed Paper	2,520		
Waste Paper	131	Total	2,780.94		

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4.4 Supplier Management

GRI: 2-6、308-1、414-1

4.4.1 Supplier Management Policies

Taishin Securities primarily engages in financial services, and therefore its suppliers have relatively low environmental impact. The Company follows the qualified supplier selection criteria set by its parent company, Taishin FHC, for business collaboration. Evaluation criteria include localization, labor practices, and ethical standards. Suppliers found to be involved in dishonest or illegal activities are avoided. Furthermore, we require the signing of the "Supplier Commitment Statement" to strengthen management efforts. We will conduct monthly satisfaction surveys and evaluations of suppliers to track and review performance regularly. In 2024, Taishin Securities has also invited suppliers and corporate clients to participate in the Taishin FHC Annual Supplier Conference, themed "Building a Green Supply Chain in Response to Net Zero Green Living." This conference invited Environmental Resource and Information Co., commissioned by the Ministry of Environment to handle green procurement and environmental labeling consultations, to introduce the process of promoting green procurement, the application methods, and the certification standards. Additionally, the Company explained to suppliers how to apply for the green label for products that meet the specifications, thereby creating added value for brands and products while promoting environmental friendliness.

Taishin Securities is committed to enhancing the sustainable competitiveness of the enterprise through the establishment of close cooperative relationships with suppliers, striving to achieve a win-win situation. In the future, Taishin Securities will continue to collaborate with qualified suppliers to strive for a green environment and achieve sustainable goals.

4.4.2 Supplier Risk Assessment

Taishin Securities follows the policies of its parent company, Taishin FHC, by conducting 100% human rights risk assessments of its suppliers. Through risk questionnaires, the Company reviews past controversies and legal violations to evaluate the risk levels of its suppliers. For high-risk key suppliers, Taishin Securities enforces strict oversight in accordance with human rights risk mitigation measures and regularly monitors their improvement progress to promote sustainable development across the supply chain.

4.4.3 Green Procurement and Local Sourcing

Taishin Securities adheres to the Supplier Maintenance & Management Regulations established by its parent company, Taishin FHC. In the selection of suppliers and procurement of products, priority is given to those with eco-friendly attributes, such as environmental protection, water conservation, energy efficiency, green building materials certification, or features like recycled content, recyclability, and low pollution. Through green procurement, Taishin Securities leverages its influence to embed environmental values into corporate practices, working collaboratively with upstream and downstream suppliers to build a green supply chain. In addition, when purchasing office supplies or equipment, the Company prioritizes local suppliers to reduce transportation costs and carbon footprint, while also demonstrating Taishin Securities' support and care for the local suppliers.

In 2024, Taishin Securities achieved a green procurement amount of NT\$5,791,930 for computer and monitor equipment. In the future, the Company will continue to promote environmental protection goals by encouraging and supporting its suppliers, thereby fulfilling its corporate social responsibility.



▲ Taishin FHC's 2024 Supplier Conference.

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4.5 Sustainable Environment

GRI: 101-1、101-2、101-4

4.5.1 Protecting Biodiversity

Taishin Securities follows the sustainable development strategy and sustainable finance policy of Taishin Financial Holdings, and supports the United Nations Sustainable Development Goals — SDG 14 (Life Below Water) and SDG 15 (Life on Land). The Company has established policies such as the "Sustainable Development Policy," "Proprietary Trading Business Operation Guidelines," and "Underwriting and Position Management Guidelines" to regulate ESG assessment mechanisms both before and after investment, as well as for underwritten positions. For entities that do not meet ESG assessment standards, the Company adheres to the principle of not establishing proprietary or underwriting positions, thereby implementing responsible investment practices. Since 2023, Taishin FHC (including Taishin Securities) has become a supporting organization of the Taiwan Nature Positive Initiative of BCSD Taiwan. This reflects the Company's active engagement in nature conservation and biodiversity protection. In addition, Taishin Securities continues to promote sustainability awareness among employees through initiatives such as waste reduction, plastic reduction, and green procurement.

Recognizing the resource waste caused by overconsumption, Taishin Securities promotes the reuse of second-hand goods through charity sales. In 2024, President Chen Li-Kuo led employees to participate in LITEON Technology's "2024 - 1111 Circular Treasure Festival" second-hand charity market event. A total of over 300 items, including small appliances, camping gear, and various household goods were collected. All proceeds from the sale, amounting to NT\$23,018, were donated in full to the Trust in Nature Foundation to support the protection of forest habitats and ecosystems.

Continuing its commitment to ecological habitat issues in Taiwan, Taishin Securities launched its first-ever "Sustainability Seed Course" in 2024. Led by President Chen, Li-Kuo, a total of 33 participants — including senior executives and ESG Task Force members — visited the Alibang Ecological Farm in Shimen District, New Taipei City. On site, employees donned waders and entered the ecological pond to personally experience the connection between humans and nature. They also learned about the crucial role wetlands play in overall biodiversity. Through collective effort, the team manually removed 240 kilograms of invasive plant species. With professional guidance from the farm's ecological experts, employees were gradually introduced to the structure and functions of wetlands. This experience helped them better understand the relationship between humans and forests, further deepening their awareness and commitment to ecological conservation.

In 2024, Taishin Securities continued its collaboration with EasyCard Corporation to issue an exclusive "ESG Sustainability Card" for employees. More than just a regular EasyCard, this card embodies the Company's commitment to instilling sustainable values among its workforce. Through EasyCard's data services, the "ESG Sustainability Card" accurately calculates the carbon emissions generated by employees' commuting activities. This initiative encourages the use of public transportation, reinforcing the company's commitment to green living and reducing carbon emissions collectively.

From July 17, 2023, to December 31, 2024, data indicates that the carbon reduction has resulted in a decrease of 110 metric tons of carbon dioxide emissions, which is equivalent to approximately 34,300 reams of copy paper. In the future, Taishin Securities will continue to enhance the green commuting ratio of its employees through a positive reinforcement cycle mechanism, making proactive contributions to a sustainable environment.

Note:The reduction in carbon emissions refers to the amount of carbon emissions decreased by using public transportation compared to traveling by car

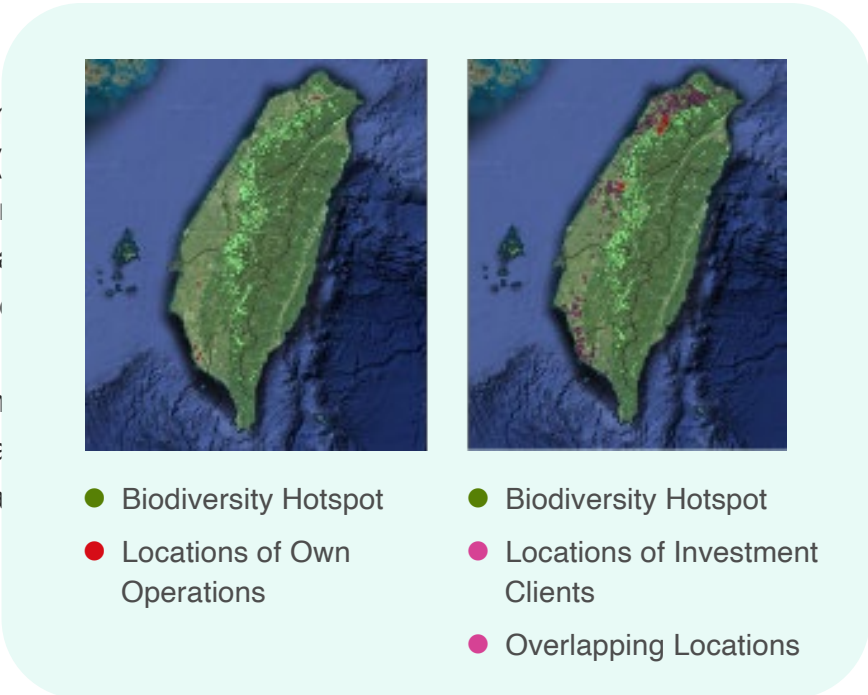
4.5.2 Biodiversity Risk

Biodiversity-Related Policies

Taishin Securities follows the "Taishin FHC Sustainable Finance Policy" to establish relevant guidelines. During the pre-investment evaluation phase, investment units are required to complete an ESG assessment form, which includes indicators across three key dimensions: environmental protection, social responsibility, and corporate governance. The purpose is to evaluate whether the investee company is engaged in sustainable and forward-looking economic activities (e.g., renewable energy industries, water recycling or advanced technologies, energy-saving or energy storage equipment, conservation of natural resources, and promotion of biodiversity), or falls under industries/entities that should be avoided. Through due diligence and prudent evaluation, the Company assesses the investee's performance in ESG aspects (including greenhouse gas inventory, waste and water resource management, and net-zero transition measures) to promote sustainable finance and drive industrial transformation, thereby making a substantive contribution to climate change mitigation.

Biodiversity Impact Analysis

The Company conducted its biodiversity impact analysis based on published by the Taskforce on Nature-related Financial Disclosures (TNFD). The analysis identified biodiversity hotspots for fauna. These were classified into three functional groups: wetlands, forests, and rivers. The Company then overlaid its own operations and investment client locations onto these hotspots to analyze whether Taishin Securities' operational or investment activities overlap with biodiversity hotspots. The results indicate that none of the eight operational sites of the Company overlap with biodiversity hotspots (left figure below). However, among the 771 investment client locations, 10 overlap with biodiversity hotspots within a 500-meter radius (right figure below).



Nature-related Risks and Opportunities

Taishin Securities has identified its preliminary nature-related risks and opportunities for 2024 based on the risk factors defined by the Taskforce on Nature-related Financial Disclosures (TNFD). The top three risks include: (1) increasing market demand for environmentally and nature-friendly products and services, (2) poor corporate image, and (3) inadequate forest and watershed conservation. The top three opportunities are: (1) engagement and advocacy actions, (2) investment in nature conservation research, and (3) nature-related financial products and services. For details on the impact and potential financial implications of these risks and opportunities on the Company, as well as the complete TNFD-aligned analysis, please refer to the "2024 Taishin Securities Climate-related Financial Disclosure Report."

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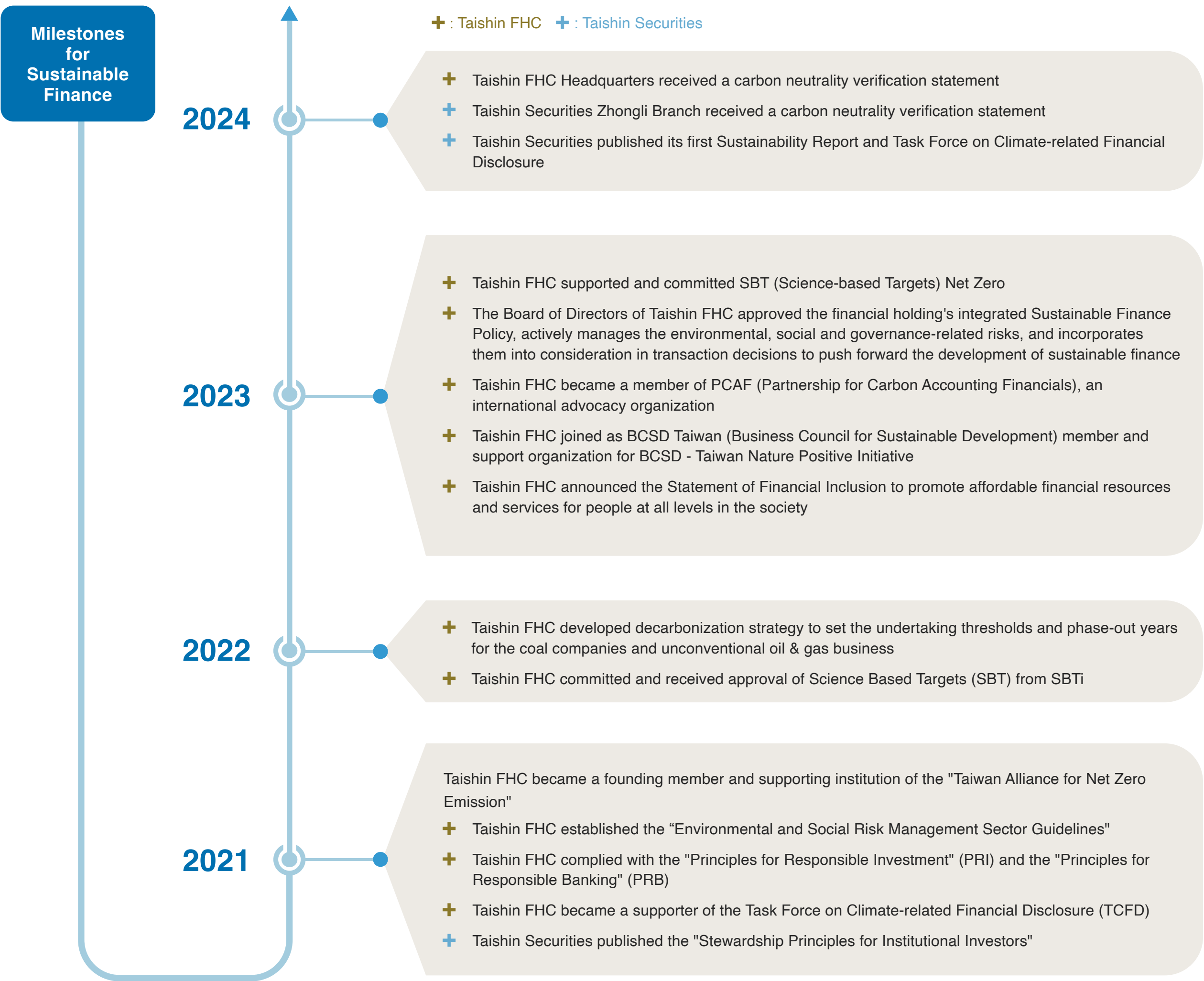
5.1 Sustainable Finance Policy and Actions

To promote the development of sustainable finance, Taishin Securities adheres to the "Taishin FHC Sustainable Finance Policy" established by its parent company. This policy draws on key international principles, such as the International Finance Corporation (IFC) Exclusion List and the United Nations Global Compact. It also incorporates recent developments in sustainable finance, refers to international initiatives such as the Principles for Responsible Investment (PRI), and aligns with green finance-related policies and stewardship responsibilities for institutional investors. When providing financial products and services, Taishin Securities follows the five core principles outlined in the Financial Supervisory Commission's (FSC) "Guidelines for Preventing Greenwashing for Financial Institutions", which include the establishment of management regulations such as the "Sustainable Information Management Operating Procedures" and the "Internal Control System - Sustainable Information Management Operations." For detailed information, please refer to the "Taishin FHC Sustainable Finance Policy."

Taishin Securities adheres to the policies set forth by Taishin FHC, which explicitly state that financial services will not be provided to certain trading counterparts and for specific content. For coal-related and non-typical petrochemical oil and gas businesses, strategies will be developed regarding the thresholds for undertaking projects and the timelines for phasing out operations. Additionally, standards for high carbon-emitting industries will be established, along with a list of enterprises to avoid direct collaboration with or to be subject to careful evaluation. We adhere to the principles of promoting sustainable and forward-looking economic activities, with the aim of leveraging the power of financial markets to assist in the proactive transformation of the market and achieve sustainable development goals.

Guidelines for Preventing Greenwashing for Financial Institutions

- 1 Information should be truthful, accurate, and supported by evidence, and its accuracy should be reviewed regularly
- 2 It should be direct and easy to understand
- 3 The content should be complete, without omitting or concealing important sustainability information
- 4 When sustainability information is involved in comparisons, it should be fair and comparable
- 5 It is advisable to ensure compliance with sustainability-related standards



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5.2 Sustainable Products

SASB: FN-IB-410a.1 、FN-IB-000.C

5.2.1 Sustainable Underwriting and Consulting Services

Taishin Securities assists issuing companies in promoting and emphasizing sustainable development by assessing whether the issuing companies have appropriately disclosed the implementation of their sustainability practices in their prospectuses in accordance with relevant regulations. Where certain conditions are met, issuing companies may also be required to further disclose climate-related information, guiding them to take concrete actions toward sustainability.

In addition, we review the self-assessment reports on corporate governance submitted by issuing companies to evaluate whether they have properly conducted self-assessments based on specific indicators outlined in the reports. These indicators include disclosure on board composition and responsibilities, election methods, compensation, and internal functional divisions. Such reviews enable us to ensure that issuing companies are effectively promoting and implementing sustainable development and sound corporate governance.

Taishin Securities shares sustainability knowledge with issuing companies on a quarterly basis through meetings and the sustainability insights e-newsletter. In addition, in 2024, suppliers and corporate clients were also invited to participate in the annual Net-Zero Forum and Supplier Conference hosted by Taishin FHC, to gain insights into sustainable trends related to net-zero transition.

Underwriting and Consulting Services with Environmental or Social Benefits for Enterprises

Taishin Securities has extensive practical experience as an underwriter for companies with ESG principles. It aims to leverage its core competencies in the financial industry to create benefits for the environment and society through its business operations. We carefully assess whether enterprises are taking action in areas such as renewable energy, promoting environmental protection, addressing climate change, or safeguarding biodiversity, and based on this, we evaluate ESG-related risks. Furthermore, we assist in incorporating sustainable transformation into the guidance and auditing processes by further understanding our clients' perceptions and goals regarding sustainability.



Case Study

Supporting the Circular Economy Industry to Promote the Recycling of Recycled Plastics

The Company serves as the lead underwriter for the IPO of BORETECH Resource Recovery Engineering Co., Ltd and has completed the IPO submission in 2024, with an expected listing in 2025. The company has 30 years of expertise in the integration of resources and technology within the recycled polyester industry. In 2021, the company successfully produced recycled raw materials from ocean waste PET bottles and was awarded Taiwan's first "Recycled Marine Debris Product Label." The company actively promotes the circular use of marine waste, aligning strongly with the "12 Key Strategies," particularly "Resource Recycling and Zero Waste". BORETECH Resource Recovery Engineering Co., Ltd. assists in the global recycling of over one million tons of rPET flakes annually and is committed to becoming a leader in eco-friendly recycled plastics. It actively drives the circulation of recycled plastic raw materials, contributes to global energy conservation and carbon reduction, and invests in the development of advanced recycling technologies. By leveraging the capital market, BORETECH supports the growth of Taiwan's circular economy industry and aids in advancing the global recycled plastics cycle to promote net-zero emissions.

Taishin Securities is committed to promoting ESG-oriented enterprises and actively supports the six core strategic industries promotion plan and the 12 Key Strategies for Net Zero. Through its investment banking business, the company plays a vital role in facilitating industry integration and development, fulfilling its corporate social responsibility, and contributing to net-zero sustainability efforts to protect the planet's resources.

Total Underwriting Amount Incorporating ESG Factors in 2024	
Industry	Amount
Biotechnology and Medical Care	2,373,669
Automated Equipment	1,194,143
Social Culture	933,640
Smart Internet of Things	2,103,922
Total	6,605,374

Unit: NT\$ thousands

Assisting SMEs in Raising Capital in the Capital Market

Taishin Securities recognizes that small and medium-sized enterprises (SMEs) are a vital component of the economy, and their development requires sufficient financial support. We provide comprehensive consulting and support services to assist SMEs in increasing their visibility in the capital markets and attracting greater investor interest and investment. Most importantly, we uphold principles of integrity, professionalism, and responsibility to help SMEs better achieve their growth and development goals, thereby injecting new momentum into the broader economy.

In 2024, Taishin Securities assisted with 5 SPO services for SMEs^{Note}, totaling NT\$1,024,100 thousand.

Note:SMEs are defined according to the Small and Medium Enterprise and Startup Administration, MOEA's "White Paper on SMEs" as companies with a paid-in capital of less than NT\$100 million or with fewer than 200 regularly employed staff.

2024 Market Making Transactions

In 2024, the Company acted as a liquidity provider for 16 ESG-related ETFs and issued 210 ESG concept warrants. Information regarding the warrant issuances is fully disclosed in the public prospectuses for investor reference. The "ESG Concept" refers to the information on green enterprises provided by the ECO-Fin of Taipei Exchange. Companies that obtain green certifications both domestically and internationally are defined as green enterprises. In the future, we will continue to monitor market dynamics and engage in market-making activities to enhance market activity and promote the effective operation of the trading market.

Product Category		Number of Cases	Transaction Amount
Warrant	Number of ESG concept	210	885,489
	Others	921	3,796,280
ETF	Number of ESG concept	16	16,588,813
	Others	164	228,029,096
Total			249,299,678

Unit: NT\$ thousands

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5.3 Responsible Investment

5.3.1 Guidelines on Responsible Investment

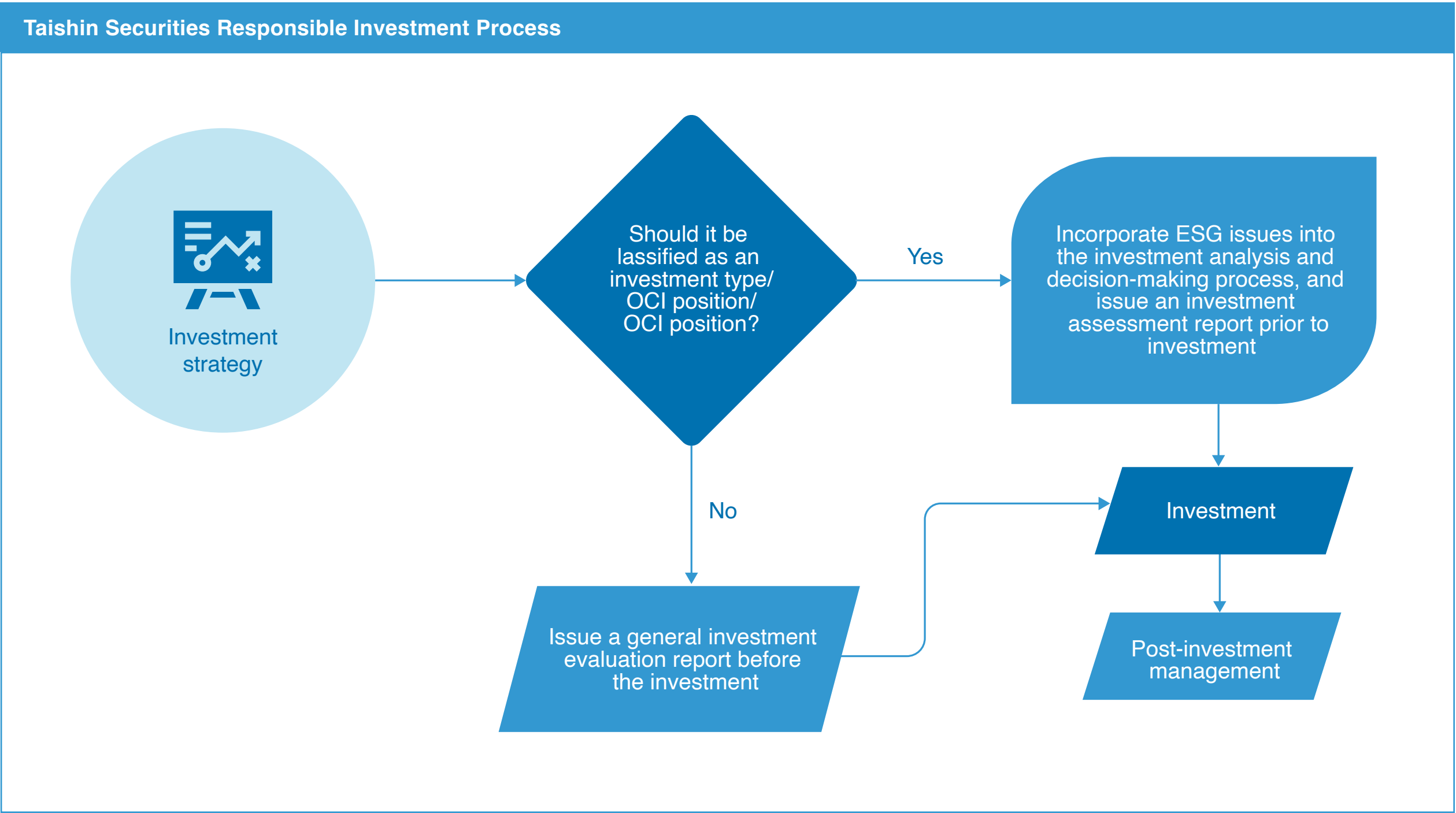
GRI: 2-23 、2-24
SASB: FN-IB-410a.2 、FN-IB-410a.3

To align with international sustainable finance trends, enhance shareholder and investor capital value, and simultaneously mitigate related risks, Taishin Securities actively complies with the "Sustainable Finance Policy" established by its parent company, Taishin FHC. Responding to the United Nations Principles for Responsible Investment (PRI), the Company incorporates environmental, social, and governance (ESG) non-financial factors into its evaluation process as a foundation for business execution and disclosure, underscoring the Company's commitment to responsible investment. The Company defines prohibited or avoidable investment targets and conducts prudent assessments to exclude entities involved in environmental damage, social harm, human rights violations, such as those in tobacco, alcoholic beverages, gambling (including underground and online gambling), companies with confirmed food safety issues endangering health, highly polluting companies that do not comply with environmental regulations and fail to provide concrete improvement plans, illegal activities, human rights violations, and highly controversial industries such as pornography and illegal weapons manufacturing. Post-investment monitoring includes quarterly reviews of (1) ESG risk-related scores of investment targets, (2) exposure data to high carbon-emitting industries, and at least an annual review of the implementation of ESG policies and the appropriateness of policies concerning changes in investments in high carbon-emission industries. In alignment with Taishin FHC's decarbonization commitments, Taishin Securities actively pursues decarbonization of fossil fuel-related investments. This includes coal-related businesses (such as coal mining, coal-fired power plants, and coal-related infrastructure) and non-conventional oil and gas businesses worldwide. The Company has set a timetable to cease new underwriting or investments between 2022 and 2030 and aims to fully divest from coal-related companies by the end of 2030 and from non-conventional oil and gas businesses by the end of 2040.

If Taishin Securities' investment business involves investment-type transactions, it must comply with the relevant regulations stipulated in the pre-investment and post-investment procedures. For investment-type targets, including equity investments and Other Comprehensive Income (OCI) positions, the pre-investment assessment process must review the ESG risk-related scores or ESG reports of the investment targets. Taishin Securities references evaluation scores from domestic and international professional institutions, including the "Corporate Governance Evaluation" and ESG ratings of listed companies published by the Taiwan Stock Exchange (TWSE) and the Taipei Exchange (TPEX).

Taishin Securities utilizes ESG scores or ratings published by institutions such as the Taiwan Depository & Clearing Corporation's ESG Investor Relations Platform (ESG IR Platform), Bloomberg, Sustainalytics, and MSCI to assess the ESG risks of investment targets and issue investment evaluation reports accordingly.

Taishin Securities will conduct post-investment management evaluations on a quarterly basis, including the ESG rating distribution of its investment position. If any investment position falls under the restricted investment criteria based on its ESG risk indicators, and the total investment cost of such positions reaches a certain proportion of the overall cost, the company shall not increase its position in the aforementioned rating category. Should there be a need to increase the investment position, relevant documentation from the investee company must be provided and approval must be obtained before proceeding.



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2024 Taishin Securities Responsible Investment Results		
Investment-type Industries / Categories	Number of Investments	Investment Balance at the End of 2024
 General Manufacturing	3	321,167
 Chemicals	3	108,120
 Cement	2	95,057
 Semiconductors	5	675,436
 Services	2	237,440
 Logistics	1	33,979
 Financial	4	367,500
 Hospitality	1	8,213
 Maritime Shipping	1	51,176
 Electronic Manufacturing	6	877,796
 Steel	1	28,644
 Green Bonds <small>Note 2</small>	3	282,724
 Sustainability-Linked Bonds <small>Note 2</small>	1	104,265
Total		3,191,515

Unit: NT\$ thousands

Note 1: The reference date for the data is December 31, 2024

Note 2: The invested targets comply with the Taipei Exchange's Sustainable Bond Standards

5.3.2 Stewardship Principles for Institutional Investors

Taishin Securities’ core businesses include securities brokerage, proprietary trading, and underwriting, positioning the company as an asset owner. In line with the long-term interests of capital providers (including clients and shareholders), Taishin Securities monitors the operational status, greenhouse gas emissions, and carbon reduction strategies of investee companies. Taking into account the Company’s role within the investment chain, the nature of its business, and the protection of client interests, Taishin Securities participates in corporate governance by attending shareholder meetings, exercising voting rights, and engaging in appropriate dialogues and engagements with the management of investee companies. Additionally, Taishin Securities discloses its statement of compliance with the “Stewardship Principles for Institutional Investors” on the Company's official website to demonstrate the Company's commitment to stewardship and to encourage investee companies to prioritize sustainability issues, thereby exerting a positive influence as a financial institution. In 2024, the Company proactively invited corporate clients to join its parent company, Taishin FHC, in co-hosting the “Taishin Net Zero Summit,” in collaboration with the Chinese National Association of Industry and Commerce. This forum gathered energy sector stakeholders and experts from Taiwan and Japan to exchange cross-border experiences on power industry transformation and renewable energy development. The initiative aims to build industry consensus, accelerate technological breakthroughs and practical

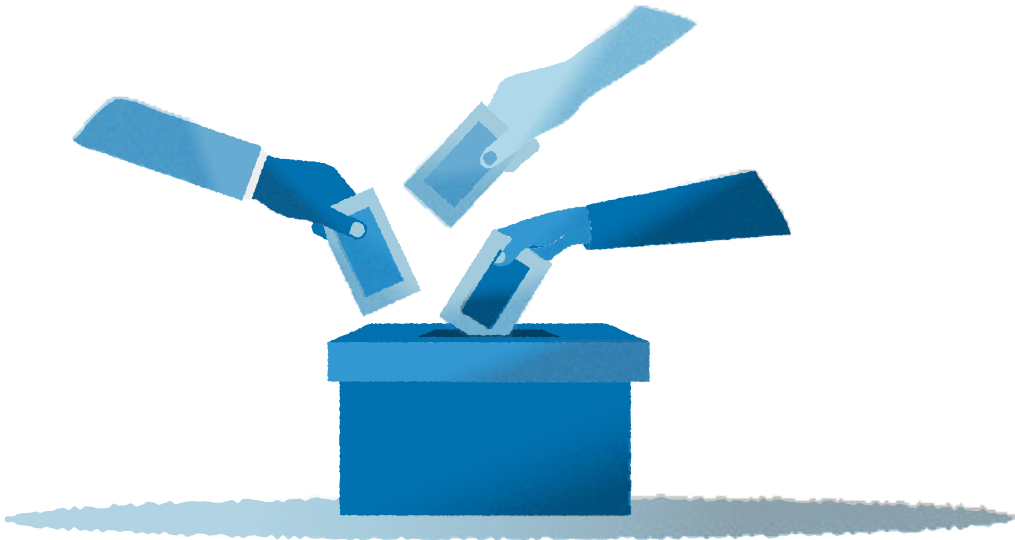
applications, and provide references for carbon reduction transition or climate adaptation planning, contributing sustainable solutions toward achieving net-zero emissions by 2050.

Taishin Securities adheres to Article 20 of the "Regulations Governing Securities Firms" and the "Standard Operation Procedure and Directions for Control of Internal Decision-making Process of Shareholders Meetings Attended by Securities Firms Holding the Companies' Shares and Appointment of Persons to Exercise the Voting Right.” In accordance with these regulations, the Company actively exercises voting rights on shareholders’ meeting proposals in written or electronic form. Before attending, Taishin Securities conducts a careful evaluation of each proposal on the agenda. When necessary, the Company communicates with the investee company’s management in advance to gain further understanding. Additionally, the appointment letters of those present in person or the electronic voting records of those exercising their rights electronically shall be retained in written or electronic form for future reference. In 2024, Taishin Securities attended a total of 673 shareholder meetings, participating in a total of 2,639 voting proposals.



Statement of Compliance with Stewardship Principles of Taishin Securities





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Taishin Securities’ Key Voting Policy
Principles for Participation in Investee
Companies’ Shareholders’ Meetings

1

The Company shall exercise voting rights for domestic equity investments in which it holds more than 300,000 shares or for investee companies that adopt electronic voting. If the shareholding is below 300,000 shares and electronic voting is not adopted, the Company may choose not to appoint personnel to attend the shareholders’ meeting.

2

The exercise of voting rights includes expressing support, opposition, or abstention. In line with the spirit of stewardship principles, the Company does not automatically support all proposals raised by investee companies.

3

Shareholders of the invested company who participate in the shareholders' meeting should primarily exercise their voting rights through electronic voting.

4

Shareholders of the invested enterprise who do not adopt electronic voting and hold more than 300,000 shares are required to designate internal personnel to attend the shareholders' meeting in person to exercise their voting rights.





5


Prior to attending investee companies’ shareholders’ meetings or related meetings, a thorough assessment of the meeting agendas shall be conducted. When necessary, communication with the management shall be initiated in advance to gain a comprehensive understanding of the proposals.

6

Within the convening period of the shareholders’ meeting, the responsible unit shall carry out relevant procedures such as assigning attendees and making decisions on the exercise of voting rights. All related records shall be properly documented and retained for future reference.

Through participation in investor conferences, conference calls, and email communications, Taishin Securities interacts with investee companies not only to understand their future industry outlook and business prospects but also to encourage them to value and support ESG-related issues. In 2024, the Company also referred to the “Reference Guidelines for the Recognition of Sustainable Economic Activities” issued by the competent authority to conduct an inventory. A total of 17 cases were identified as applicable under general and supportive economic activities, with a total amount of NT\$2,816,687 thousand, the majority of which was concentrated in the construction and real estate industry.

2024 Engagements with Invested Companies				
	 Email Discussion	 Phone or Video Conferences	 Attendance at Shareholders’ Meetings	 Participation in Investor Conferences
Frequency (Times)	17	3	673	202

2024 ESG-Related Engagement Outcomes			
Engagement Topic	 Environmental Protection	 Social Responsibility	 Corporate Governance
Frequency (Times)	19	9	12

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2024 Engagement and Dialogue Cases with Invested Companies	
Case: Company C	
Engagement Period	August 30, 2024
Engagement Method	Email
Reason for Engagement	Improvement of Sustainalytics ESG risk ratings and MSCI ESG ratings
Explanation and Suggestions on ESG Status of Company C	1. Social: Strengthening talent development 2. Environmental: Enhancing water resource management, energy efficiency, and waste management practices
Expected Engagement Goals	Since ESG sustainability issues are key indicators in investment evaluations, and pollution concerns are generally more significant in the electronics industry, we recommended that the company C actively improve its ESG performance.
Improvement Plan Proposed by Company C	Social aspect: This year, the company conducted its annual Employee Satisfaction Survey, covering six key dimensions: employee engagement, leadership and communication, rewards and recognition, career development, work environment, and work-life balance. The survey aimed to gain a comprehensive understanding of employees' work experience and their suggestions for the company. Based on the analysis of strengths and areas for improvement, the company has formulated corresponding action plans and disclosed the results and improvement measures in this year's Sustainability Report; To cultivate outstanding young talent, the company has partnered with colleges and universities since 2017, successfully training over 45 students to gain practical experience before graduation. In addition, the company collaborated with National Yang Ming Chiao Tung University to implement a talent development program — Talent Development Hub for the Semiconductor and Key Technology Industries — to facilitate diverse talent matching and the exchange of practical experience. Details of these training programs in collaboration with educational institutions have been further disclosed in this year's Sustainability Report. Regarding human capital risk assessment, the primary impact on the Company lies in the potential inability to attract and retain sufficient talent, which may affect business operations and technological development, leading to negative economic consequences. This year, the company has enhanced disclosure on human capital risk assessment in its Sustainability Report. Environmental aspect: The Company has established a Corporate Sustainability Development Committee, which encompasses three aspects: Environment, Social, and Governance. Based on business functions, five subcommittees have been set up, among which the "Sustainable Operations Subcommittee" is responsible for the management practices related to energy, water resources, and waste. Each year, it reports to the Board of Directors on the progress of the committee, the setting of goals, and the execution performance. Additionally, it must report quarterly to the Board of Directors on the regulatory policy trends regarding environmental issues and the performance data of its execution. The company plans to revise its disclosure statements to enable rating agencies to better understand GlobalWafers' actual governance practices on water resource management. Governance aspect: This year, the Company further enhanced board governance. Following the full re-election of board members in 2023, half of the board now consists of independent directors, and more than one-third are female directors, exceeding regulatory requirements and strengthening diversity and gender equality.

Note:For detailed engagement information, please refer to Taishin Securities' 2024 Stewardship Report

2024 Investment Outcomes under Reference Guidelines for the Recognition of Sustainable Economic Activities			
	Industry	Number of Cases	Total Amount of the Case
General Economic Activities under the Reference Guidelines for the Recognition of Sustainable Economic Activities	 Construction, Building and Real Estate	2	942,994
	 Electronic Manufacturing	4	794,341
	 Semiconductors	5	675,436
	 General Manufacturing	1	200,000
	 Cement	2	95,057
	 Services	1	37,440
Supporting Economic Activities under the Reference Guidelines for the Recognition of Sustainable Economic Activities	 Logistics	1	33,979
	Supporting Economic Activities under the Reference Guidelines for the Recognition of Sustainable Economic Activities	1	37,440
Total		17	2,816,687

Unit: NT\$ thousands



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6.1 Financial Technology/Digital Innovation

6.1.1 FinTech Development Strategy

Taishin Securities' fintech development strategy is centered on customer needs and is structured around three innovative business models: cross-industry collaboration, customer experience, and digital services. The goal is to provide clients with one-stop, borderless, and real-time financial services, enabling them to access comprehensive securities investment services anytime, anywhere.



As of the end of 2024, Taishin Securities has obtained 17 FinTech innovation patents in the fields of digital services and applications. In 2024, its “Taiwan Stock Regular Saving Plan” received the 21st National Brand Yushan Award for “Best Popular Brand,” while the “Taishin Wooljii APP” won two major honors at the same event: “Best Popular Brand” and “Best Product.” Taishin Securities will continue to listen to its customers and remain committed to optimizing its fintech development strategy, aiming to create more innovative financial technology applications and services.

6.1.2 Digital Innovation Services

In an era of rapidly advancing digital technology, Taishin Securities continues to closely monitor fintech developments both domestically and internationally, while staying attuned to client needs. In addition to offering comprehensive traditional securities services, Taishin Securities is deeply committed to digital innovation to meet the growing demand for digitalized financial solutions and to enhance its core business competitiveness.

Taishin Securities has established a comprehensive digital channel strategy to seize every opportunity to engage with investors. Since 2023, Taishin Securities has shifted from a customer acquisition-focused approach to a holistic strategy centered on client engagement and transaction activation as the core of its digital marketing and service innovation. In 2024, Taishin Securities partnered with Taishin Bank's digital brand, Richart, to launch services such as the “U.S. Stock Regular Saving Plan” and “Sub-brokerage Account Opening.” Additionally, through the Taishin Bank mobile banking app, Taishin Securities introduced “Taiwan/U.S. Stock Regular Saving Plans” and “Securities/Sub-brokerage Account Opening” services, offering clients a diverse range of investment options. By collaborating with key opinion leaders (KOLs) to amplify brand visibility, Taishin Securities successfully attracted nearly 100,000 new clients, representing a 15% increase in account openings compared to the same period in 2023.

At the same time, by offering customer-centric services such as the convenient e-Counter, online account opening across different financial platforms via apps, and a variety of high-efficiency electronic trading platforms, we aim to deliver faster and more convenient financial services. These innovative solutions have not only enhanced the client experience but also served as a significant growth driver for our business.

e-Counter

Taishin Securities has integrated a variety of digital services into a unified access point — the “e-Counter” — to provide customers with a seamless experience across multiple devices and trading platforms. The goal is to enable clients to quickly find the online services they need while ensuring a consistent user interface across different devices. In 2024, based on customer surveys and feedback, Taishin Securities gradually launched 15 commonly used services, including U.S. stock regular saving plans, online trading of foreign bonds, smart order placement, and dividend inquiries.

Online Account Opening

Taishin Securities is one of the earliest securities firms in the market to launch online account opening services, with its primary partner being group affiliate

Taishin Bank. Customers can open a Taiwan stock securities account with Taishin Securities through online identity verification provided by Taishin Bank. Since its launch, the service has undergone continuous enhancements. In addition to Taiwan stock account openings, the current scope of online account opening now includes sub-brokerage accounts, futures accounts, margin trading accounts, unsecured loan accounts, and stock lending accounts.

In the future, Taishin Securities plans to launch integrated account opening applications tailored to customer behavior patterns. In addition, the online account opening service has also been extended to banking platforms through a collaboration with Taishin Bank's digital banking brand, Richart, which allows customers to complete securities account applications directly within the Richart app. In the future, we will continue to integrate various types of account opening services across different channels, serving as a crucial foundation for building the Taishin Financial Ecosystem.

In 2024, over 64% of new Taiwan stock securities accounts were opened via online channels, with the total number of online account openings exceeding 60,000. This demonstrates growing customer acceptance of digital services, significantly improving convenience while also saving the equivalent of 1,642,654 sheets of paper, thereby reducing the impact on forests.

Electronic Trading Platform

Taishin Securities has developed a variety of trading platforms to meet the diverse needs of its clients. Currently, Taishin Securities offers the desktop trading platform “Super SmartStar,” a web-based trading platform, and mobile trading platforms “PhoneEZ” and “Wooljii,” with an average of nearly 300,000 active user accounts engaging with these platforms each month.

In 2024, Taishin Securities continued to enhance the functionality and user experience of its electronic trading platforms and client services, launching a range of diversified online features to further improve usability. New features introduced include intraday trading limit adjustments for Taiwan stocks, U.S. stock regular saving plan services, an electronic trading platform for foreign bonds, and smart order placement, allowing investors to execute trades more efficiently and conveniently. These improvements are designed to comprehensively meet the needs of various client segments. Taishin Securities looks forward to continuously optimize its electronic platforms and online service experience by improving interface usability and expanding platform functionality. In addition to rolling out a broader range of online services, Taishin Securities is also developing dedicated systems tailored to different types of high-net-worth clients, with the goal of better meeting diverse customer needs and enhancing overall service quality.

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6.2 Customer Relationship Management

GRI: 417-1

6.2.1 Principle of Fair Treatment of Customers

Policies of Fair Treatment of Customers

Taishin Securities places great importance on protecting customer rights and upholds the brand values of “dedication” and “professionalism.” Taishin Securities has fostered a corporate culture that prioritizes financial consumer protection and centers around the principle of fair treatment of customers. In alignment with the Financial Supervisory Commission's "Principle for Financial Service Industries to Treat Clients Fairly," Taishin Securities has established two key internal guidelines — "Policies of Fair Treatment of Customers" and "Strategies of Fair Treatment of Customers" — to ensure the effective management and implementation of the fair treatment of customers.

Fair Treatment of Customers Committee

On December 17, 2021, Taishin Securities established the Fair Treatment of Customers Committee, with the Company's President serving as the Chairman and the Director of the Administration Division as the Vice Chairman. The committee is composed of department heads from various key functional units, with the Chairman acting as both the convener and the chairperson. The committee convenes at least once every quarter and reports its findings and progress to the Board of Directors. Meeting topics include: reviewing the implementation status of practices of fair treatment of customers across business divisions; analyzing industry cases of violations of the principle fair treatment of customers and conducting internal self-assessments based on the causes of those penalties; handling of customer complaints and financial consumer dispute cases; results of the annual customer satisfaction survey; and the implementation of information security protection mechanisms. The committee also actively reviews and follows up on all discussion matters, taking appropriate corrective actions based on the identified improvement measures. In 2024, Taishin Securities held a total of four committee meetings, achieving a 100% attendance rate (including representatives attending on behalf of members).

6.2.2 Implementation of Fair Treatment of Customers

To fully implement the principles of fair treatment of customers, Taishin Securities has not only established relevant internal policies to ensure effective governance and execution of these principles, but has also formed the Fair Treatment of Customers Committee. This committee is responsible for reviewing the implementation of fair treatment across business units, conducting self-assessments based on industry disciplinary cases, handling customer complaints and financial consumer disputes, evaluating customer satisfaction, and overseeing the enforcement of information security mechanisms, ultimately identifying areas for improvement. In addition, Taishin Securities regularly requires each business division to conduct self-assessments on the implementation of the ten fair treatment principles. The results and proposed improvement measures are reviewed during the committee's quarterly meetings. Upon the committee's resolution and subsequent approval by the Board of Directors, these results are incorporated annually into employees' performance evaluations. This approach ensures that Taishin Securities' commitment to fair treatment is both measurable and continuously improving.

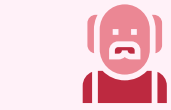
Furthermore, Taishin Securities fully recognizes that the importance of fair treatment of customers lies in building trust and maintaining strong client relationships. It also helps reduce disputes and legal risks, thereby enhancing the Company's reputation and supporting its long-term business development. As such, the Company actively promotes fair treatment of customers in its daily operations through the following approaches:

Product and Service Suitability

Taishin Securities has implemented a comprehensive product suitability framework across all business units. This includes procedures for understanding and assessing client profiles, evaluating client attributes, and analyzing product characteristics. Before entering into any agreement to provide financial products or services, clients are required to complete a risk profile questionnaire (KYC form) to help the Company gain a clear understanding of key factors such as the client's age, knowledge, investment objectives, experience, financial status, product comprehension, and risk tolerance. Based on the results of this assessment, clients are assigned a risk rating, and only financial products that match their corresponding risk level are recommended, ensuring alignment with their needs and risk-bearing capacity. All KYC assessments and risk classifications are subject to internal review, and Taishin Securities applies a more stringent classification system than what is required by regulation, further enhancing the suitability of product-client matching. These assessments are reviewed and updated at least once a year.


In addition, to ascertain whether customers are subject to bankruptcy, guardianship, or auxiliary declarations that have not been reinstated or revoked; or whether they are individuals with no legal capacity or limited legal capacity acting without a statutory agent, each business unit should conduct a sampling audit of customers with transaction records for the current quarter once every season, and maintain records of these audits.

Taishin Securities, recognizing the distinct characteristics of its client demographics, has implemented further measures specifically aimed at protecting the interests of the elderly population and younger clients who possess a lower risk tolerance:



Elderly Clients

When providing financial products to elderly clients, the Company's sales personnel must complete the relevant suitability assessment form to properly evaluate and explain the suitability of the proposed product and the reasons thereof, in order to confirm that the product is indeed appropriate for the elderly client.



Young Clients

In response to the lowering of the legal age of adulthood, and to ensure thorough review of the KYC process for young clients and safeguard their rights and interests, when accepting account opening applications from young clients aged 18 to 20, sales personnel must determine transaction limits based on each individual's circumstances. Future transaction limits will be reassessed annually based on the results of the KYC review.

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Notification and Disclosure

When entering into a brokerage agreement or other financial product or service contracts with clients, the Company uses language or other methods that clients can fully understand to remind them of important terms, transaction costs, maximum possible losses, and other related risks. The use of personal data is also clearly regulated, thereby fully fulfilling the obligation of disclosure and notification.



Elderly Clients

The Company, in accordance with the "Guidelines for Securities Firms Providing Financial Services to Elderly Clients," enhances the readability of marketing and contractual documents when providing financial services (such as account opening) to elderly clients. To fulfill disclosure and notification obligations, important terms or warnings are highlighted using prominent formatting (e.g., bold, enlarged, or red text), and appropriate methods of notification for major changes in rights and obligations (e.g., contract amendments, revocations, or terminations) are stipulated.



Young Clients

To implement disclosure and notification principles for young clients in the future, the Company has prepared simplified documents summarizing product introductions and key information (e.g., breach of contract handling, investment risks), which will be provided at the time of account opening to clearly inform clients aged 18 to 20 of important matters requiring their attention when conducting transactions.

Truthful Advertising and Solicitation

Taishin Securities discloses information related to securities business or products in accordance with the "Regulations Governing Advertising, Business Solicitation, and Promotional Activities by Members" issued by the Taiwan Securities Association. Internally, the Company has established the "Guidelines for Advertising, Business Solicitation, and Promotional Activities" as well as the "Regulations Governing the Preparation, Management, Distribution, and Disclosure Procedures of Information Provided to Investors for Brokerage Trading of Foreign Securities." All practices are conducted in line with generally accepted social ethics, principles of good faith, the spirit of investor protection, and the goal of maintaining a fair securities market, thereby safeguarding clients' rights and interests.

Fair Treatment of Customers Training

Taishin Securities conducts a three-hour annual training on fair treatment of customers for its employees to foster a culture based on the principles of fair customer treatment. In 2024, a total of 976 employees completed the training, achieving a 100% completion rate. Through this training, employees gain a deeper understanding of the importance of fair treatment and integrate this mindset into their daily work to ensure that every client receives fair and equitable service.

6.2.3 Customer Relationship Maintenance

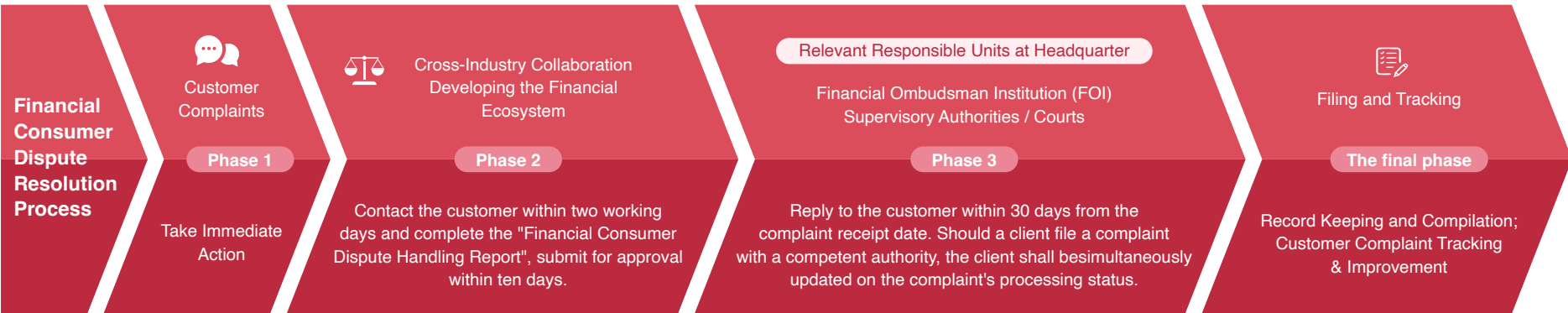
Taishin Securities places great importance on customer feedback and provides multiple channels for clients to voice their opinions. Customers can raise concerns through their designated sales representatives or leave messages via digital channels,

including the official website, mobile platform, customer service hotline (02-4050-9799), and customer service email (ec@tssco.com.tw). All suggestions received are internally evaluated for potential adoption or improvement and are incorporated into the Company's annual planning. The scope includes enhancements to functionality, user interface design, and service quality.

Customer Complaint Handling Procedure

Taishin Securities has established a "Financial Consumer Dispute Resolution Mechanism," which stipulates that when a business unit or service channel receives a customer complaint, the customer must be proactively contacted within two business days to understand the details of the complaint. If, after investigation, the case is determined to involve a financial consumer dispute, a "Financial Consumer Dispute Handling Report" must be completed, and the matter must be processed and reported within 10 business days. In exceptional cases requiring escalation and approval by an authorized supervisor, the handling period may be extended to 20 business days. All complaints must be continuously tracked until the case is closed.

Once a complaint is officially filed, the designated unit will regularly monitor the status of case handling by the responsible unit and whether a response has been provided to the customer within the specified timeframe, ensuring that the complaint is properly addressed. All customer complaints and their resolution statuses are regularly reported to the Fair Treatment of Customers Committee. In addition, the designated unit compiles all complaint cases annually into a report submitted to the Fair Treatment of Customers Committee and the Board of Directors, which includes a summary of cases for the year and subsequent improvement measures.



Customer Complaint Overview

In 2024, the number of financial consumer dispute cases received by the Company through various channels increased by 73.7% compared to the same period last year. The majority of complaints stemmed from issues caused by delays or errors in the trading system or account system. In response, the Company has enhanced system bandwidth, implemented regular system monitoring and testing, and actively communicated with clients to raise awareness. Clients are informed that in the event of such issues, they may place orders through alternative platforms provided by the Company (Woojii/PhoneEZ) or via the manual order placement hotline. These measures have effectively resolved client issues and helped prevent similar complaints in the future. Taishin Securities remains committed to listening to client needs and continuously improving overall customer satisfaction.

Number of Complaints in 2022	Number of Complaints in 2023	Number of Complaints in 2024	Complaint Growth Rate	Case Closure Rate
21	19	33	73.7	100%

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6.2.4 Customer Experience Enhancement

Enhancing Customer Satisfaction

Taishin Securities conducts an annual customer satisfaction survey by distributing questionnaires via email to all active trading clients. The survey covers five key dimensions: "counter services," "sales representative services," "customer service hotline," "digital platforms," and "official website services." In 2024, the overall customer satisfaction score reached 83.2%, an increase from 82.9% in 2023. The Net Promoter Score (NPS) — which indicates a greater number of promoters than detractors when the score is positive — was 30.5 in 2024, up from 30.2 in 2023. The combined analysis of NPS and satisfaction results demonstrates that the quality of services provided by the Company is well recognized and appreciated by its clients.

Taishin Securities will continue to encourage frontline staff to regularly participate in company training courses or consult with experienced colleagues. Employees are also encouraged to stay informed by reading newspapers, magazines, and books to keep up with financial trends and topics, thereby enhancing their professional expertise. This ensures that sales personnel possess sufficient knowledge to serve clients effectively and provide services that meet client needs and satisfaction. In addition, the Company will continue to expand and optimize online services and improve the stability of electronic platforms to further enhance customer satisfaction.

Taishin Smart Money Kids Camp

The Company has allocated a budget to organize the Taishin Smart Money Kids Camp in 2025, inviting clients and their children to participate. Through Monopoly-themed games and interactive challenges, the camp aims to provide entertaining yet educational experiences that help children develop basic knowledge of securities and sound investment concepts, which reflects the Company's commitment to corporate social responsibility while also enhancing brand image and customer satisfaction.



▲ Taishin Smart Money Kids Camp

Annual Service Model and Outstanding Branch Competition

Taishin Securities upholds the 3S service philosophy — Simple, Sincere, Superior — to continuously promote a service-oriented culture. Each year, the Company holds the "Annual Service Model" selection event, where outstanding employees are publicly recognized and awarded by the Chairman and senior executives for their exceptional service performance. This initiative aims to inspire enthusiasm for service among all staff and embed the service culture throughout the organization. In 2024, a total of five outstanding service role models were selected and honored to recognize their dedication and commitment to serving clients with care and excellence.



▲ Group Photo of 2024 Service Role Models and Superior Branches



▲ Group Photo of the Pingtung Branch Colleagues

Mystery Shopper Project

In 2024, the Company implemented a Mystery Shopper Investigation Project, conducting improper sales and service quality testing across 12 branches. The evaluation was divided into two categories: telephone service performance and in-person service performance. Telephone service was assessed based on basic etiquette, responsiveness, professional service, and complaint handling. In-person service was evaluated based on branch environment, staff appearance and attire, service etiquette, inquiry handling, and complaint resolution. This initiative aimed to review and enhance the Company's overall service quality, while strengthening awareness among all employees, including both supervisors and frontline personnel, to refine the customer experience in a more meticulous and comprehensive manner, and to deliver the highest quality financial services. In the 2024 Mystery Shopper Project, the Pingtung Branch stood out among the 12 branches and won first place, earning an impressive total score of 95.4 points, with nearly all evaluation items receiving full marks. For the 2025 Mystery Shopper Project, the testing scope is expected to expand to include the Cross-Selling Division.

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6.3 Financial Inclusion

GRI: 203-1 、203-2

6.3.1 Financial Inclusion Policy

Taishin Securities follows the financial inclusion policies of its parent company, Taishin FHC, and the government, while also responding to the United Nations Sustainable Development Goals (SDGs). Centered on client needs, the Company continuously develops financial technology to enhance the convenience and accessibility of financial services. Based on customers' actual needs, the Company provides real-time financial service experiences, appropriate products and services, and financial and digital literacy education to promote financial inclusion services. Taishin also collaborates with cross-industry partners to strengthen the financial ecosystem. Through product innovation, the Company extends financial services to disadvantaged groups and rural areas, helping clients overcome time and geographic constraints to more easily access the Company's services. The promotion of financial inclusion is overseen by the Corporate Sustainability Committee under the Board of Directors, with the dedicated sustainability unit reporting implementation progress and results to the Board of Directors annually. For the guiding principles of financial inclusion, please refer to the Financial Inclusion Statement released by Taishin FHC in 2023.

6.3.2 Financial Inclusion Products and Services

Taishin Securities offers a variety of financial inclusion products and services. On the digital front, the Company launched user-friendly services in 2023 such as "Regular Saving Plan" and the "Securities Can." On the physical front, all 12 branch offices are equipped with "senior-friendly and accessible service counters," and provide reading glasses and magnifiers for customer use.

Enhancing Financial Accessibility

Electronic Trading Platform for Foreign Bonds

To lower the entry barrier for investors and meet client needs for long-term investment and stable returns, Taishin Securities launched an online trading service for "foreign bonds" in 2024. Clients can now easily make small-amount investments in foreign bond products via electronic trading platforms such as Woojii and PhoneEZ, significantly reducing the threshold for participation. The Taishin Securities online "foreign bond" trading service features a user-friendly and intuitive interface, along with multiple filtering functions to help clients identify suitable bond investment targets. Additionally, the platform provides a suite of functions, including bond descriptions, real-time bond quotes, order inquiries, profit and loss tracking, and non-trading event inquiries, allowing clients to comprehensively manage details such as transactions, profit and loss, and interest payments. Whether a beginner or a seasoned investor, all users are offered a seamless investment experience. This online "foreign bond" trading service promotes wider participation in bond markets and fulfills the core mission of financial inclusion.

Regular Saving Plan Products

Taishin Securities actively promotes regular saving plan in Taiwan stocks, offering an alternative investment channel aimed at attracting customers with a preference for dividend investing or those with limited investable capital to regularly purchase Taiwan equities. This also helps cultivate customers' experience in stock trading and foster sound saving habits. The underlying securities are selected based on criteria such as suitability for stable mid- to long-term investment, market liquidity, and trading volume, while excluding high-risk instruments such as leveraged/inverse products or those without daily price limits.

To broaden the promotion of regular saving plan services, Taishin Securities has launched a series of marketing campaigns to boost customer participation. These include: flat-rate handling fees for regular saving plan transactions with a per-installment amount of NT\$20,000 or less; a fee rebate for monthly contributions of NT\$1,000 or more; and a digital gift voucher for first-time successful regular saving plan deductions. As of the end of 2024, the number of SIP accounts for Taiwan stocks reached 53,695, with a total transaction amount of NT\$2.316 billion.

Financial Literacy Promotion

Taishin Financial Literacy Workshops

Taishin Securities firmly believes that education is a key driver of sustainable social development. To mitigate the widening educational gap between urban and rural areas, Taishin Securities aligns its efforts with two United Nations Sustainable Development Goals (SDGs): "Quality Education" and "Reduced Inequalities." Leveraging its financial expertise, Taishin Securities actively promotes financial education. In 2024, Taishin Securities partnered with the Little Sun Care Association and the Mingshan Care Association to host "Financial Literacy Workshops" in Tainan and Nantou on August 7 and September 11, respectively. With the support of professional financial education instructors and volunteers from Taishin Securities and Taishin Futures, the workshops provided 58 elementary school students from rural areas with courses covering personal finance, savings, investment, and fraud prevention. Through interactive games and engaging activities, the students gained a foundational understanding of financial literacy from an early age. Since its launch in 2023, the "Financial Literacy Workshop" has reached a total of 75 rural elementary school students, including children from new immigrant and indigenous backgrounds. Taishin Securities has incorporated participation in these workshops into its 2030 medium-to-long-term sustainable development goals for inclusive finance, aiming to increase the number of participants by 10% each year compared to the previous year to achieve financial inclusion objectives. For details on the Company's short-, medium-, and long-term sustainable development goals,



▲ Group Photo of Taishin Financial Literacy Workshops

please refer to Section 1.1.2 Sustainable Development Goals.

Additionally, in 2024, the Company engaged in industry-academia collaboration programs with several universities and technical/vocational institutions, providing students with off-campus professional training and internship opportunities to help younger generations build financial knowledge early on.

Documentary of Taishin Financial Literacy Workshops: Official YouTube Channel – "Taishin's Securities Investment Journal"



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Conducting Anti-Fraud Financial Education

In recent years, fraud cases have become increasingly prevalent and sophisticated. In fulfilling its corporate social responsibility, Taishin Securities actively promotes anti-fraud financial education. This includes establishing a dedicated anti-fraud section on the official website, displaying precautionary messages on trading platforms, issuing reminders via the customer service hotline, and regularly sending EDMs to raise customer awareness of fraud prevention. In addition, Taishin Securities organizes training courses and seminars for employees to enhance anti-fraud awareness across the organization. In 2024, Taishin Securities held one internal and two external anti-fraud awareness events, with a total of 539 participants.

Anti-Fraud Promotion Channels

- 1. Anti-Fraud Promotion Video: "Fight Fraud, Dance with Us - Taishin Securities Stands with You Against Fraud"



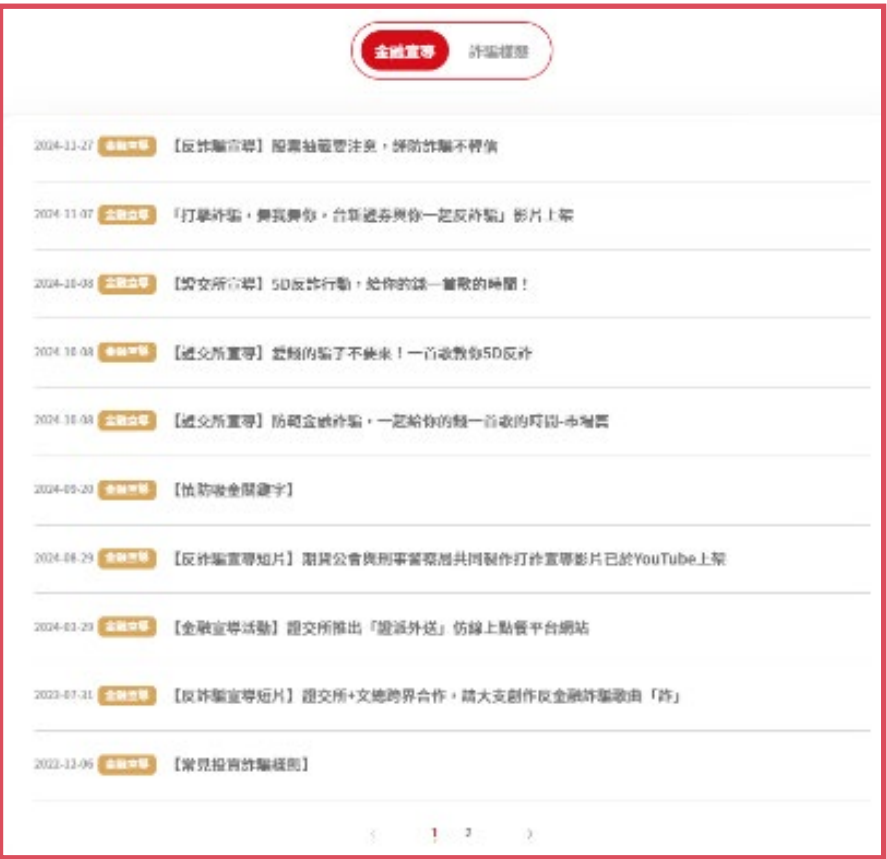
Taishin Securities supported the Taiwan Stock Exchange's "5D Anti-Fraud Dance Challenge" campaign by producing a themed video titled "Fight Fraud, Dance with Us — Taishin Securities Stands with You Against Fraud." The video is available on Taishin Securities' official YouTube channel, "Taishin's Securities Investment Journal." Taishin Securities invites customers to watch and raise their awareness, strengthening their defenses against fraud.

- 2. Dedicated Anti-Fraud Section on Official Website

Taishin Securities has established a dedicated anti-fraud section on its official website to proactively promote regulatory announcements and share common fraud schemes in the market, such as impersonation of the Company name, fake account opening, or fraudulent trading platforms. If customers suspect they have been targeted by fraud, they are encouraged to contact the Anti-Fraud Hotline 165 provided by the National Police Agency or reach out to Taishin Securities' customer service hotline to safeguard their financial assets.



Anti-fraud section



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3. Anti-Fraud Notices on Phone EZ/Woojii APP

Taishin Securities has implemented anti-fraud notices on its trading platform apps. Customers can access anti-fraud information through multiple channels within the apps, such as the login announcements, personal section, and message notifications.



4. Quarterly Anti-Fraud EDM Distribution

Taishin Securities sends anti-fraud educational EDMs to customers' email addresses on a quarterly basis, proactively reminding them to stay vigilant against fraudulent messages.

Anti-Fraud Measures	
Type	Specific Measures
Establishment of operational guidelines	Taishin Securities has established a fraud handling and reporting procedure as well as a standard operating procedure for the dedicated anti-fraud hotline. A designated responsible unit is assigned to oversee and coordinate the implementation, and a task force is formed to jointly plan and execute related measures. The Fair Treatment of Customers Committee conducts quarterly reviews on the effectiveness and implementation of these anti-fraud initiatives.
Anti-fraud complaint channels	1. Customers may consult their designated securities service representative. 2. The customer service hotline broadcasts anti-fraud announcements and provides a dedicated inquiry line. (02) 4050-9799 (After connecting, press 1 → then press 5 to listen to the announcement).
Establishment of careful inquiry and risk disclosure	Through the Company's "Assessment Form for Vulnerabilities and Financial Characteristics of Senior Clients in Securities and Futures," we monitor unusual activities among senior clients using AML transaction monitoring for large-value transactions. On a quarterly basis, the Company conducts random sampling of senior clients to carry out the "Client Care Interview Guidelines" by making caring inquiries. Additionally, anti-fraud messages are printed on account statements to remind senior clients to remain vigilant about transaction risks. Appropriate measures are taken to prevent fraudulent incidents.
measures for senior clients	Through regularly held anti-fraud training sessions that include sharing of recent fraud schemes, the Company provides internal education to enhance employees' ability to detect fraud. Employees are encouraged to pay close attention to unusual transaction behaviors among senior clients, fulfilling their responsibilities to inform and assist in fraud prevention, thereby safeguarding client assets.

Summary of Fraud-Related Complaints Received in 2024			
Item	Date Reported	Description of Report	Types of Fraud
1	2024/09/25	Detection of a suspected phishing website impersonating the Company	Others
2	2024/10/01	Received a customer report of a fraud case involving impersonation of Taishin Securities via Facebook/LINE	Others
3	2024/10/01	Received a customer report of a fraud Case involving a fake app	Others
4	2024/10/04	Discovered fake Facebook fan page and LINE group impersonating the Company	Others
5	2024/10/09	Received customer report that "Golden Egales Enterprises" falsely claimed a partnership with Taishin Securities	Others
6	2024/10/09	Received customer report – "ZRBros" LINE group recommended speculative stocks and requested trading screenshots	Recommendation or stock fraud diagnosis
7	2024/11/06	Received report – ○ -DOLLAR Security Investment Consultant LINE group provided a phony subscription link for the "Green Elf"	IPO subscription fraud, intimidation and threat fraud
8	2024/12/10	Received report of investment scam involving invitations via TikTok to join LINE groups for fraudulent investment recommendations	Virtual asset, cryptocurrency scams, and unauthorized discretionary trading fraud

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Case Sharing

In December 2024, Taishin Securities received a report from its Xinying Branch regarding a securities customer who went to a Taishin Bank counter intending to withdraw NT\$250,000. The bank teller noticed irregularities and immediately notified the police and Taishin Securities supervisors. Through the patient reassurance and concern-driven questioning by Supervisor Pan, it was discovered that the customer had fallen victim to an investment scam orchestrated by a scam syndicate. Fortunately, the issue was identified in time, and, working together with the bank, the fraud attempt was successfully intercepted, safeguarding the customer's assets. Staff at the Xinying Branch of Taishin Securities were officially commended by the Tainan City Police Department. Friendly Financial Services

Friendly Finance

Taishin Securities has established "Service Desk for Aged and Accessibility." at all branches. Elderly clients and those with special needs are provided priority service when conducting financial transactions in person. Dedicated staff assist with filling out related application forms and offer reading aids such as presbyopic glasses and magnifying lenses for customers who need them.

Additionally, Taishin Securities' Depository & Clearing institution has implemented a "Video-Assisted Shareholders' Meeting Platform." For elderly clients and persons with disabilities who are unfamiliar with digital devices or internet environments, and wish to participate in shareholders' meetings via video, the meeting notices provide a dedicated hotline (02-2504-8125). Specialized personnel are available to assist with registration, check-in, connection setup, and platform operations.

For users of digital platforms, Taishin Securities' official website includes a financial accessibility section and has obtained an accessibility certification, offering visually impaired users the ability to operate the website through auditory navigation.

In the Company's annual customer satisfaction survey, an open-text feedback is provided to monitor whether customers request services for disadvantaged groups. Any such requests are incorporated into regular reports, and corresponding plans are developed. Additionally, Taishin Securities follows relevant policies on friendly finance by offering a Financial Accessibility Section on its official website, which has obtained the "Accessibility Mark 2.0" certification. Visually impaired customers can access essential service information through this website. The mobile trading platform PhoneEZ also includes a voice-quote feature to provide visually impaired users with real-time market prices. Furthermore, the Company's official website offers an English version, providing bilingual information and services related to corporate finance, operations, and governance.



▲ Service Desk for Aged and Accessibility.

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7.1 Talent Recruitment and Development

7.1.1 Employee Overview and Diverse Recruitment

Taishin Securities attaches great importance to talents and widely recruits talents. As of the end of 2024, Taishin Securities employed a total of 995 full-time employees, along with 37 part-time employees and 24 dispatched personnel supporting general administrative tasks. Among full-time employees, 622 are female employees, representing 63%; the Company had 12 full-time female mid-to-senior level managers, accounting for 30.8% — an increase from 2023, when there were 9 female mid-to-senior level managers, representing 20.1%.

While providing clients with comprehensive securities investment services, Taishin Securities actively fosters a diverse and inclusive workplace culture. In recruitment and promotion, the Company adheres to the principle of non-discrimination based on gender or ethnicity, and is committed to hiring talent from all backgrounds. In alignment with the Group's human rights policy, Taishin Securities ensures that no individual is treated unfairly or subjected to discrimination on the basis of race, social class, language, ideology, religion, political affiliation, place of origin, birthplace, gender, sexual orientation, age, marital status, appearance, physical features, disability, or any other status. As of 2024, Taishin Securities employed 13 individuals with disabilities and 5 indigenous employees. In the future, the Company remains committed to cultivating an inclusive work environment where the unique value and capabilities of every person are respected, demonstrating its dedication to diverse hiring practices.



Employee Composition - By Gender				
	Permanent	Non-permanent	Total	Percentage (%)
Male	373	10	383	37%
Female	622	27	649	63%
Total	995	37	1,032	100%

Note 1: The data statistics are based on the number of employees as of December 31, 2024.

Note 2: Non-permanent employees include part-time employees, contractors, hourly workers, and interns.

Employee Composition - By Region				
	Permanent	Non-permanent	Total	Percentage(%)
Taipei, New Taipei, and Keelung Region	682	27	709	69%
Taoyuan, Hsinchu, and Miaoli Region	45	4	49	5%
Taichung and Changhua Region	75	2	77	7%
Yunlin, Chiayi, and Tainan Region	59	1	60	6%
Kaohsiung, Pingtung, and Taitung Region	134	3	137	13%
Total	995	37	1,032	100%

Note 1: The data statistics are based on the number of employees as of December 31, 2024.

Note 2: Non-permanent employees include part-time employees, contractors, hourly workers, and interns.

Total Number of Employees Classified by Job Level and Diversity Indicators - By Age				
	<30	30 - 50	>50	Total
Senior Management	-	1	7	8
Middle Management	-	15	16	31
Entry Management	1	61	48	110
All Other Employees	181	480	185	846
Total	182	557	256	995
Percentage (%)	18%	56%	26%	100%

Note 1: The data statistics are based on the number of permanent employees as of December 31, 2024.

Note 2: Senior management refers to director of division and above.

Note 3: Middle management refers to manager of department.

Note 4: Entry management refers to manager of team.

Total Number of Employees Classified by Job Level and Diversity Indicators - By Gender			
	Male	Female	Total
Senior Management	7	1	8
Middle Management	20	11	31
Entry Management	53	57	110
All Other Employees	293	553	846
Total	373	622	995
Percentage (%)	37%	63%	100%

Note 1: The data statistics are based on the number of permanent employees as of December 31, 2024.

Note 2: Senior management refers to director of division and above.

Note 3: Middle management refers to manager of department.

Note 4: Entry management refers to manager of team.

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7.1.2 Recruitment Channels and Methods

In today's highly competitive financial industry, talent is a key driver of sustainable corporate development. Taishin Securities firmly believes that outstanding talent is not only the engine behind corporate growth, but also an essential force in achieving long-term sustainability goals. In alignment with business planning and operational needs across departments, Taishin Securities recruits qualified and high-caliber professionals through multiple channels, including industry-academia collaboration programs and elite talent development initiatives.

In 2024, Taishin Securities recruited a total of 149 employees, with an average recruitment cost of approximately NT\$5,290 per hire. Over the past three years, the average proportion of new hires has remained stable at around 15%, with a near-equal gender distribution, demonstrating the Company's commitment to diversity and non-discrimination. 45% of new hires were under the age of 30, which helps sustain organizational vitality and reinforce a solid foundation for long-term business operations, jointly shaping Taishin Securities into a financial institution driven by innovation and dynamism.

Taishin Securities Recruitment Channels

• Industry-Academia Collaboration

Through the “Early Win Career Experience Program,” Taishin Securities actively establishes a presence on campuses to attract outstanding students from senior undergraduates to second-year graduate students, promoting the concept of “employment upon graduation.” By engaging in hands-on learning and real-world exposure, students gain early insights into the workplace. During the internship period, participants receive comprehensive and structured training programs that help them acquire financial knowledge and workplace communication skills. In 2024, Taishin Securities partnered with more than 18 academic institutions and provided internship opportunities to 31 students, fulfilling its corporate social responsibility by nurturing exceptional talent for Taiwan's future.

• Management Associate Program

Taishin Securities launches its Management Associate (MA) Program annually as a two-year project designed to cultivate future professional managers and mid-to-senior level managers. During the two-year program, MAs undergo comprehensive and structured training, including professional skills development, on-the-job training, cross-departmental rotations, and participation in key strategic projects.

In addition to a robust training framework, Taishin Securities provides a dual-mentor system: “Small Mentors” offer guidance on technical and professional skills, while “Senior Mentors” regularly meet with MAs to provide career coaching and workplace insights. To align with global sustainability trends, since 2023 Taishin Securities has incorporated sustainability-focused topics into the MA curriculum. The goal is for every Taishin MA to become a “Sustainability MA,” capable of driving sustainable finance initiatives across various business functions and leading corporate partners toward long-term sustainability. In 2024, nine outstanding Management Associates joined Taishin Securities to grow and thrive alongside the Company.

New Employee Analysis

Composition of New Permanent Employees - By Gender and Age				
	<30	30-50	>50	Total
Male	30	32	5	67
Female	37	42	3	82
Total	67	74	8	149
Percentage of New Employees (%)	6.7%	7.4%	0.8%	15.0%

Note: Based on the number of employees as of December 31, 2024.

Composition of New Permanent Employees - By Region						
	Taipei, New Taipei, and Keelung Region	Taoyuan, Hsinchu, and Miaoli Region	Taichung and Changhua Region	Yunlin, Chiayi, and Tainan Region	Kaohsiung, Pingtung, and Taitung Region	Total
Total	94	9	15	13	18	149
Percentage of New Employees (%)	9.4%	0.9%	1.5%	1.3%	1.8%	15.0%

Note: Based on the number of employees as of December 31, 2024.

Turnover Analysis

Composition of Permanent Employees Turnover - By Gender and Age					Composition of Permanent Employees Turnover - By Region						
	<30	30-50	>50	Total		Taipei, New Taipei, and Keelung Region	Taoyuan, Hsinchu, and Miaoli Region	Taichung and Changhua Region	Yunlin, Chiayi, and Tainan Region	Kaohsiung, Pingtung, and Taitung Region	Total
Male	16	24	7	47	Total	80	7	3	6	6	102
Female	11	39	5	55	Percentage of Turnover Rate to Total Number of Permanent Employees (%)	8%	0.7%	0.3%	0.6%	0.6%	10.3%
Total	27	63	12	102							
Percentage of Turnover Rate to Total Number of Permanent Employees (%)	2.7%	6.3%	1.2%	10.3%							

Note: Based on the number of employees as of December 31, 2024.

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7.1.3 Talent Development and Continuing Education

Career Planning and Development

To proactively support employees' career growth at Taishin Securities, we integrate Management by Objectives (MBO) and Individual Development Plans (IDP) on an annual basis to assess development needs and individual differences. Taishin Securities offers a variety of development opportunities, including training programs, job rotations, mentoring by senior staff, participation in meetings, and involvement in strategic projects, enabling employees to formulate tailored development plans based on their interests and capabilities. Additionally, through internal selection processes such as periodic 360-degree evaluations and recommendations by the Talent Evaluation Committee, we identify and cultivate high-potential employees at all levels. These elite talent programs are aligned with our core competencies and strategic objectives to systematically develop and retain top talent for future leadership roles.

Education and Training Programs

In response to the rapidly evolving financial environment, Taishin Securities has developed a diverse range of learning programs. We continue to enhance employees' digital finance capabilities by offering internal training programs aimed at strengthening their technological skillsets. In addition to internal training, we actively engage in external seminars and forums, such as Fin&Tech's digital transformation courses, which cover topics including digital leadership, digital payments, data analytics, and digital marketing. We also participate in sessions hosted by the FinTech Research Center of National Chengchi University, which provide insights on topics such as digital identity authentication mechanisms, the Financial CIO Summit, sustainable finance and net-zero carbon forums, and fraud prevention in financial and online trading activities. Furthermore, we proactively recruit external digital technology professionals to inject fresh momentum into Taishin Securities' digital transformation journey.

In addition to mandatory ESG-related training courses — such as "Accelerating Net-Zero Transformation and Powering a Green Energy Future" and the "Taishin Net-Zero Electricity Summit", Taishin Securities also integrates sustainability and net-zero transition topics into its "Learning Account" curriculum. Courses offered include "From Understanding ESG to Taking Action" and "What Sea Turtles Teach Us About Plastic", among others. Furthermore, each permanent employee is granted a training credit equivalent to NT\$10,000 annually, which they can flexibly apply toward language courses, computer skills training, or exam subsidies for professional certifications. This initiative ensures that employees have sufficient resources to cultivate interdisciplinary expertise, broaden their perspectives, and strengthen their understanding of sustainable development concepts.

In addition, the Company has expanded its existing self-directed learning program by enhancing the "Life Cycle" course series to better align with employees' needs at different stages of life. This series offers monthly themes tailored to various age groups. For example, to support employees aged 20–30 in building competitiveness early in their careers, courses such as "Workplace Mindset" and "Digital Transformation Thinking" are offered. For those aged 30–40 who are navigating early family life, topics like "Work-Life Balance" and "Parent-Child Communication" are provided. To help employees aged 50–60 plan for a fulfilling post-retirement life, lectures on "Retirement Financial Planning" and "Active Aging" are arranged. The Company also responds to the call for age-friendly financial services by offering talks on elder care, addressing employees' diverse learning needs across both professional and personal aspects of life.

In 2024, the application of employees' training points will continue to be expanded to include "fitness courses" and "marathon running events." This initiative is expected to not only increase participation but also enhance employees' physical and mental health, foster regular exercise habits, and improve work efficiency, creating a positive cycle. A total of 4,562 hours of digital transformation courses and 24,047 hours of ESG-related courses have been accumulated.

Average Training Hours in 2024 - By Gender

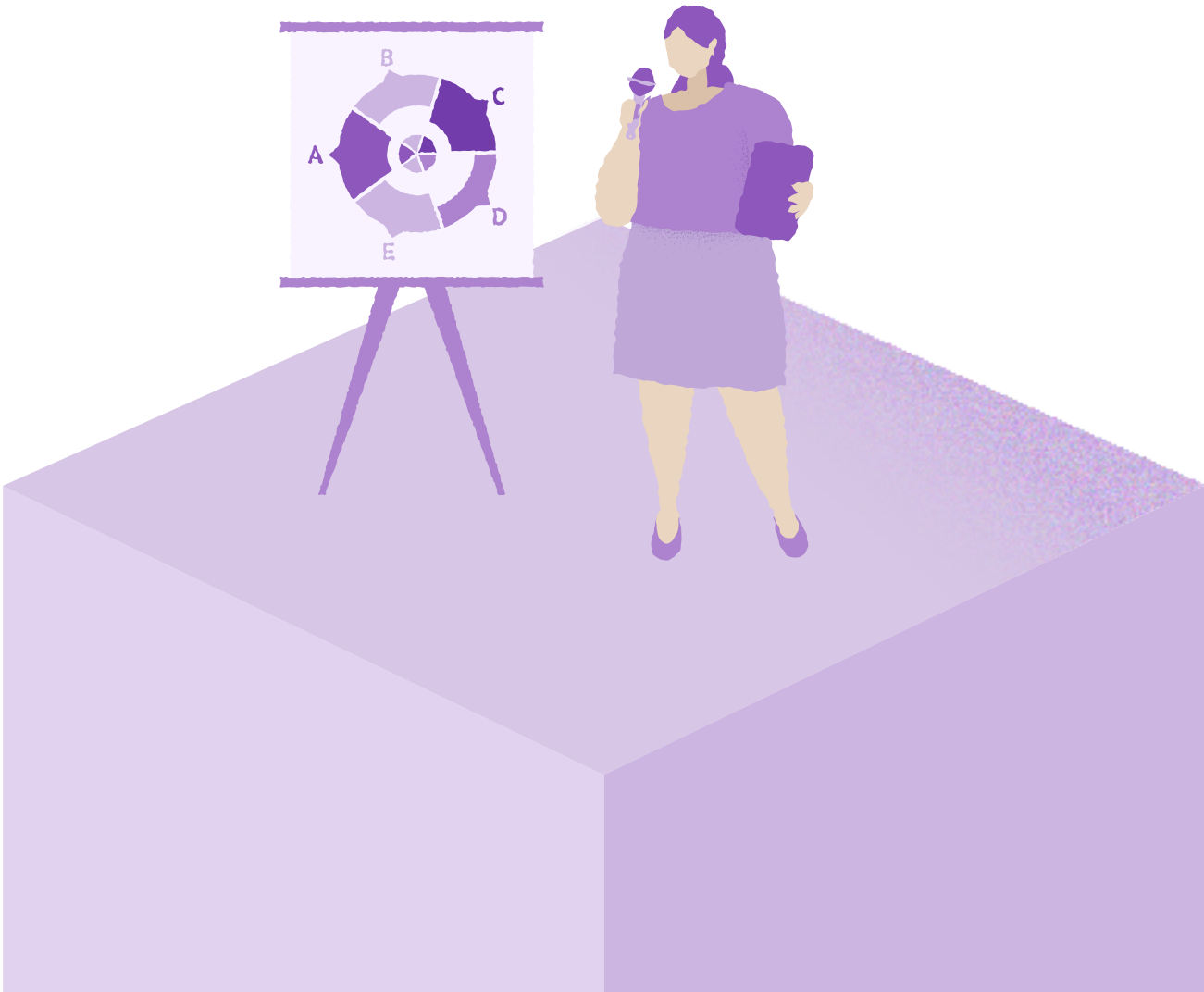
	Total Training Hours	Number of Employees	Average Training Hours
Male	26,588	373	71.2
Female	47,253	622	76

Note: Based on the number of permanent employees as of December 31, 2024.

Average Training Hours in 2024 - by Rank

	Total Training Hours	Number of Employees	Average Training Hours
Senior Management	321	8	40.1
Middle Management	1,966	31	63.4
General Employee	71,523	956	74.8

Note: Based on the number of permanent employees as of December 31, 2024.



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Sustainable Talent Development Program

Taishin Securities adheres to the sustainability philosophy of its parent company, Taishin FHC, which is centered on the principle of “Dedicated to Sustainability & Living Green.” The Company has developed three strategic pillars for sustainability: Climate Action, Financial Prosperity, and Empower People. Under these pillars, Taishin Securities continues to plan, promote, and implement initiatives across multiple dimensions. By integrating human resources initiatives into the Group's sustainable development strategy, sustainable training has been made mandatory for all employees to cultivate the capabilities of senior management and staff in responding to future challenges. Following the sustainable development strategy of Taishin FHC, Taishin Securities keeps abreast of international sustainability trends and the impacts of climate change. The Company provides diverse learning channels and offers structured sustainability training programs tailored for all employees, various management levels, and talent pools. These initiatives aim to harness the core business functions of the financial sector to drive sustainable development across industries.

In the short term, Taishin Securities promotes ESG fundamentals across the organization through general education and training programs to cultivate a sustainability mindset among all employees. Employees are also encouraged to participate in external workshops, forums, and seminars to stay informed on relevant trends. In the medium term, we integrate specialized ESG topics into various professional training programs tailored for different levels and business units. External speakers and industry leaders are invited to share insights on sustainability-related issues. Taishin Securities encourage employees to obtain sustainable finance certifications, and ESG news updates are compiled into regular newsletters to keep employees informed of current developments and continue reinforcing the sustainability mindset. In the long term, Taishin Securities is committed to nurturing a pipeline of "green-collar" talent internally. Management associates are assigned to rotations in the Sustainability Office. This will provide them with practical experience in sustainability, enabling them to become sustainability advocates who will disseminate these principles throughout the organization and promote sustainable finance across all business dimensions, continuously guiding our corporate partners towards sustainable development.

Sustainable Finance Certification	Number of Permanent Employees Certified	Percentage of Permanent Employees Certified
Fundamental Sustainable Development Competency Assessment	10	1.0%

Sustainable Finance Training Program

To strengthen employees' understanding of sustainable finance and ensure compliance with regulatory requirements, Taishin Securities has allocated a dedicated budget to develop comprehensive sustainable finance training programs. A wide variety of learning channels are provided to enhance employees' awareness of sustainability and digital transformation. In addition, tailored training plans are designed for different stages of employees' career development.

To help new employees quickly understand the organizational structure and corporate culture, Taishin Securities has adopted a gamified instructional design that combines in-person training sessions with dozens of microlearning courses. This approach enables new hires to integrate smoothly into the Taishin family. In addition, orientation programs introduce business units, operational procedures, key business regulations, and relevant skills to help new employees get up to speed efficiently. To ensure training is aligned with departmental needs, annual training needs assessments are conducted through interviews, followed by the development of corresponding talent development programs.



Key Projects for Sustainable Development in 2024

1

All employees



Self-directed learning courses and seminars, net zero summit forum

2

Managerial role



ESG transformation and sustainable development training, strategic communication forums, climate change information disclosure briefings, Task Force on Climate-related Financial Disclosures (TCFD) and Scope 3 greenhouse gas emissions briefings, Hahow online sustainability courses, etc.

3

Talent development programs



AMA, Reserve Team Leaders, MA

Volunteer public welfare activities

Training Effectiveness Evaluation

Taishin Securities conducts annual talent development needs assessments and interviews to integrate organizational development strategies, departmental business objectives, and employees' actual needs. This approach enables the Company to swiftly respond to external environmental changes and effectively enhance employee competitiveness. With the growing maturity of digital finance development and sustainable transformation strategies, we have further consolidated various demands, expanded training resources, and established internal professional certification programs to help employees deepen future-oriented competencies. Through multiple learning channels, we empower employees to upgrade their professional skills anytime, anywhere, enabling both employees and the organization to grow together.

In response to changes in the broader environment and advancements in technology, Taishin adheres to its core value of innovation by designing diverse and focused learning programs based on career development. This approach ensures that the effectiveness of training and learning is closely linked to the organization's operational performance. We adopt the concept of the Kirk Patrick training evaluation model, emphasizing a “begin with the end in mind” approach. A comprehensive framework for employee training and quality management has been established, integrating Kirkpatrick's four levels of training evaluation (L1–L4) along with Return on Investment (L5) metrics to assess learning outcomes. This framework not only supports Taishin's business development goals but also fosters continuous employee growth.



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7.2 Employee Compensation and Benefits

7.2.1 Compensation Policies

Taishin Securities participates in annual market salary surveys to design a fair and performance-incentivizing compensation system. This ensures that employees receive market-competitive remuneration and comprehensive benefits in return for their contributions. Taishin Securities determined salaries based on objective criteria such as the qualifications and experience required for each position. All starting salaries are above the minimum wage stipulated by the Labor Standards Act, and the Company guarantees that salary is free from discrimination based on gender, age, or ethnicity.

Annual salary adjustments are based on a job grading structure, taking into account the diversification of job expertise and the maturity of employees' demonstrated competencies. Each year, year-end bonuses are planned based on the company's operational performance and individual performance evaluations. This not only encourages employees for their contributions and efforts but also emphasizes profit sharing.

Annual Total Compensation Ratio in 2024		
Employee Category	Compensation Ratio (Female: Male)	
	Fixed Salary	Full Salary (including bonuses)
Managerial Role	100:120	100:130
Non-Managerial Role	100:121	100:114

7.2.2 Employee Benefits and Care

Taishin Securities regards its employees as its most valuable asset. In addition to offering competitive salaries, we provide benefits that exceed the requirements of the Labor Standards Act. We have designed a comprehensive range of benefit programs that support employees through every stage of their career — from onboarding to retirement — ensuring appropriate support for employees and their families throughout different phases of life.

In addition, Taishin Securities is also a member of the Taishin FHC Joint Employee Welfare Committee. In accordance with the resolutions passed by the committee, we promote a variety of employee welfare activities and have established

related subsidy policies, including those for club management, event funding, and children's education. Employees are entitled to a wide range of benefits, including holiday bonuses for the three major festivals, birthday allowances, travel subsidies, club subsidies, and allowances for marriage, childbirth, hospitalization, bereavement, and children's education.

Health Care

Taishin Securities offers health check-up subsidies that exceed the statutory frequency and scope requirements. After undergoing health examinations, employees can consult designated occupational physicians to receive personalized advice on issues such as ergonomic hazard prevention, prevention of diseases triggered by excessive workloads, and responses to abnormal health indicators. This supports the company's emphasis on the principle of prevention over treatment and encourages employees to monitor their health regularly. In addition to providing monthly columns, e-newsletters, and health seminars on chronic disease awareness and health education, Taishin Securities also offers on-site physician consultations, mental health education resources, and access to the “Teacher Chang” counseling hotline. Special occupational health seminars and hazard identification sessions are arranged by designated workplace physicians for female employees who are pregnant or within one year postpartum, offering preventive guidance and consultation on workplace health risks.

Family Care Leave

To create a more family-friendly work environment, Taishin Securities provides several family leave benefits that exceed legal requirements. These include additional annual leave, son/daughter's wedding leave and other fully paid maternity leave, pregnancy checkups leave, pregnancy checkup accompaniment and paternity leaves, family care leave, which leave days are beyond statutory requirments. Through these benefits, we enable employees to fully commit to their work while confidently participating in important life stages and fulfilling their family responsibilities.

GRI: 2-21 、 201-3 、 401-1 、 401-2 、 401-3 、 404-2 、 404-3



Family Care Leave

1. We provide 8 days of fully paid pregnancy checkups leave for pregnant female employees, which is superior to the 7 days specified in regulations.
2. We provide female employees who are giving birth with 60 days of fully paid maternity leave which is superior to the 8 weeks specified in regulations.
3. We provide employees whose spouse is pregnant or giving birth with 8 days of fully paid pregnancy checkup accompaniment and paternity leaves, which is superior to the 7 days specified in regulations. The leave can be taken up to 30 days after the birth, which is superior to the 7 days of leave and that the leave must be taken within 15 days after the birth as specified in regulations.
4. We provided fully paid additional annual leave and 14 days of family care leave, which is superior to the 7 days of unpaid family care leave specified in regulations.
5. To support recovery, female employees who miscarry within the first three months of pregnancy are provided 5 to 7 days of fully paid miscarriage leave, exceeding the unpaid leave required by law.
6. Taishin cares for employees as well as their family members, and allows employees to take son/daughter's wedding leave so that they can take part in the big moments of their son/daughter's lives. Every employee is entitled to 1day of fully paid son/daughter's wedding leave from the first day of employment. Couples who are both Taishin Securities employees may apply to take leave on the same day. Our employee benefits are one-of-a-kind in the industry. There is currently no minimum requirement in relevant laws and regulations.

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Number of Permanent Employees on Parental Leave and Retention Rate in 2024			
Item	Male	Female	Total
No. of Employees Subject to Reinstatement	0	7	7
No. of Actual Reinstated Employees	0	5	5
Reinstatement Rate (%)	-	71%	71%
No. of Employees Retained after 1 Year	1	3	4
2023 Retention Rate	100%	100%	100%
Retention Rate (%)	100%	75%	80%

Note 1: Reinstatement rate = No. of actual reinstated employees/No. of employees subject to reinstatement during the year.

Note 2: No. of employees retained after 1 year = No. of employees reinstated in the previous year and have worked for one full year since then.

Note 3: Retention rate=No. of employees retained after 1 year/No. of employees reinstated in the previous year.

Note 4: In 2024, a total of 70 persons were qualified for parental leave (37 female, 33 male), and the actual applicants for parental leave were 9 persons (9 female, 0 male)

Maternity and Childcare Subsidies

Taishin Securities provides comprehensive support and benefits tailored to different stages of pregnancy. From prenatal care to postpartum recovery, the Company offers a range of benefits including labor and health insurance, group insurance, and childcare subsidies, ensuring employees receive optimal care and support throughout pregnancy and childbirth. In addition, each childbirth qualifies for a NT\$20,000 birth subsidy, and in 2024, a total of NT\$400,000 was granted to help employees cover related expenses.

At the same time, Taishin Securities has signed contracts with postpartum care centers to ensure employees receive professional care after childbirth. We have also partnered with childcare service providers to offer preferential rates. For school-age children, Taishin Securities has established an education scholarship program to encourage and support academic excellence. As of 2024, the Company has provided a total of NT\$1,548,000 in education subsidies for employees' children.

Workplace Security and Protection

Taishin Securities is committed to providing comprehensive insurance benefits to foster a healthy and supportive work environment, enabling all employees to fully focus on their work without worry. The Company offers fully subsidized group insurance coverage for every employee, which includes life insurance, accident insurance, occupational injury insurance, accident medical insurance, term health insurance, surgical medical insurance, and cancer protection health insurance.

Flexible Working Hours

To help employees manage their time more effectively, Taishin Securities has introduced a variety of flexible work arrangements. First, we offer up to three flexible shift options, allowing employees to choose their preferred working hours. This helps them balance work and family life, avoid peak traffic hours, and reduce commuting stress. Additionally, based on different job characteristics and business needs, we provide comprehensive resources and systems to support remote work, such as mobile office setups, VPN access, and electronic signature systems, enabling employees to handle work responsibilities from home with increased scheduling flexibility.

For employees with children under the age of three, we offer the option to reduce daily working hours by one hour or adjust their working schedule, giving them more time to care for their young children. At the same time, on special holidays or family-related occasions, the Company allows employees to leave work one hour early, giving them more time to spend with their loved ones.

Employee Stock Ownership Plan

To share operational results with employees and jointly build retirement savings, Taishin FHC launched the Employee Stock Ownership Trust Plan in September 2015, which is also applicable to Taishin Securities. Under this plan, employees contribute a fixed monthly amount based on their job grade, and the Company provides a 100% matching contribution. Since May 2020, employees have also been allowed to make unlimited voluntary contributions based on their personal financial needs. To date, the Company's total matching contributions have reached NT\$9.4 billion.

As of the end of 2024, 94% of Taishin Securities employees participated in the plan, and the investment return of the employee stock ownership trust has exceeded 49.4%, helping employees accumulate long-term savings, build wealth, and secure their retirement life.

Retirement System

Taishin Securities, in accordance with the relevant provisions of the "Labor Standards Act" and the "Labor Pension Act", contributes 6% of the monthly salary to the individual retirement accounts at the Bureau of Labor Insurance for newly hired employees and existing employees who choose to apply the new pension regulations. Meanwhile, for original employees who chose to remain under the old pension scheme, as well as those who adopted the new scheme but retained seniority under the old system, Taishin Securities continues to allocate appropriate retirement reserves to a dedicated account with the Bank of Taiwan. These allocations are calculated based on the retirement benefit standards defined under the original pension system. For employees assigned and transferred to affiliated enterprises by the company, their years of service are carried over to ensure greater protection and facilitate talent mobility.

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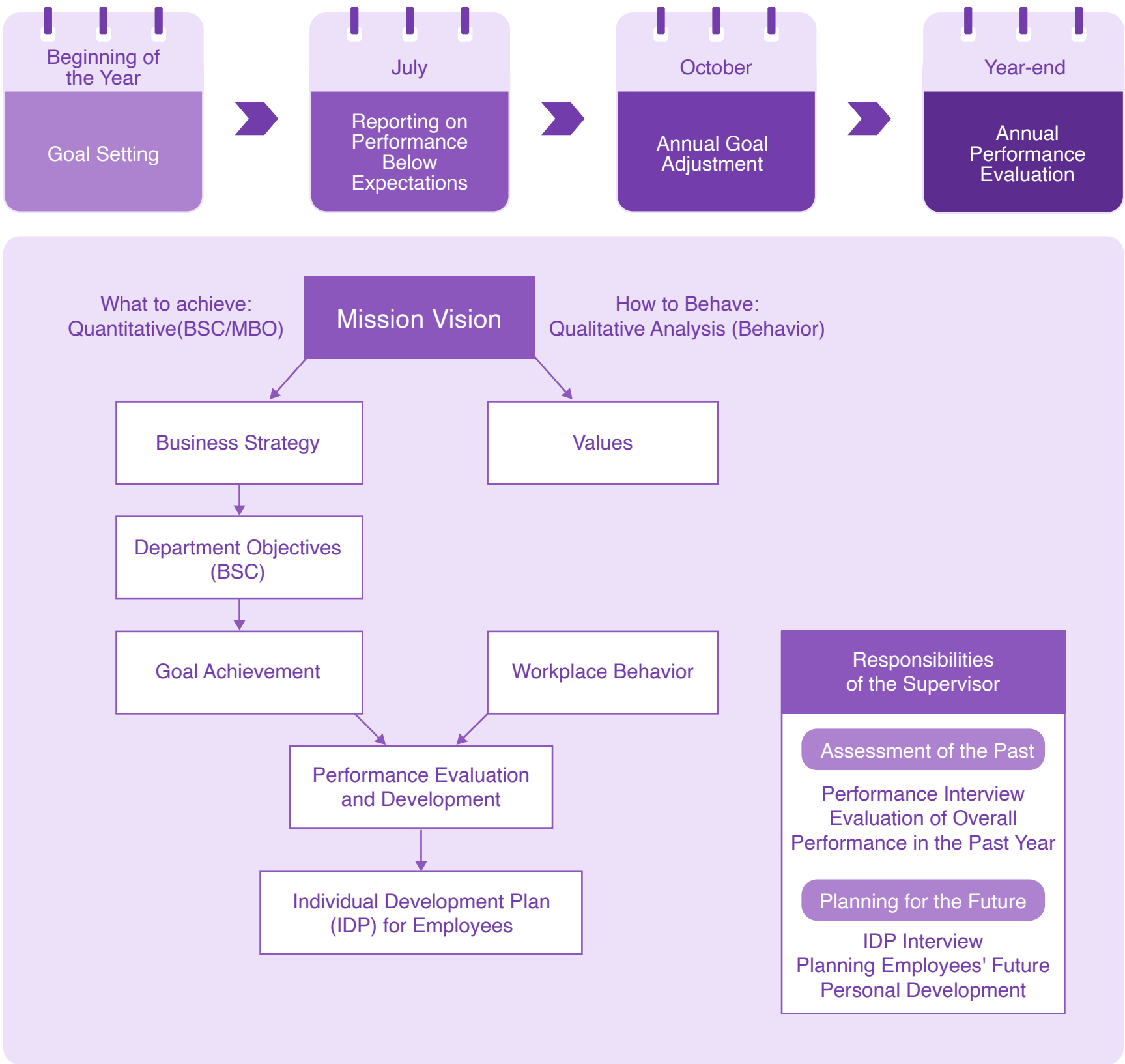
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7.2.3 Performance Management

Management Method

For years, Taishin Securities has tracked the performance of its employees using a Balanced Score Card (BSC) system, in which Taishin's strategic goals are broken down into specific objectives and benchmarks. This allows Taishin to evaluate sustainability from a variety of aspects, including customers, finance, internal process, and learning and growth. Full-time employees who have passed the probationary period, regardless of gender or rank, are required to undergo annual assessments, and the proportion of employees received the regular performance and career development reviews is 100%.

In addition to fair evaluation of individual performance, Taishin Securities adopts multi-dimensional assessment methods — such as 180-degree, 270-degree, or 360-degree evaluations (including supervisors, subordinates, peers, and self-assessments) — to measure employee performance from various perspectives, which serve as a basis for individual development plans.



Performance System

Assessment Mechanism	Assessment Frequency	Applicable Entities	Implementation Method and Results
Management by Objectives	3 times/year	All employees	By formulating clear and fair assessment standard and through explanations and guidelines, enabling all employees to implement tasks such as goal setting at the beginning of the year, annual goal adjustment, and annual assessment in the online eMBO system. In 2024, all employees in Taishin who met the assessment qualifications had completed the performance management cycle.
Multi-dimensional Evaluation (including 180,270 or 360 degrees)	1 times/year	All employees	The multi-dimensional assessment method is “all-round evaluation and feedback” conducted by employees themselves, direct supervisors, subordinates, colleagues in the same department and across departments, etc. By referring to these feedbacks, those assessed can have a more comprehensive and objective understanding of their own strengths, weaknesses and development needs, and such feedbacks can also serve as the basis for their future career and ability development.
Performance Grading	1 times/year	All employees	According to the achievement of the goals and the display of the core values, the performance level of the unit is allocated, and it is used as the decision-making basis for subsequent bonuses, promotions and performance coaching management.
Team Performance Evaluation	3 times/year	All employees	According to the company's three-year strategies, expand down to business division strategies and department goals, then assign team goals to individual performance goals based on these, and set up functional scoring tables with 10-13 items for management positions and non-management positions, which include customer orientation, innovation and problem solving, communication and coordination, and teamwork. Through self-evaluation and supervisor scoring, check the performance gap in the team to ensure the achievement of team goals, and detect mistakes from them for timely guidance and improvement.
Agile Communication	Irregular/persistent/ monthly	All employees	In daily management, when an employee's performance fails to meet expectations, the supervisor will conduct a performance interview with the employee to understand the reasons in detail and let the employee understand where the problem is. The two parties then jointly formulate solutions, measurement indicators and improvement schedules, and regularly review the achievement of the goals within 1-3 months, while providing incentives and counseling to employees according to the achievement status.

Improvement Mechanism

The performance management system opens top-performing employees to promotion opportunities, competitive compensation, and career development. As for employees who perform not as expected, Taishin has "Performance Improvement Guidelines" in place to help them improve.

Daily Management	Duration of Performance Improvement	At the End of the Improvement Period
When a supervisor discovers no as expected performance, the supervisor is required to engage the employee immediately in a performance interview to find the cause of under-performance, and outline improvement plans, assessment indicators and an improvement schedule.	The Supervisor is required to observe the employees and check the process and schedule of improvement plan, while offering guidance or correction as deemed appropriate.	The supervisor and the employee will jointly evaluate completion of the improvement plan. In addition to informing the assessment results, the supervisor is also responsible for inspiring employee to pursue better performance.

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7.3 Occupational Health and Safety

7.3.1 Workplace Safety and Health Management

To ensure the implementation of occupational safety and health, Taishin Securities follows the occupational safety and health policy of Taishin FHC, upholding the core values of “earnestness and sustainability” The Company is committed to achieving the goal of “zero occupational accidents and low risk” while fostering a high-quality, safe, and healthy work environment.

Taishin Securities places great importance on the monitoring and management of the workplace environment, adhering to the requirements of the Occupational Safety and Health Act. In 2023, the Company conducted two legally mandated workplace environmental assessments, covering indicators such as carbon dioxide concentration and lighting levels. A total of 19 work environments were monitored to ensure compliance with relevant safety standards.

Additionally, Taishin Securities is committed to maintaining a smoke-free environment. Smoking is strictly prohibited in all workplaces nationwide, including for employees, suppliers, and contractors. Through occupational safety and health training programs, the Company actively promotes awareness of the Tobacco Hazards Prevention Act and its internal no-smoking policy, enhancing employees' understanding and compliance.

We are firmly committed to the principle of being people-oriented as our guiding philosophy, continuously establishing a safe and healthy work environment free from concerns. Through the active participation and collaboration of all employees, we will continuously strive not only to ensure the safety and health of each employee but also to promote the entire organization towards a safer and more robust development path.

Occupational Injury Analysis

In 2024, Taishin Securities reported no major workplace safety incidents, nor were there any employee fatalities or disabilities resulting from occupational accidents. An analysis of workplace injury leave cases in 2024 revealed that all incidents stemmed from traffic accidents rather than from occupational hazards as defined by the Occupational Safety and Health Act.

To further enhance employees' safety awareness and traffic safety levels, Taishin Securities has strengthened the promotion of preventive measures in the safety and health education training program for new employees in 2023. Through these courses, we expect to effectively reduce the frequency of traffic accidents involving employees during their commutes, thereby providing a safer working and traveling environment for our employees.

Occupational Health and Safety Training

In order to enhance employees' knowledge of occupational safety and health, Taishin Securities has established a series of regulatory measures. New employees are required to complete an online occupational safety and health training course via the Ministry of Labor's Digital Learning Platform before reporting for duty.

In addition, new employees are required to participate in the company's internal physical Occupational Safety and Health training program for new personnel. This program includes an overview of relevant Occupational Safety and Health regulations pertaining to the workplace, prevention of common types of occupational hazards, prevention of commuting traffic accidents, the four major hazard prevention plans (including maternity protection, unlawful infringement, musculoskeletal disorders, and overwork-related hazards), emergency response procedures, as well as fire safety knowledge and drills.

For current employees, it is required to complete a one-hour online "General Occupational Safety and Health Education Training" course. According to statistical data, the completion rate for 2024 is projected to reach 100%. In addition, we actively send personnel to participate in training for fire management personnel, first responders, and occupational safety and health business supervisors, ensuring that they obtain the corresponding course certifications. Through these measures, we are committed to enhancing the safety and health standards in the workplace, pursuing the goal of zero incidents, and implementing a corporate culture that promotes the participation of all employees in workplace safety and health.

Course	Hours	Number of People who Completed the Training
Occupational Health and Safety Business Supervisor Training of Category A (initial training)	42 hours/ persons	3
Occupational Health and Safety Business Supervisor Training of Category A and Category B and C (refresher training)	6 hours/ persons	Category A: 5 Category B: 0 Category C: 0
Safety and Health Education and Training for First Responders (initial training)	16 hours/ persons	3
Safety and Health Education and Training for First Responders (refresher training)	3 hours/ persons	1
Fire Management Training (initial training)	12 hours/ persons	2
Fire Management Training (refresher training)	6 hours/ persons	4

GRI: 403-1 、403-2 、403-3 、403-4 、403-5 、403-6 、403-7 、403-8 、403-9 、403-10

7.3.2 Employee Physical and Mental Health

Taishin Securities is committed to supporting the overall health of its employees in their daily lives. The Company not only regularly organizes health courses but also actively promotes health enhancement activities, making every effort to create a work environment that fosters both physical and mental health. Through a variety of concrete actions, Taishin Securities strives to ensure that employees not only achieve professional success but also enjoy a healthy and balanced life.



Employee Physical and Mental Health

- Organized a series of in-person and online courses covering nutrition, exercise, physical health, mental well-being, and parenting to enhance employee health awareness. In 2024, a total of 21 sessions were held, with 575 employee attendances.
- From June 5 to September 5, 2024, Taishin Securities launched the "Walk and Reduce Your Carbon Footprint", combining health promotion with sustainable carbon reduction. This program encourages employees to increase their walking frequency and step count. A total of 171 employees from the securities colleagues participated, collectively achieving over 60.62 million steps.
- The "Taishin LOHAS Stress Relief Workshop" massage service was used by a total of 2,250 times in 2024.
- Hosted online courses such as “Workplace Maternal Protection” and “Co-Parenting: Be the Ultimate Teammate.” For employees during pregnancy or postpartum needing consultation or health assessments, the Company arranges for on-site contracted physicians to provide health guidance or consultations. Risk assessments and health management measures are implemented in accordance with the Maternal Health Protection Program.
- Partnered with the Teacher Chang Foundation to offer psychological counseling services for employees.
- Administered annual flu vaccinations. In 2024, two sessions were held with 68 employee participants.
- Held on-site medical consultation services with contracted physicians. In 2024, three sessions were conducted, with 13 employees receiving consultations.

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7.4 Labor-Management Relations

GRI: 2-25 、 406-1

7.4.1 Diverse Communication Channels and Complaint Mechanisms

Taishin Securities holds quarterly labor-management meetings to facilitate communication and negotiation. In accordance with legal requirements regarding gender representation and number of participants, representatives from both labor and management are selected to engage in dialogue and decision-making on labor-related matters. In 2024, Taishin Securities convened four labor-management meetings. In addition to reporting on issues of mutual concern, such as workforce dynamics and the implementation of employee health promotion services, the meetings also served as a platform to build consensus on relevant human resources policies. These included mutual agreements on the implementation of two-week, four-week, and eight-week flexible working hour systems, as well as adjustments to holidays, overtime and compensatory leave policies, night shifts, and flexible working arrangements, all in accordance with the official work calendar announced by the competent authority and the Company's operational needs. Furthermore, guidelines were established for attendance during natural disasters, and increases in meal and duty allowances were agreed upon.

Additionally, to promote workplace equality and safety and to foster a harmonious working environment while safeguarding

employees' rights, Taishin Securities and its parent company, Taishin FHC, have published the "Guidelines for the Prevention of Sexual Harassment," the "Workplace Sexual Harassment Prevention Guidelines," and the "Employee Code of Conduct" on both their official websites and internal portals. Employees may file complaints related to unlawful conduct through designated grievance channels as outlined in these guidelines.

Employee Complaint Channels

To encourage employees to report violations, misconduct, and other unlawful acts in the workplace, Taishin FHC, the parent company of Taishin Securities, has established multiple whistleblowing channels, including a physical mailbox, dedicated email address, and hotline. These mechanisms are designed to protect whistleblowers, as well as those who participate in or assist with investigations, from unfair retaliation or mistreatment.

Diverse Communication Channels

 Physical mailbox

 Mailbox

 Hotline

Activate Internal Investigation Procedures

High severity case, notify the competent authority

Sexual Harassment Prevention and Complaints Review Committee:
Decide whether the case constitutes sexual harassment

Staff Conduct Review and Staff Performance Appraisal Committee:
Decide the disciplinary action

Improvements and Precautions

Regularly implement educational training and advocacy, and improve internal management systems to prevent the recurrence of similar incidents



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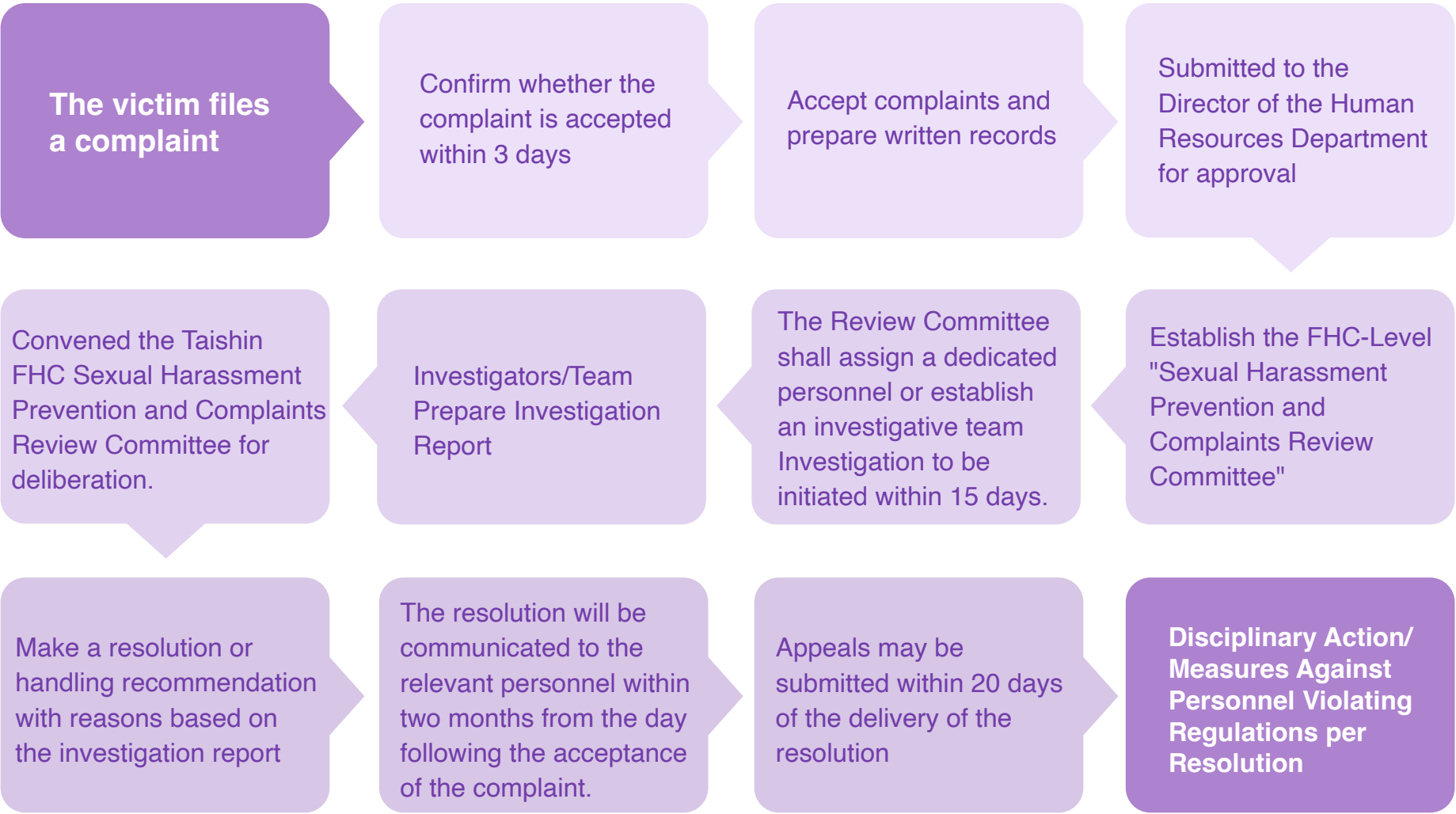
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Prevention of Discrimination and Sexual Harassment

In addressing discrimination and sexual harassment prevention, Taishin Securities and its subsidiaries treat all employees equally in recruitment, compensation, job opportunities, rewards and penalties, as well as throughout the work process. There shall be no discrimination based on any individual's race, gender, sexual orientation, age, or physical and mental disabilities. We also strictly prohibit any form of sexual harassment and intimidation.

Complaints involving gender discrimination or sexual harassment are handled in accordance with the law. Taishin Securities refers such cases to the Sexual Harassment Prevention and Complaints Review Committee, which is established and overseen by the parent company, Taishin FHC, to ensure fair, impartial, and thorough investigation and deliberation of each case. Throughout the entire process, we will closely protect the privacy of the victims and assist investigators in avoiding threats. If violations are confirmed through investigation, the case will be referred to the Staff Conduct Review and Staff Performance Appraisal Committee for disciplinary action based on the investigation results and the employee reward and punishment guidelines, in order to ensure equal rights in the workplace.

Review and Disciplinary Procedure for Sexual Harassment Complaints



 In 2024, neither Taishin Securities nor any of its subsidiaries received any employee complaints related to unlawful conduct or workplace sexual harassment. In the future, we will continue to promote awareness through various channels regarding grievance procedures, the prevention of discrimination and sexual harassment, and related disciplinary measures, in order to safeguard equality and rights in the workplace.

7.4.2 Employee Engagement Survey

Taishin Securities conducts an "Employee Engagement Survey" every two years to assess employees' sense of identification with and commitment to the Company, and to collect actionable feedback to inform future annual planning. The survey covers 16 key topics, including diversity and inclusion, speaking up and innovation, inspirational vision, customer orientation, compensation and benefits, and sustainable engagement.

According to the survey results, 90% of employees understand the Company's overall medium- to long-term goals and work collaboratively with colleagues to achieve the Company's vision and objectives. Over 81% of employees resonate with the Company's culture of innovation, indicating strong recognition of its efforts to challenge traditional norms. Furthermore, the score for diversity and inclusion increased by 3% compared to the previous 2021 survey and outperformed the Taiwan financial services industry by 4%. More than 82% of employees agreed that colleagues are generally open-minded and avoid making assumptions about others' abilities. These results reflect the management team's effective promotion of diversity and inclusive practices, which in turn support innovation.

Talent development also outperformed the Taiwan financial services industry by 4%, indicating strong employee recognition of the company's commitment to providing the resources necessary for growth. Through two-way communication and active listening, the Company gathers concrete and diverse employee feedback to better understand their perspectives. These insights serve as a valuable reference for continuous improvement, with the goal of jointly cultivating a harmonious and ideal work environment.

Employee Engagement Survey - All Employees						
Year	2019		2021		2023	
Target	All employees		All employees		All employees	
Participation Rate (%)	89		74		89	
Overall Engagement Rate (%)	87		83		79	
Engagement Rate (%)	Male	Female	Male	Female	Male	Female
	89	86	84	82	82	78

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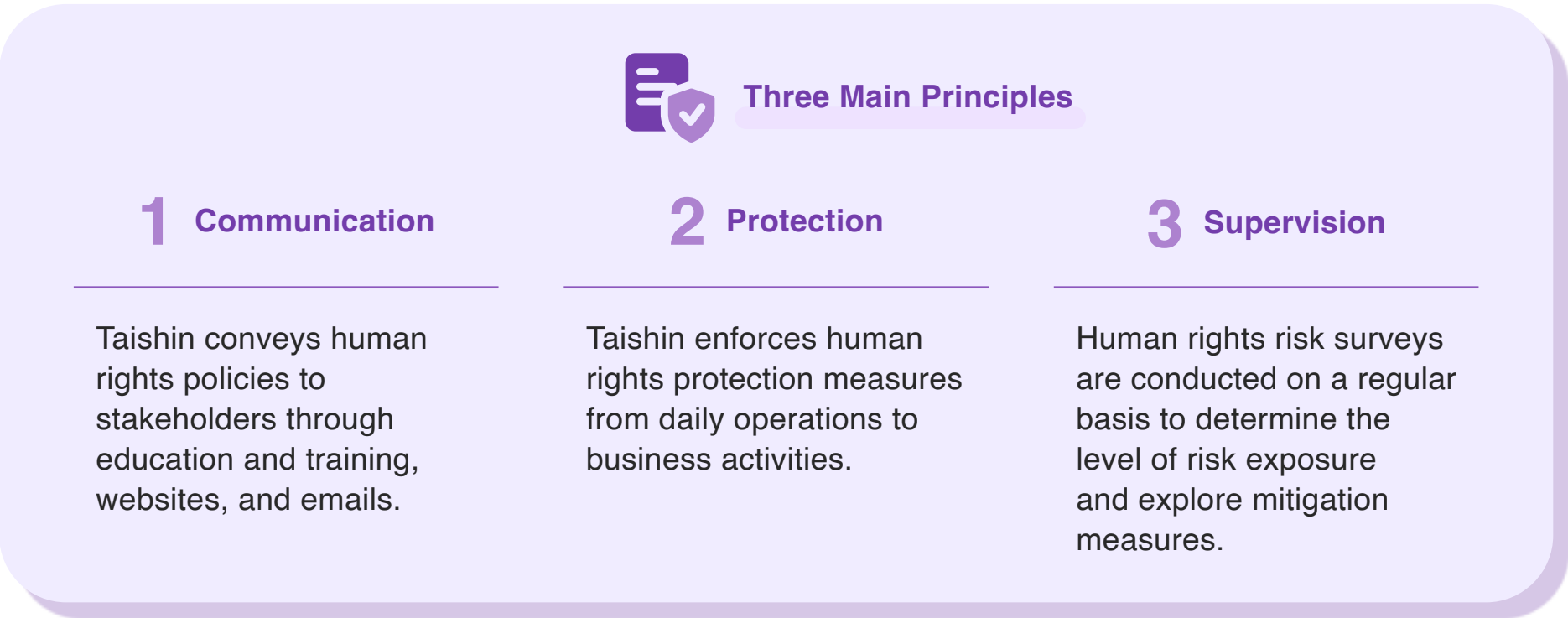
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7.5 Human Rights and Diversity Inclusion

GRI: 2-23 、 405-1

7.5.1 Human Rights Policy and Commitment

Human rights are the fundamental rights of all individuals, regardless of race, gender, sexual orientation, nationality, language, religion, or any other identity. Taishin Securities is firmly committed to upholding and protecting human rights, in alignment with international standards such as the Universal Declaration of Human Rights, the International Labour Organization, and the UN Guiding Principles on Business and Human Rights. The Company also complies fully with relevant laws and regulations in Taiwan and in all its local operating locations. To put this commitment into practice, we adhere to three core principles — communication, protection, and supervision — to safeguard the rights of all stakeholders, thereby laying a solid foundation for corporate ethics.



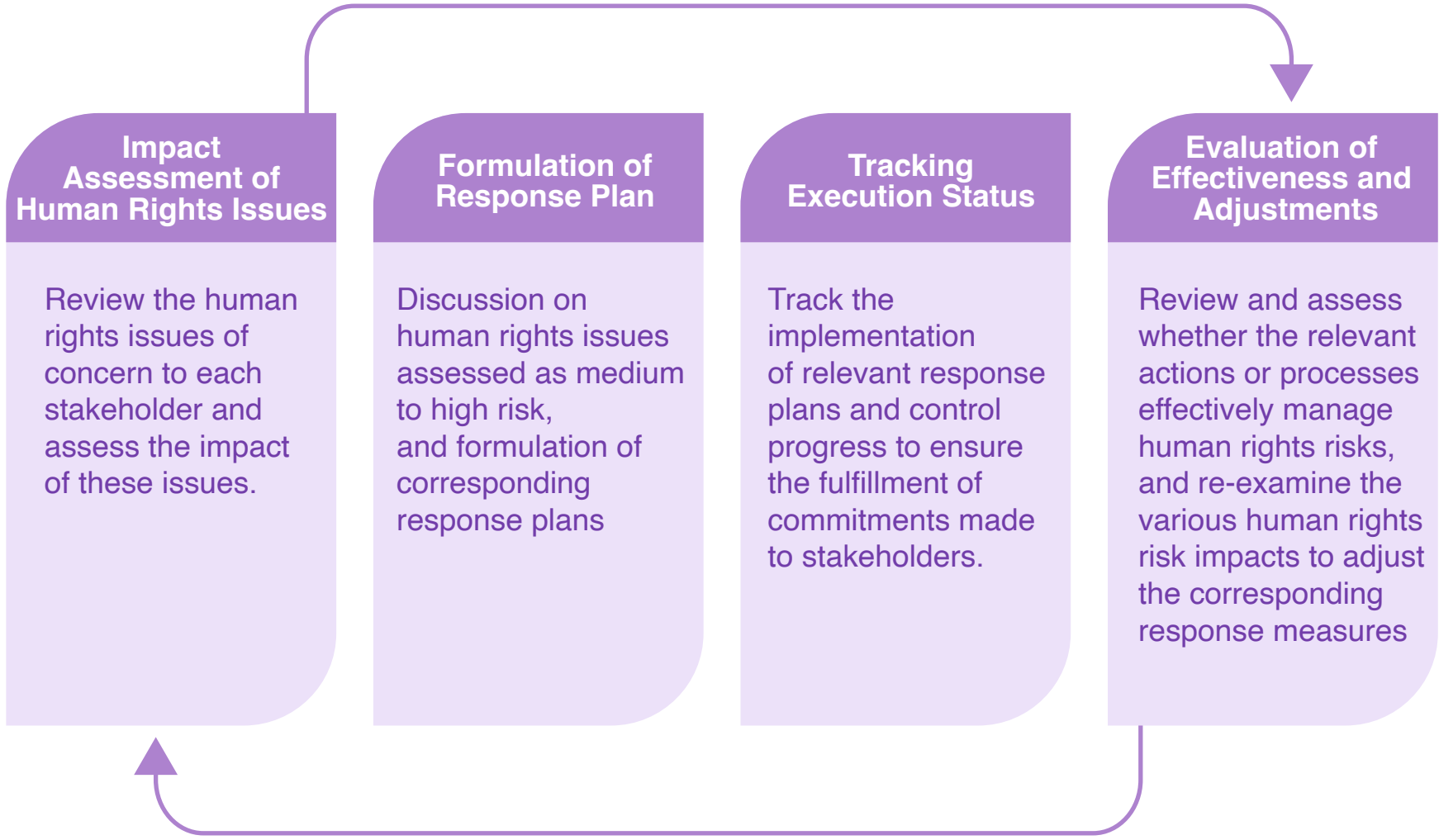
For the protection of work rights for all employees, Taishin not only adheres to the principles announced by International Labour Organization (ILO) regarding prohibition against forced labor, minimum employable age, work hours, weekly breaks and recommended practices, but also complies with relevant regulations of the Republic of China, including the "Labor Standards Act," "Gender Equality in Employment Act" and "Employment Service Act." Internal working rules and personnel policies have been created based on the above principles and regulations.

Taishin Securities actively promotes the protection of labor rights and human rights. In addition to publishing its Labor and Human Rights Protection Statement and its policies prohibiting workplace discrimination and harassment on both internal and external company websites, the Company also proactively incorporates labor and human rights topics into its corporate ethics training for new employees. These efforts serve to reinforce the foundation of Taishin's commitment to corporate ethics. In 2024, human rights training totaled 386.4 hours, with 168 employees participating and achieving a 100% completion rate, and no human rights violations were reported. In the future, we will continue to monitor human rights-related issues as a demonstration of our steadfast commitment to upholding human rights.

7.5.2 Human Rights Risk Assessment and Training

To implement its human rights policy, Taishin Securities conducted a 100% human rights impact assessment for all employees in 2024. Through this assessment, potential human rights risks were identified, and corresponding mitigation measures and remediation mechanisms were formulated based on the results. In the future, Taishin Securities will continue to conduct regular monitoring to reduce the occurrence and impact of human rights risks.

Procedure for Human Rights Issue



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Taishin Securities conducts human rights risk assessments that incorporate the following human rights factors: workplace health and safety, employment disputes, forced labor, discrimination, sexual harassment, workplace misconduct and violations, workplace inclusivity, privacy rights, child labor employment, maternal protection, equal pay, freedom of association, and collective bargaining rights. The assessment covers not only employees but also contractors and other segments of the value chain. Among employees, the top three key human rights risks identified are: occupational health and safety, employment disputes, and forced labor (overtime). The corresponding mitigation and remediation measures are detailed as follows.

Human Rights Risk Factors and Risk Assessment Results

Human Rights Issues	Source of Risk	Mitigation Measures	Annual Relevant Risk Events and Remediation Actions
Occupational Health and Safety	<ul style="list-style-type: none">Emerging infectious diseasesWorking placeWhile performing duties	<ul style="list-style-type: none">List, as required by law, physical exam record as one of the documents required of new hires when they join the company.Conduct regular physical exams for active employees, and subsidize the expenses.Implement the Taishin Ergonomic Hazard Prevention Program; provide online courses on ergonomic hazard prevention and infectious diseases; and raise employee awareness of illness prevention.Have a professional medical specialist provide onsite health services every month and give health advice targeting high risk groups for ergonomic hazards and cardiovascular diseases.Organize flu vaccination stations.Conduct occupational health and safety campaigns as required by law.Organize health information seminars as needed.Organize employee health promotion events.	<ul style="list-style-type: none">For employees incurring an occupational injury or illness, the company will follow Article 59 of the Labor Standards Act to provide real compensation, including:<ul style="list-style-type: none">Paid occupational injury leaveFull medical expensesWhen an employee is not fully recovered after two years of treatment and not eligible for disability benefit, the company will pay a final lump sum equal to 40 months wages.In the unfortunate event of death of an employee, the company will pay the family a total of 45 months wages for funeral expenses and death benefit.If a judicial investigation finds the company liable for damages in incidence of an employee's occupational injury or illness, the company will, depending on the circumstances, assume liability for damages under the Civil Code. For example,<ul style="list-style-type: none">Remediation for carer fees and income impairment for disabled employeesEmotional damages and child support for families of deceased employees

Human Rights Issues	Source of Risk	Mitigation Measures	Annual Relevant Risk Events and Remediation Actions
Employment Dispute	<ul style="list-style-type: none">Inappropriate communication/management of the supervisorDifference of understanding between employer and employees	<ul style="list-style-type: none">Establish human resource policy and employee rights-related rules in compliance with law.Convene quarterly labor-management meetings. Maintain open communication with employees through available channels.Organize labor law courses regularly to promote labor laws of which managers should be aware, and improve legal literacy and understanding of management techniques among managers in order to reduce management related disputes.Specify internal communication channels in the employee code of conduct so that any employees with labor disputes are able to seek assistance from the human resources department.	<ul style="list-style-type: none">Make best efforts to communicate with the parties involved to learn more details and seek possible settlement.If it is confirmed during mediation that the employee's rights have been violated, the company will make best efforts as a responsible employer to negotiate with the employee for an appropriate remediation plan, including supplementary wages, leaves, redundancy pay, and proof of service.Where the dispute has arisen from management, the company will reinforce the knowledge of dispute related regulations and management measures in the manager(s) involved in order to prevent repeat of similar incidents as much as possible.
Forced labor (Overtime)	<ul style="list-style-type: none">Short-term increases in business or shortages in manpowerRequirements of events held by associated companiesPersonal reasons	<ul style="list-style-type: none">Establish employee work times and hours in compliance with labor laws.Announce and reiterate the normal work hours and times, as well as the regulations for overtime.Establish management systems for work hours and overtime, to help employees and supervisors control work hours and overtime hours.Implement abnormal overtime reports to enable managers to follow employees' overtime more effectively.Monthly review of overtime hours on a per department basis, and direct attention towards employees with high overtime hours.	<ul style="list-style-type: none">The company strictly prohibits forced overtime or extensive overtime. If an employee is found to have been forced to work overtime or extensive overtime, the company will promptly negotiate with the employee for an appropriate remediation plan, including but not limited to overtime pay or vacation in lieu. The company will also take strict disciplinary action against managers who force employees to work overtime or make them work excessive overtime.For managers and employees in the department where such an incident has taken place, the company will reiterate the overtime system and management measures in order to prevent repeat of similar incidents as much as possible.

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8.1 Social Engagement Strategy

8.1.1 Social Engagement Focus

Taishin Securities is deeply rooted in Taiwan while steadily expanding our business footprint. Our long-term success is built upon the support of society and all stakeholders. For the inclusiveness of society, Taishin Securities targets the needs of local residents and society and fulfills them by committing to its mission of being "Dedicated to Sustainability & Living Green" and contributing its professional capacity as a financial institution. In the meantime, stakeholders are invited to participate in our social engagement efforts. To help stakeholders gain a deeper understanding of the United Nations Sustainable Development Goals (UN SDGs) and the domestic and international industry trends related to net-zero transitions, the Company actively organizes both online and offline events. We also encourage employees, suppliers, and clients to participate in sustainability trend forums hosted by the financial holding company to further deepen stakeholders' sustainability awareness and enhance their knowledge and understanding of sustainability issues. The relevant activities are as follows:

Event Name	Purpose	Stakeholders	Number of Participants	Sustainable Development Goals (SDGs)
ESG Education and Training	To enhance employees' awareness and understanding of Environmental, Social, and Governance (ESG) issues, helping them develop the knowledge and skills needed to support their personal and professional growth.	• Employee	976	 
ESG Sustainability Insights Sharing	To enhance a sustainability mindset among employees, clients, and suppliers by sharing the latest ESG knowledge, industry trends, and relevant regulations on a quarterly basis, thereby deepening their understanding of sustainability-related issues.	• Employee • Customer	1,164	 
Fair Treatment of Customers	To enhance employees' awareness of financial consumer protection to establish a corporate culture centered on fair treatment of customers.	• Employee	976	
Second-Hand Charity Market	To promote the sustainable reuse of secondhand items, with all proceeds from the charity sale donated to the Taiwan Environmental Information Association to support ecological habitat conservation efforts.	• Employees, • Community	183	
Sustainable Seed Curriculum	To enhance the sustainable thinking of members of the Sustainability Task Force through a one-day experiential course to understand issues related to environmental sustainability.	• Employee	33	

Event Name	Purpose	Stakeholders	Number of Participants	Sustainable Development Goals (SDGs)
Financial Literacy Workshop	To provide children in rural areas with fundamental financial knowledge, thereby addressing the issue of unequal educational resources between urban and rural areas.	• Employees • Community	68	 
You Work Out, We Sponsor	To strengthen employees' physical and mental health, cultivate regular exercise habits, and enhance work efficiency create a positive cycle.	• Employee	60	
2024 Taishin Net-Zero Summit Forum	The parent company, Taishin FHC, held a Net Zero Summit Forum focusing on corporate green transformation strategies as the main core topic. The Company actively encourages employees, customers, and suppliers to participate together.	• Employees (including directors, supervisors, and senior management) • Clients • Suppliers	51	
Taishin FHC Nationwide Mountain Cleanup - Joined by Thousands	Taishin FHC organized the clean mountain activities in northern, central, and southern Taiwan, inviting its subsidiaries to participate. The Company encourages employees to bring their families to join in and contribute to the ecological environment of the mountains.	• Employee	343	

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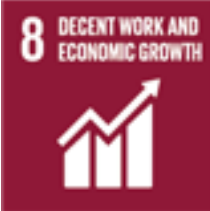
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Taishin Securities, in alignment with the four key pillars of social engagement set by its parent company, Taishin FHC — namely "Charity and Welfare," "Arts and Culture Development," "Sports Sponsorship," and "Academic Exchange" — has planned relevant initiatives and established key performance indicators to support the United Nations Sustainable Development Goals (SDGs) and further amplify its social impact.

	 Social Welfare Promotion	 Arts and Culture Promotion	 Promotion of Sports Development	 Academic Collaboration and Exchange
Investing Resources	NT\$ 2,766,116	A total of 12 Taishin Securities employees participated in related arts and cultural activities	NT\$ 9,050,000	Signed industry-academia collaboration agreements with 18 universities and colleges Held 27 informational sessions at universities and colleges
Investment Behavior	Fundraising by Taishin Charity Foundation Financial Literacy Workshop	Encourage employees to participate in relevant cultural and artistic activities	<ul style="list-style-type: none">Taipei Taishin Mars Basketball TeamTaishin Women RunCharity Golf Tournament MatchFriendly Hoops promotion activities	<ul style="list-style-type: none">Signed industry-academia collaboration agreements with universities and collegesCo-organized various informational sessions with universities and colleges
Social Impact	<p>The “Your Vote Determines the Power of Love” campaign, initiated by the Taishin Charity Foundation, has been running for 15 years. Leveraging the core financial business platform and e-commerce concepts, it aims to enhance the operational capabilities of social welfare organizations and support the development of remote industries. At the same time, by integrating credit card charity donations and point-based contributions, the campaign expands the social impact of financial philanthropy.</p> <p>Additionally, Taishin Securities has partnered with a suitable rural education team identified through the “Your Vote Determines the Power of Love” charity initiative to jointly organize Financial Literacy Workshops. The goal is to provide children in remote areas with basic financial knowledge through an engaging and enjoyable learning approach, thereby helping to address the imbalance in educational resources between urban and rural areas.</p>	<p>Taishin Securities embraces the philosophy of the Taishin Group by actively promoting arts and cultural activities among its employees. The Company continuously strives to enhance the quality of social and cultural life, foster the sustainable inheritance of the arts, and contribute to building a stable and vibrant social environment in Taiwan.</p>	<p>By sponsoring sports events and activities, Taishin Securities aims to enhance the image and recognition of its philanthropic brand while instilling the spirit of sports within the organization. We hope to motivate employees to actively participate in physical activities, improve their physical and mental health, and simultaneously strengthen team cohesion among colleagues.</p> <p>The establishment of such a sports culture not only promotes the flourishing of sports in Taiwan but also supports athletes' participation in competitions and the development of their sports careers.</p>	<p>Through industry-academia collaboration, we provide students with internship and employment opportunities to integrate practical experience with theoretical knowledge, helping them accumulate workplace skills and successfully transition into the workforce.</p>
Corresponding SDGs	 			

8.1.2 Social Contribution

The Company evaluates the effectiveness of social engagement based on the three pillars of the B4SI (Business for Social Impact) framework: "Commercial Initiatives," "Community Investment", and "Corporate Philanthropy". In 2024, the total expenditure amounted to approximately NT\$12,089,811. A detailed analysis is as follows:

Motivation Category	Amount Invested	Percentage of Amount Invested (%)
 Commercial Initiatives	273,695	2%
 Community Investment (Sports)	9,050,000	75%
 Corporate Philanthropy (Foundation)	2,766,116	23%
Total	12,089,811	100%

Unit: NT\$

Note 1: Commercial initiatives: Business activities related to operations that connect with the core functions of the enterprise, promote social care projects to support the Company's success and enhance the brand, and typically involve partnerships with non-profit organizations.

Note 2: Community investment: Long-term engagement in community partnerships to address a limited range of social issues selected by the Company, in order to protect its long-term corporate interests and enhance its reputation.

Note 3: Corporate philanthropy: In response to the needs and appeals of charitable and community organizations, we provide intermittent support for a wide range of public welfare initiatives.

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8.2.1 Coexisting with a Sustainable Ecosystem



▲ Group Photo of Sustainable Seed Curriculum



▲ Removal of Invasive Aquatic Plants during the Sustainable Seed Curriculum

Taishin Securities is committed to addressing environmental issues such as ecological conservation. This year, the Company launched its first “Sustainability Seed Course” for employees. Led by President Chen, Li-Kuo, a total of 33 employees visited the Alibang Ecological Farm located in Shimen District, New Taipei City. On site, employees donned waders to enter the ecological pond, allowing them to experience firsthand the tangible connection between humans and nature. They also gained insights into the critical role wetlands play in overall biodiversity. Through collective efforts, the team manually removed a total of 240 kilograms of invasive plant species. Guided by ecological farm staff with professional explanations, Taishin Securities employees were gradually introduced to the features and functions of wetlands, as well as the relationship between humans and forests. This immersive experience helped to deepen their understanding and awareness of ecological conservation.



▲ Third from the left: Chen, Li-Kuo, President of Taishin Securities



▲ Taishin Securities Booth at the "2024 -1111 EcoRevolve Shopping Fair"

In response to the resource waste caused by excessive consumption in modern society, achieving a zero-waste circular economy as a means to protect the environment must be practiced in everyday life. President Chen, Li-Kuo of Taishin Securities took the initiative to support LITEON Technology's "2024 -1111 EcoRevolve Shopping Fair," second-hand charity market event. He encouraged employees to join in by donating pre-owned items in good condition for charity sales. With a focus on aligning with the preferences and needs of the public, over 300 carefully selected items, including small home appliances, camping gear, and various household goods, were collected, with the goal of selling out on the event day. All proceeds from the charity sale, totaling NT\$23,018, were donated in full to the Trust in Nature Foundation to support the protection of mountain habitats and ecological conservation efforts.



▲ Taishin FHC Mountain Cleanup Event Group Photo

Taishin FHC organized mountain cleanup events across Northern, Central, and Southern Taiwan, inviting its subsidiaries to participate. Taishin Securities encouraged employees and their families to join, contributing to the ecological health of our mountain forests. A total of 343 participations were recorded from Securities employees and their family members.



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8.2.2 Promoting Social Welfare

Taishin Securities believes that education is the key to sustainable social development, and that unequal access to education is a major barrier preventing children from overcoming disadvantaged circumstances. To address this issue, the Company leveraged its core expertise in finance and collaborated with the Taishin Charity Foundation, the Sunny Care Association, and Mingshan Caring Association to organize financial literacy workshops in rural areas. These workshops targeted 58 elementary school students from low- to middle-income families and households with grandparent caregivers. Through games, drama, and outdoor activities, the children were introduced to financial concepts in an engaging way, helping to enhance overall financial literacy and promote social stability.



▲ Group Photo of the Financial Literacy Workshop Activity

8.2.3 Promoting Arts and Culture

A stable yet vibrant and culturally rich society undoubtedly requires the infusion of corporate capabilities and continuous progress. In the light of this, Mr. Wu, Tong-Liang, Chairman of Taishin FHC, founded the Taishin Bank Foundation for Arts and Culture in May 2001. The foundation was established with the mission of “enhancing the quality of cultural life and fostering a sound environment for artistic development.” It is committed to realizing the spirit of “sowing, nurturing, and sharing” as part of its social responsibility and cultural mission as a private enterprise. Taishin Securities carries forward the spirit and philosophy of the Group by actively encouraging employee participation in various cultural and artistic events. In 2024, employees attended performances and exhibitions such as the theatrical play 《I Love You, You’re Perfect, Now Change》, 《Dear John — Never Say Never》, creative learning workshop 《Playing Circus and Performing Tricks in Life》, the international exhibition "Capturing the Moment" at the Kaohsiung Museum of Fine Arts, the curated exhibition "The Mountain Algorithm", and exhibitions at the Neiwei Arts Center. A total of 12 instances of participation were recorded. By appreciating outstanding works of art and culture, the Company not only supports the sustainable transmission of the arts but also contributes to the enrichment of cultural development in society.



▲ Theatrical Play 《I Love You, You’re Perfect, Now Change》



▲ Theatrical Play 《Dear John — Never Say Never》



▲ Creative Learning Workshop 《Playing Circus and Performing Tricks in Life》

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8.2.4 Promoting Sports Development

Taishin places strong emphasis on the development of sports and has sponsored a variety of athletic events, including basketball, road running, and baseball. Between 2021 and 2024, the total sponsorship amount reached NT\$24.85 million. Among these, sponsorships for road running events amounted to NT\$1 million, basketball events totaled NT\$22.85 million, and baseball events received NT\$900,000. In addition to financial support, the Company also invites employees and clients to participate in sporting events, in alignment with the United Nations Sustainable Development Goal SDG3: Good Health and Well-being.

Beyond event sponsorships, Taishin Securities has established employee sports clubs, including a yoga club and a golf club, with a total of 60 participating colleagues. Each month, the Company provides a subsidy of NT\$5,000, amounting to an annual total of NT\$120,000 to support employee participation in these clubs. These initiatives not only enhance team cohesion and communication but also promote physical and mental well-being. In addition, Taishin FHC organizes annual employee events, and Taishin Securities actively encourages employees to participate. These events help strengthen morale while supporting overall health and wellness. To further encourage fitness and strengthen immunity, Taishin Securities not only continues the group-wide “You Work Out, We Sponsor” subsidy program but also launched the “Employee Health Promotion” initiative. This program partners with nearby gyms to offer health checkups and related fitness classes, enabling employees to conveniently access exercise opportunities and improve their overall well-being.



▲ Taishin Securities sponsors the Taipei Taishin Mars Basketball team; Photo of Chairman Jerry Guo Participating in the Opening Tip-Off Ceremony



▲ Yoga Club



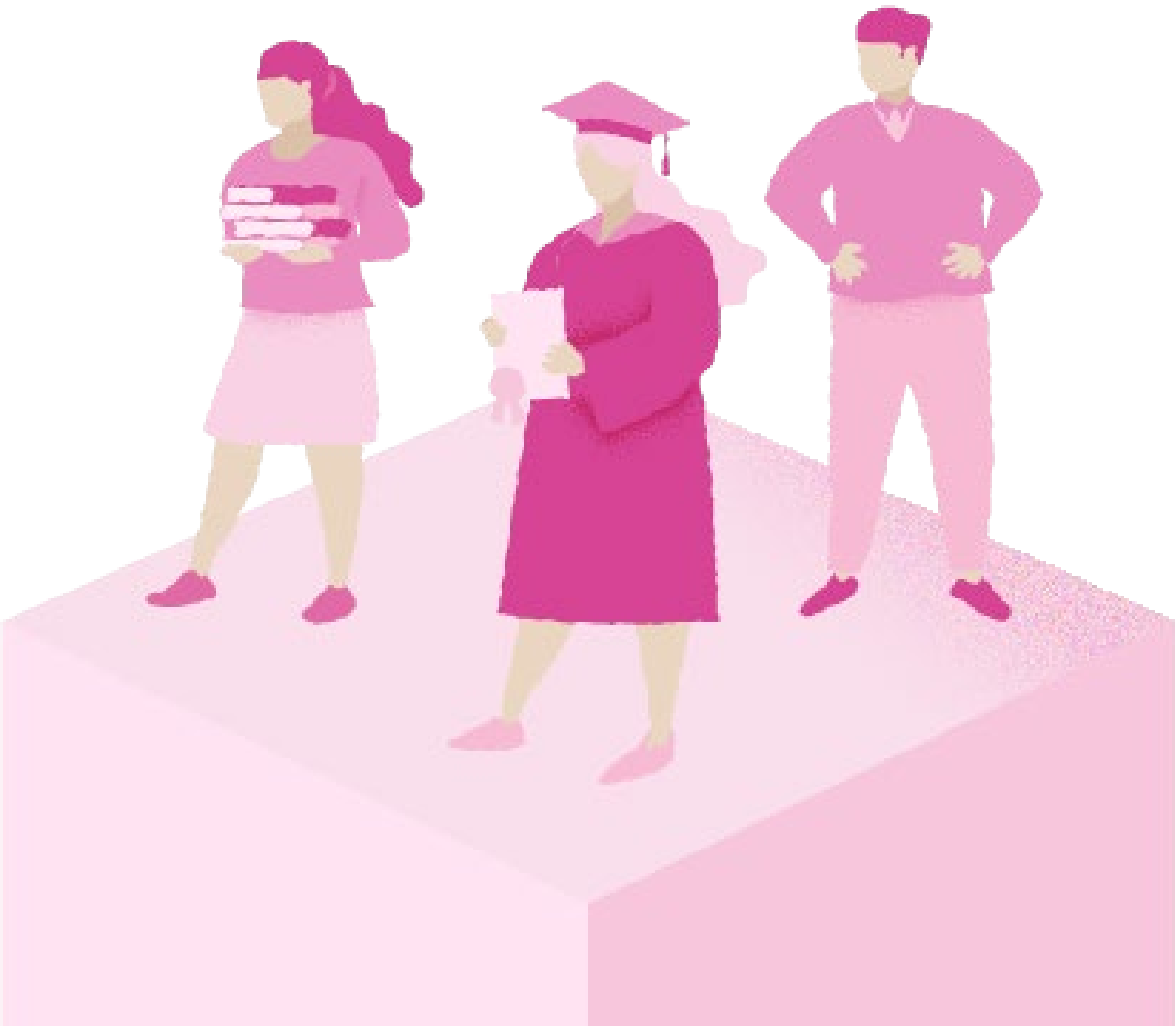
▲ Golf Club

8.2.5 Academic Collaboration and Exchange

To help cultivate outstanding talent, enhance corporate competitiveness, and bridge the gap between academic learning and industry practice, enabling students to transition smoothly into the workforce upon graduation. Taishin Securities participated in several industry-academia collaboration programs with universities and technical colleges in 2024. Through these programs, the Company provided students with professional training and internship opportunities outside the classroom, helping them gain practical workplace experience and successfully transition into employment.

Key Inputs/ Outputs

- Signed industry-academia collaboration agreements with a total of 18 colleges and universities
- Co-hosted 27 briefing sessions and informational events with colleges and universities
- Recruited a total of 32 recent graduates
- Recruited a total of 31 interns



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SASB: FN-IB-000.A 、FN-IB-000.B

Sustainable Finance Performance

Proprietary Trading Investment Overview as of End of 2024

Industry	Number of Transactions	Investment Balance
U.S. Treasury Bonds	7	1,410,142
General Manufacturing	4	521,167
Chemicals	3	108,120
Cement	2	95,057
Semiconductors	5	675,436
Services	3	270,234
Logistics	1	33,979
Financial	6	633,088
Construction	1	327,940
Hospitality	1	8,213
Maritime Shipping	1	51,176
Electronic Manufacturing	6	877,796
Steel	1	28,644

Unit: NT\$ thousands

Note: Data as of December 31, 2024. Investments are disclosed by industry classification.

Lending Overview for 2024

Industry	Number of Transactions	Loan Balance
Financing	7,384	16,823,354
Short Selling	971	2,214,810
Unsecured Loans for General Purposes	1,062	9,568,430

Unit: NT\$ thousands

GRI Standards Index

Disclosure Title	
Statement of Use	Taishin Securities has referred to the GRI Standards for reporting content from January 1, 2024, to December 31, 2024.
GRI 1 Used	GRI 1: Foundation
Industry Standards	Not applicable

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2-11	Chair of the highest governance body	2.1.2 Composition and Operation of the Board of Directors		29
2-12	Role of the highest governance body in overseeing the management of impacts	1.2 Sustainability Governance Structure		18
2-13	Delegation of responsibility for managing impacts	1.2 Sustainability Governance Structure 2.1.2 Composition and Operation of the Board of Directors		18 29
2-14	Role of the highest governance body in sustainability reporting	About This Report 1.2 Sustainability Governance Structure		2 18
2-15	Conflicts of interest	2.1.2 Composition and Operation of the Board of Directors	For information regarding the Board members' appointment in other companies, cross-shareholdings with suppliers or other stakeholders, the existence of controlling shareholders, related parties and their relationships, transactions, and outstanding balances, please refer to the Company's Annual Report.	29
2-16	Communication of critical concerns	1.3 Stakeholder Engagement 2.1.2 Composition and Operation of the Board of Directors		19 29
2-17	Collective knowledge of the highest governance body	2.1.2 Composition and Operation of the Board of Directors		29
2-18	Evaluation of the performance of the highest governance body	2.1.2 Composition and Operation of the Board of Directors		29
2-19	Remuneration policies	2.1.3 Governance Unit and Senior Management Compensation Policy	For Information regarding the compensation policy and compensation determination process for the highest governance body and senior management, please refer to the Company's annual report	32
2-20	Process to determine remuneration	2.1.3 Governance Unit and Senior Management Compensation Policy		32

	Disclosure Title	Chapter	Note	Page
2-21	Annual total compensation ratio	7.2.1 Remuneration Policies		
2-22	Statement on sustainable development strategy	Message from the Chairman and President 1.1 Sustainable Strategy Blueprint		
2-23	Policy commitments	2.1.1 Corporate Governance Structure 5.3.2 Stewardship Principles for Institutional Investors 7.5.1 Human Rights Policy and Commitment		29 61 84
2-24	Embedding policy commitments	2.1.4 Integrity Management and Execution 5.3.2 Stewardship Principles for Institutional Investors		33 61
2-25	Processes to remediate negative impacts	2.1.4 Integrity Management and Execution 7.4.1 Diverse Communication Channels and Complaint Mechanisms		33 82
2-26	Mechanisms for seeking advice and raising concerns	2.1.4 Integrity Management and Execution		33
2-27	Legal Compliance	2.4.1 Compliance Management		43
2-28	Membership associations	About Taishin Securities		4
2-29	Approach to stakeholder engagement	1.3 Stakeholder Engagement		19
2-30	Collective bargaining agreements	-	Taishin Securities has not signed a collective agreement with its employees	
GRI 3: Material Topics				
3-1	Process to determine material topics	1.4 Material Topic Analysis		21
3-2	List of material topics	1.4 Material Topic Analysis		21
3-3	Management of material topics	1.4 Material Topic Analysis		21
GRI 201: Economic Performance				
201-1*	Direct economic value generated and distributed	About Taishin Securities		4
201-2*	Financial implications and other risks and opportunities due to climate change	3.2 Climate Risk Management		49

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	Disclosure Title	Chapter	Note	Page
201-3*	Defined benefit plan obligations and other retirement plans	7.2.2 Employee Benefits and Care		78
201-4*	Financial assistance received from government	-	The Company received a total of NT\$302 thousand in financial assistance from the government for 2024.	
GRI 203: Indirect Economic Impacts				
203-1	Infrastructure investments and services supported	6.3.2 Financial Inclusion Products and Services		69
203-2	Significant indirect economic impacts	6.3.2 Financial Inclusion Products and Services		69
GRI 205: Anti-corruption				
205-1*	Operations assessed for risks related to corruption	2.1.4 Integrity Management and Execution		33
205-2*	Communication and training about anti-corruption policies and procedures	2.1.4 Integrity Management and Execution		33
205-3*	Confirmed incidents of corruption and actions taken	2.1.4 Integrity Management and Execution		33
GRI 206: Anti-competitive Behavior				
206-1*	Legal actions for anti-competitive behavior, anti-trust, and monopoly practices	2.1.4 Integrity Management and Execution		33
GRI 302: Energy				
302-1	Energy consumption within the organization	4.2.1 Energy Conservation and Carbon Reduction Policies and Achievements		53
302-3	Energy intensity	4.2.1 Energy Conservation and Carbon Reduction Policies and Achievements		53
302-4	Reduction of energy consumption	4.2.1 Energy Conservation and Carbon Reduction Policies and Achievements		53
GRI 305: Emissions				
305-1	direct (Scope 1) GHG emissions	4.2.2 GHG Management and Achievements		54
305-2	energy indirect (Scope 2) GHG emissions	4.2.2 GHG Management and Achievements		54

	Disclosure Title	Chapter	Note	Page
305-4	GHG emissions intensity	4.2.2 GHG Management and Achievements		54
305-5	Reduction of GHG emissions	4.2.2 GHG Management and Achievements		54
GRI 308: Supplier Environmental Assessment				
308-1	New suppliers that were screened using environmental criteria	4.4.1 Supplier Management Policies		55
GRI 401: Employment				
401-1*	New employee hires and employee turnover	7.1.2 Recruitment Channels and Methods 7.2.3 Performance Management		75 80
401-2*	Benefits provided to full-time employees that are not provided to temporary or part-time employees	7.2.2 Employee Benefits and Care		78
401-3*	Parental leave	7.2.2 Employee Benefits and Care		78
GRI 403: Occupational Health and Safety				
403-1*	Occupational health and safety management system	7.3.1 Workplace Safety and Health Management		81
403-2*	Hazard identification, risk assessment, and incident investigation	7.3.1 Workplace Safety and Health Management		81
403-3*	Occupational health services	7.3.1 Workplace Safety and Health Management		81
403-4*	Worker participation, consultation, and communication on occupational health and safety	7.3.2 Employee Physical and Mental Health		81
403-5*	Worker training on occupational health and safety	7.3.1 Workplace Safety and Health Management		81
403-6*	Promotion of worker health	7.3.2 Employee Physical and Mental Health		81
403-7*	Prevention and mitigation of occupational health and safety impacts directly linked by business relationships	7.3.1 Workplace Safety and Health Management		81
403-8*	Workers covered by an occupational health and safety management system	7.3.1 Workplace Safety and Health Management		81
403-9*	Work-related injuries	7.3.1 Workplace Safety and Health Management		81
403-10*	Work-related ill health	7.3.1 Workplace Safety and Health Management		81

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Disclosure Title		Chapter	Note	Page
GRI 404: Training and Education				
404-1*	Average hours of training per year per employee	7.1.3 Talent Development and Continuing Education		76
404-2*	Programs for upgrading employee skills and transition assistance programs	7.1.3 Talent Development and Continuing Education 7.2.2 Employee Benefits and Care		76 78
404-3*	Percentage of employees receiving regular performance and career development reviews	7.2.3 Performance Management		80
GRI 405: Diversity and Equal Opportunity				
405-1*	Diversity of governance bodies and employees	2.1.2 Composition and Operation of the Board of Directors 7.1.1 Employee Overview and Diverse Recruitment		29 74
GRI 406: Non-discrimination				
406-1	Incidents of discrimination and corrective actions taken	7.4.1 Diverse Communication Channels and Complaint Mechanisms		82
GRI 414: Supplier Social Assessment				
414-1	New suppliers that were screened using social criteria	4.4.1 Supplier Management Policies		55
GRI 415: Public Policy				
415-1	Political contributions	-	Taishin Securities does not make political donations	
GRI 417: Marketing and Labeling				
417-1*	Requirements for product and service information and labeling	6.2.2 Implementation of Fair Treatment of Customers		66
417-2*	Incidents of non-compliance concerning product and service information and labeling	-	No related incidents occurred in 2024	
417-3*	Incidents of non-compliance concerning marketing communications	-	No related incidents occurred in 2024	
GRI 418: Customer Privacy				
418-1*	Substantiated complaints concerning breaches of customer privacy and losses of customer data	2.3.2 Personal Data Protection		42

Note: Items marked with * indicate material topics identified in 2024

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Topic	Code	Accounting Metric	Chapter	Subchapter	Note	Page
Employee Diversity & Inclusion	FN-IB-330a.1	Percentage of gender and racial/ethnic group representation for (1) executive management, (2) non-executive management, (3) professionals, and (4) all other employees	CH7 Employee Care	7.1.1 Employee Overview and Diverse Recruitment		74
Incorporation of Environmental, Social, and Governance Factors in Investment Banking & Brokerage Activities	FN-IB-410a.1	Revenue from (1) underwriting, (2) advisory, and (3) securitization transactions incorporating integration of environmental, social, and governance (ESG) factors, by industry	CH5 Sustainable Finance	5.2.1 Sustainable Underwriting and Consulting Services		59
	FN-IB-410a.2	(1) Number and (2) total value of investments and loans incorporating integration of environmental, social, and governance (ESG) factors, by industry	CH5 Sustainable Finance	5.3.1 Guidelines on Responsible Investment		60
	FN-IB-410a.3	Description of approach to incorporation of environmental, social, and governance (ESG) factors in investment banking and brokerage activities	CH5 Sustainable Finance	5.3.1 Guidelines on Responsible Investment		60
Business Ethics	FN-IB-510a.1	Total amount of monetary losses as a result of legal proceedings associated with fraud, insider trading, anti-trust, anti-competitive behavior, market manipulation, malpractice, or other related financial industry laws or regulations	CH2 Sustainable Governance	2.1.4 Integrity Management and Execution	In 2024, the total financial loss from legal disputes was NT\$0.	33
	FN-IB-510a.2	Description of whistleblower policies and procedures	CH2 Sustainable Governance	2.1.4 Integrity Management and Execution		33
Professional Integrity	FN-IB-510b.1	(1) Number and (2) percentage of covered employees with a record of investment-related investigations, consumer-initiated	CH6 Smart Service	6.2.3 Customer Relationship Maintenance		66
	FN-IB-510b.2	Number of mediation and arbitration cases associated with professional integrity, including duty of care, by party	CH2 Sustainable Governance	2.1.4 Integrity Management and Execution	Taishin Securities did not experience any related events in 2024	33
	FN-IB-510b.3	Total amount of monetary losses as a result of legal proceedings associated with professional integrity, including duty of care	CH2 Sustainable Governance	2.1.4 Integrity Management and Execution	Taishin Securities did not experience any related financial losses in 2024	33
	FN-IB-510b.4	Description of approach to ensuring professional integrity, including duty of care	CH2 Sustainable Governance	2.1.4 Integrity Management and Execution		33
Systemic Risk Management	FN-IB-550a.1	Global Systemically Important Bank (G-SIB) score, by category	N/A	N/A	Taishin Securities operates in a different industry type compared to the banking sector; therefore, it is not applicable	
	FN-IB-550a.2	Description of approach to incorporation of results of mandatory and voluntary stress tests into capital adequacy planning, long-term corporate strategy, and other business activities	CH2 Sustainable Governance	2.2.2 Risk Identification and Response		37
Employee Incentives & Risk Taking	FN-IB-550b.1	Percentage of total remuneration that is variable for Material Risk Takers (MRTs)	CH2 Sustainable Governance	2.1.3 Governance Unit and Senior Management Compensation Policy		32
Risk Taking	FN-IB-550b.2	Percentage of variable remuneration of Material Risk Takers (MRTs) to which malus or clawback provisions were applied	CH2 Sustainable Governance	2.1.3 Governance Unit and Senior Management Compensation Policy		32
	FN-IB-550b.3	Discussion of policies around supervision, control, and validation of traders' pricing of Level 3 assets and liabilities	CH2 Sustainable Governance	2.2.2 Risk Identification and Response		37
Activity Metrics	FN-IB-000.A	(1) Number and (2) value of (a) underwriting, (b) advisory, and (c)securitization transactions	Appendix	Sustainable Finance Performance		92
	FN-IB-000.B	(1) Number and (2) value of proprietary investments and loans by sector	Appendix	Sustainable Finance Performance		92
	FN-IB-000.C	(1) Number and (2) value of market making transactions in (a) fixed income, (b) equity, (c) currency, (d) derivatives, and (e) commodity products	CH5 Sustainable Finance	5.2.1 Sustainable Underwriting and Consulting Services		59

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Pillar	Disclosure Topic	Description of Disclosure Topic	Chapter	Page
Governance	Governance	The objective of sustainability-related financial disclosures on governance is to enable users of general purpose financial reports to understand the governance processes, controls and procedures an entity uses to monitor, manage and oversee sustainability-related risks and opportunities.	CH1 Sustainable Strategy	13
Strategy	Sustainability-related risks and opportunities	Sustainability-related risks and opportunities that could reasonably be expected to affect the entity's prospects.	CH1 Sustainable Strategy	13
	Business model and value chain	An entity shall disclose information that enables users of general purpose financial reports to understand the effects of sustainability-related risks and opportunities on its strategy and decision-making.	CH3 Climate Strategy	46
	Strategy and decision-making	An entity shall disclose information that enables users of general purpose financial reports to understand the effects of sustainability-related risks and opportunities on its strategy and decision-making.	CH3 Climate Strategy	46
	Financial positions, financial performance and cash flows	The anticipated effects of sustainability-related risks and opportunities on the entity's financial position, financial performance and cash flows over the short, medium and long term, taking into consideration how sustainability-related risks and opportunities are included in the entity's financial planning.	CH1 Sustainable Strategy	13
	Resilience	An entity shall disclose information that enables users of general purpose financial reports to understand the resilience of the entity's strategy and business model to sustainability-related risks.	CH2 Sustainable Governance CH3 Climate Strategy	28 46
Risk Management	Risk management	The objective of sustainability-related financial disclosures on risk management is to enable users of general purpose financial reports: (a) to understand an entity's processes to identify, assess, prioritize and monitor sustainability-related risks and opportunities, including whether and how those processes are integrated into and inform the entity's overall risk management process; and (b) to assess the entity's overall risk profile and its overall risk management process.	CH1 Sustainable Strategy CH2 Sustainable Governance	13 28
Metrics and targets	Metrics and Targets	The objective of sustainability-related financial disclosures on metrics and targets is to enable users of general purpose financial reports to understand an entity's performance in relation to its sustainability-related risks and opportunities, including progress towards any targets the entity has set, and any targets it is required to meet by law or regulation.	About This Report CH1 Sustainable Strategy	2 13

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Pillar	Disclosure Topic	Description of Disclosure Topic	Chapter	Page
Governance	Governance	The objective of climate-related financial disclosures on governance is to enable users of general purpose financial reports to understand the governance processes, controls and procedures an entity uses to monitor, manage and oversee climate-related risks and opportunities.	CH2 Sustainable Governance	28
			CH3 Climate Strategy	46
Strategy	Climate-related risks and opportunities	Climate-related risks and opportunities that could reasonably be expected to affect the entity's prospects.	CH2 Sustainable Governance CH3 Climate Strategy	28 46
	Business model and value chain	An entity shall disclose information that enables users of general purpose financial reports to understand the current and anticipated effects of climate-related risks and opportunities on the entity's business model and value chain.	CH3 Climate Strategy	46
	Strategy and decision-making	An entity shall disclose information that enables users of general purpose financial reports to understand the effects of climate-related risks and opportunities on its strategy and decision-making, including any climate-related transition plan the entity has.	CH2 Sustainable Governance CH3 Climate Strategy	28 46
			CH3 Climate Strategy	46
	Financial positions, financial performance and cash flows	The anticipated effects of climate-related risks and opportunities on the entity's financial position, financial performance and cash flows over the short, medium and long term, taking into consideration how climate-related risks and opportunities are included in the entity's financial planning.	CH3 Climate Strategy	46
	Climate resilience	An entity shall disclose information that enables users of general purpose financial reports to understand the resilience of the entity's strategy and business model to climate-related changes, developments and uncertainties, taking into consideration the entity's identified climate-related risks and opportunities.	CH3 Climate Strategy	46
Risk Management	Risk Management	The objective of climate-related financial disclosures on risk management is to enable users of general purpose financial reports to understand an entity's processes to identify, assess, prioritise and monitor climate-related risks and opportunities, including whether and how those processes are integrated into and inform the entity's overall risk management process.	CH3 Climate Strategy	46
Metrics and Targets	Climate-related metrics	An entity shall disclose information related to cross-industry metric categories, as well as industry-based metrics that are relevant to the entity's specific business models, activities, and other shared characteristics associated with participation in a given industry.	CH3 Climate Strategy CH4 Green Operations	46 51
	Climate-related targets	The targets set by the entity to mitigate or adapt to climate-related risks, or to seize climate-related opportunities, as well as targets required by law or regulation, including the metrics used by the governance body or management to measure progress towards those targets.	CH1 Sustainable Strategy CH3 Climate Strategy	13 46

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Topic	Code	Metric	Chapter	Subchapter	Note	Page
Incorporation of Environmental, Social, and Governance Factors in Investment Banking & Brokerage Activities	FN-IB-410a.1	Revenue from (1) underwriting, (2) advisory and (3) securitisation transactions incorporating integration of environmental, social and governance (ESG) factors, by industry	CH5 Sustainable Finance	5.2.1 Sustainable Underwriting and Consulting Services		59
	FN-IB-410a.2	(1) Number and (2) total value of investments and loans incorporating integration of environmental, social and governance (ESG) factors, by industry	CH5 Sustainable Finance	5.3.1 Guidelines on Responsible Investment		60
	FN-IB-410a.3	Description of approach to incorporation of environmental, social and governance (ESG) factors in investment banking and brokerage activities	CH5 Sustainable Finance	5.3.1 Guidelines on Responsible Investment		60
Operational Activities	FN-IB-000.A	(1) Number and (2) value of (a) underwriting, (b) advisory, and (c) securitisation transactions	Appendix	Sustainable Finance Performance		92
	FN-IB-000.B	(1) Number and (2) value of proprietary investments and loans by sector	Appendix	Sustainable Finance Performance		92
	FN-IB-000.C	(1) Number and (2) value of market making transactions in (a) fixed income, (b) equity, (c) currency, (d) derivatives, and (e) commodity products	CH5 Sustainable Finance	5.2.1 Sustainable Underwriting and Consulting Services		59

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Item	Nature	Chapter
Number of data breaches, percentage involving personally identifiable information, and number of account holders affected.	Quantitative	2.3.1 Information Security Management
		2.3.2 Personal Data Protection
		6.2.1 Principle of Fair Treatment of Customers
		6.2.3 Customer Relationship Maintenance
		Number of System-Related Information Leakage Incidents: 1; Percentage of Information Leakage Incidents Related to Personal Data: 0%; Number of Customers Affected by Information Leakage Incidents: 0
		Number of Human-Related Information Leakage Incidents: 2; Percentage of Information Leakage Incidents Related to Personal Data: 100%; Number of Customers Affected by Information Leakage Incidents: 2
Number and amount of capital market fundraising transactions supported for small and medium-sized enterprises	Quantitative	5.2.1 Sustainable Underwriting and Consulting Services
Number of participants in financial literacy initiatives provided to minority groups without adequate securities services.	Quantitative	6.3.2 Financial Inclusion Products and Services
Products and services designed by individual operating units to create benefits for the environment or society.	Qualitative	5.3.1 Guidelines on Responsible Investment
		6.1.2 Digital Innovation Services

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Independent Limited Assurance Report

To Taishin Securities Co., Ltd.:

We were engaged by Taishin Securities Co., Ltd. (“Taishin Securities”) to provide limited assurance over the selected information (“the Subject Matter Information”) on the 2024 Sustainability Report of Taishin Securities (“the Report”) for the year ended December 31, 2024.

Applicable Criteria of the Subject Matter Information

Taishin Securities shall prepare the Subject Matter Information in accordance with applicable criteria required by Article 2 of Rules Governing the Preparation and Filing of Sustainability Reports by Securities Firms (“the Rules”) as set forth in Appendix I.

Management’s Responsibilities

Taishin Securities is responsible for determining its objectives with respect to sustainable development performance and reporting, including the identification of stakeholders and material aspects, and using the applicable criteria to fairly prepare and present the Subject Matter Information. Taishin Securities is also responsible for establishing and maintaining internal controls relevant to the preparation and presentation of the Subject Matter Information that is free from material misstatement, whether due to fraud or error.

Our Responsibilities

We performed our work in accordance with the Standard on Assurance Engagements TWSAE3000 “Assurance Engagements Other than Audits or Reviews of Historical Financial Information” issued by the Accounting Research and Development Foundation in Taiwan and to issue a limited assurance conclusion on whether the Subject Matter Information is free from material misstatement. Also, we have considered appropriate limited assurance procedures according to the understanding of relevant internal controls in the circumstances, but not for the purposes of expressing a conclusion as to the effectiveness of the internal control over the design or implementation of the Report.

Independence and Standards on Quality Management

We have complied with the independence and other ethical requirements of the Code of Professional Ethics for Certified Public Accountant in the Republic of China, which is founded on the fundamental principles of integrity, objectivity, professional competence and due care, confidentiality, and professional behavior. In addition, we applied Standards on Quality Management. Accordingly, we maintained a comprehensive system of quality management, including documented policies and procedures regarding compliance with ethical requirements and professional standards as well as applicable legal and regulatory requirements.



Summary of Work Performed

As stated in applicable criteria of the Subject Matter Information paragraph, our main work on the selected information included:

- Reading the Report of Taishin Securities;
- Inquiries with responsible management level and non-management level personnel to understand the operational processes and information systems used to collect and process the Subject Matter Information.
- On the basis of the understanding obtained mentioned above, perform analytical procedures on the Subject Matter Information and if necessary, inspect related documents to gather sufficient and appropriate evidence in a limited assurance engagement.

The work described above is based on professional judgment and consideration of the level of assurance and our assessment of the risk of material misstatement of the Subject Matter Information, whether due to fraud or error. We believe that the work performed and evidence we have obtained are sufficient and appropriate to provide a basis of our conclusion. However, the work performed in a limited assurance engagement varies in nature and timing from, and is less in extent than for, a reasonable assurance engagement. Consequently, the level of assurance obtained in a limited assurance engagement is substantially lower than the assurance that would have been obtained had a reasonable assurance engagement been performed.

Inherent limitations

The Report for the year ended December 31, 2024 includes the disclosures of non-financial information that involved significant judgments, assumptions and interpretations by the management of Taishin Securities. Therefore, the different stakeholders may have different interpretations of such information.

Conclusion

Based on the work we have performed and the evidence we have obtained, as described above, nothing has come to our attention that causes us to believe that the Subject Matter Information has not been properly prepared, in all material aspects, in accordance with the applicable criteria.

Other Matters

We shall not be responsible for conducting any further assurance work for any change of the subject matter information or the criteria applied after the issuance date of this report.

The engagement partner on the assurance resulting in this independent auditors’ report is Huang, Yu-Ting.

KPMG

Taipei, Taiwan (Republic of China)
July 8, 2025

Notes to readers

The limited assurance report and the accompanying selected information are the English translation of the Chinese version prepared and used in the Republic of China. If there is any conflict between, or any difference in the interpretation of, the English and Chinese language limited assurance report and the selected information, the Chinese version shall prevail.

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Appendix

Sustainable Finance Performance

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Appendix I : Summary of the Subject Matter Information

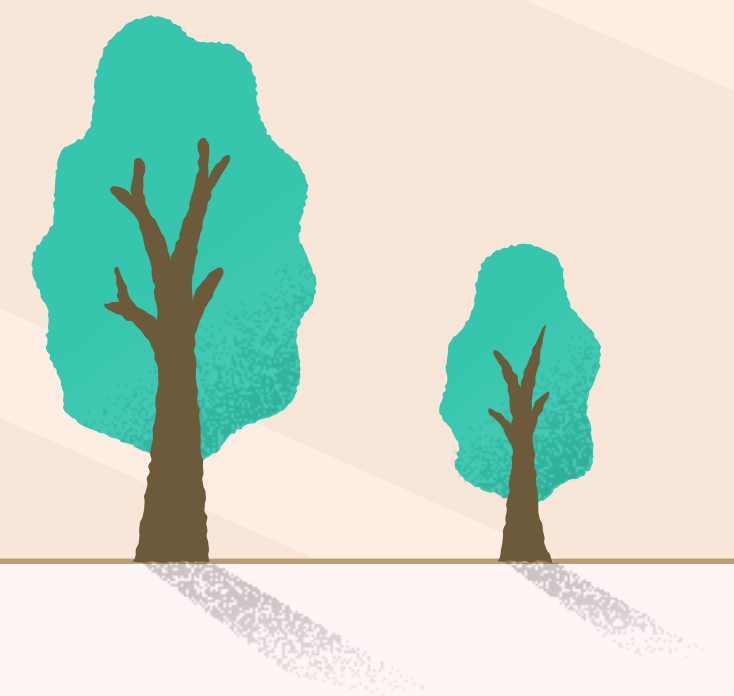
No.	Corresponding Section	Subject Matter Information	Applicable Criteria
1	2.3.1 Information Security Management	■ In 2024, Taishin Securities experienced a single cybersecurity incident related to a data leak. Investigations and follow-ups revealed that the incident was caused by the exploitation of a web vulnerability. Working in collaboration with our cybersecurity unit and an external professional forensic team, we've confirmed that this incident did not result in operational disruption or data alteration. Furthermore, external traffic analysis showed no leakage of customer personal data. Following the incident, the identified vulnerabilities were remediated, and our monitoring and alert mechanisms have been strengthened to prevent similar occurrences in the future.	The Rules Table 1-2 No.1 Number of data breaches, percentage involving personally identifiable information, and number of account holders affected.
	2.3.2 Personal Data Protection	■ In 2024, the Company experienced two information leakage incidents that were determined to be caused by human factors (one incident was identified through external complaint, and the other was discovered by internal auditing.) The Company notified the affected customer in accordance with regulations, and the customer expressed understanding.	
	Rules Governing the Preparation and Filing of Sustainability Reports by Securities Firms Index	■ Number of system-related information leakage incidents: 1; percentage of information leakage incidents related to personal data: 0%; number of customers affected by information leakage incidents: 0 ■ Number of human-related information leakage incidents: 2; percentage of information leakage incidents related to personal data: 100%; number of customers affected by information leakage incidents: 2	
2	5.2.1 Sustainable Underwriting and Consulting Services	■ In 2024, Taishin Securities assisted with 5 SPO services for SMEs ^{Notes} , totaling NT\$1,024,100 thousand. Note: SMEs are defined according to the Small and Medium Enterprise and Startup Administration, MOEA's "White Paper on SMEs" as companies with a paid-in capital of less than NT\$100 million or with fewer than 200 regularly employed staff.	The Rules Table 1-2 No.2 Number and amount of capital market fundraising transactions supported for small and medium-sized enterprises
3	6.3.2 Financial Inclusion Products and Services	■ In 2024, Taishin Securities partnered with the Little Sun Care Association and the Mingshan Care Association to host "Financial Literacy Workshops" in Tainan and Nantou on August 7 and September 11, respectively. With the support of professional financial education instructors and volunteers from Taishin Securities and Taishin Futures, the workshops provided 58 elementary school students from rural areas with courses covering	The Rules Table 1-2 No.3 Number of participants in financial literacy initiatives provided to minority groups without adequate securities

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No.	Corresponding Section	Subject Matter Information	Applicable Criteria									
		personal finance, savings, investment, and fraud prevention. Through interactive games and engaging activities, the students gained a foundational understanding of financial literacy from an early age.	services									
4	5.3.1 Guidelines on Responsible Investment	<p>■ 2024 Taishin Securities Responsible Investment Results</p> <table><tr><th>Investment-type Industries/Categories</th><th>Number of Investments</th><th>Investment Balance at the End of 2024</th></tr><tr><td>Green Bonds</td><td>3</td><td>282,724</td></tr><tr><td>Sustainability-Linked Bonds</td><td>1</td><td>104,265</td></tr></table> <p>Unit: NTS thousands</p> <p>Note 1: The reference date for the data is December 31, 2024</p> <p>Note 2: The invested targets comply with the Taipei Exchange's Sustainable Bonds Standards</p>	Investment-type Industries/Categories	Number of Investments	Investment Balance at the End of 2024	Green Bonds	3	282,724	Sustainability-Linked Bonds	1	104,265	The Rules Table 1-2 No.4 Products and services designed by individual operating units to create benefits for the environment or society.
	Investment-type Industries/Categories	Number of Investments	Investment Balance at the End of 2024									
Green Bonds	3	282,724										
Sustainability-Linked Bonds	1	104,265										
	6.1.2 Digital Innovation Services	<p>■ Customers can open a Taiwan stock securities account with Taishin Securities through online identity verification provided by Taishin Bank. In addition to Taiwan stock account openings, the current scope of online account opening now includes sub-brokerage accounts, futures accounts, margin trading accounts, unsecured loan accounts, and stock lending accounts.</p> <p>In addition, the online account opening service has also been extended to banking platforms through a collaboration with Taishin Bank's digital banking brand, Richart, which allows customers to complete securities account applications directly within the Richart app. In the future, we will continue to integrate various types of account opening services across different channels, serving as a crucial foundation for building the Taishin Financial Ecosystem</p> <p>■ In 2024, over 64% of new Taiwan stock securities accounts were opened via online channels, with the total number of online account openings exceeding 60,000. This demonstrates growing customer acceptance of digital services, significantly improving convenience while also saving the equivalent of 1,642,654 sheets of paper, thereby reducing the impact on forests.</p>										

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Taishin Securities

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